

General Professional Services Questionnaire

A. Project Name and Advertisement Resolution Number:

Underwriter/Placement Agent for the Jefferson Parish Department of Finance, relative to the issuance of bonds/notes and for assistance with other various refunding opportunities, authorized by Resolution No. 141132

B. Firm Name & Address:

Rockfleet Financial Services, Inc.
420 Lexington Avenue, Suite 1402
New York, NY 10170

C. Name, title, & contact information of Firm Representative, as defined in Section 2-926 of the Jefferson Parish Code of Ordinances, with at least five (5) years of experience in the applicable field required for this Project:

Frank McKenna, Managing Director
420 Lexington Avenue, Suite 1402
New York, NY 10170
(212) 404-0999
francis.mckenna@rockfleetfinancial.com

D. Address of principal office where Project work will be performed:

420 Lexington Avenue, Suite 1402
New York, NY 10170

E. Is this submittal by a JOINT-VENTURE? Please check:

YES ☐ NO ☒

If marked "No" skip to Section H. If marked "Yes" complete Sections F-G.

F. If submittal is by JOINT-VENTURE, list the firms participating and outline specific areas of responsibility (including administrative, technical, and financial) for each firm. Please attach additional pages if necessary.

1.

2.

General Professional Services Questionnaire

G. Has this JOINT-VENTURE previously worked together? Please check: YES ☐ NO ☐

H. List all subcontractors anticipated for this Project. Please note that all subcontractors must submit a fully completed copy of this questionnaire, applicable licenses, and any other information required by the advertisement. See Jefferson Parish Code of Ordinances, Sec. 2-928(a)(3). Please attach additional pages if necessary.

Name & Address:	Specialty:	Worked with Firm Before (Yes or No):
1. -----	N/A-----	-----
2.		
3.		
4.		
5.		

General Professional Services Questionnaire

I. Please specify the total number of support personnel that may assist in the completion of this Project: 4 _____
J. List any professionals that may assist in the completion of this Project. If necessary, please attach additional documentation that demonstrates the employment history and experience of the Firm's professionals that may assist in the completion of this Project (i.e. resume). Please attach additional pages if necessary.
PROFESSIONAL NO. 1
Name & Title: Frank McKenna, Managing Director
Name of Firm with which associated: Rockfleet Financial Services, Inc.
Description of job responsibilities: Develop various types of financial models for capital raising transactions. Develop recommendations for securities offerings. Conduct preparation and review of materials used in the financings of clients, including investment memoranda, rating agency presentations, and investor presentations. Perform due diligence, research, analysis, and documentation of live transactions.
Years' experience with this Firm: <1
Education: Degree(s)/Year/Specialization: New York University, New York, NY Master's Degree Urban Planning Fairfield University, Connecticut Liberal Arts Degree
Other experience and qualifications relevant to the proposed Project: Over 40 years experience as a public finance banker. Frank has the proven ability to effectively help to create and grow the public finance business at a variety of firms. He spent the last ten years helping to create and grow the municipal bond business at two Ser-vice-Disabled Veteran-Owned Businesses (SDVOB) and Minority Business Enterprises (MBE). Previously, he had been a senior banker at several large firms developing their municipal bond businesses. Frank has worked as the senior banker for transportation, higher education, health care, housing, public power, environmental, and the whole range of municipal borrowers. Frank is registered with FINRA as a Series 52 and 63. He has served on the boards of the Roosevelt Island Operating Corporation, Metropolitan Opera Associate Board, and was the Chair of the Westchester County Planning Board. Frank received his master's degree in urban planning from New York University.

General Professional Services Questionnaire

PROFESSIONAL NO. 2	
Name & Title:	
Cathy Corrigan, President & CEO	
Name of Firm with which associated:	
Rockfleet Financial Services, Inc.	
Description of job responsibilities:	
<p>Develop high quality business strategies and plans ensuring their alignment with short-term and long-term objectives</p> <p>Lead and motivate subordinates to advance employee engagement develop a high performing managerial team</p> <p>Oversee all operations and business activities to ensure they meet the overall strategy and mission</p>	
Years' experience with this Firm:	
13	
Education: Degree(s)/Year/Specialization:	
New York University, New York, NY The College of Insurance, New York, NY	Marketing Major Insurance Major
Other experience and qualifications relevant to the proposed Project:	
<p>Cathy has been involved in financial services for over 40 years. Her public finance experience began in 2000, when she joined the Municipal Securities Group of UBS (formerly PaineWebber). In 2004, she joined Siebert Brandford Shank & Co., L.L.C. as its Director of Operations and Chief Compliance Officer. She founded Rockfleet in 2008. Cathy has expertise in a wide range of functions, including investment banking, underwriting, trading, financial advisory, sales and marketing, product management, finance, operations, information technology, re-engineering, and compliance. Cathy is registered with FINRA as a Series 4, 7, 24, 27, 50, 53, 63, 65, 66, 79, 99, PG and SIE and with the SEC and MSRB as a municipal advisor. She is a member of Mensa, and participates in the Municipal Bond Club of New York, the Municipal Forum of New York, and Northeast Women in Public Finance. Previously, she has participated in several SIFMA and SIA committees.</p>	

General Professional Services Questionnaire

PROFESSIONAL NO. 3	
Name & Title:	
John Swift, Managing Director	
Name of Firm with which associated:	
Rockfleet Financial Services, Inc.	
Description of job responsibilities:	
<p>Achieve growth and hitting sales targets by successfully managing the sales team</p> <p>Design and implement a strategic sales plan that expands company's customer base and ensure its strong presence</p> <p>Managing recruiting, objectives setting, coaching and performance monitoring of sales representatives</p>	
Years' experience with this Firm:	
5	
Education: Degree(s)/Year/Specialization:	
Culinary Institute of America, Hyde Park, NY Pace University, New York, NY	Culinary Arts Major Economics Major
Other experience and qualifications relevant to the proposed Project:	
<p>John Swift is a 30-year veteran in the financial services industry. He has held senior positions in sales management, marketing management, operations management and technology management. John most recently served as a Senior Vice President and head of internal sales at Central Park Group LLC.</p> <p>He served as a Head of Internal Sales, Investment Solutions at UBS Financial Services Inc., developing and delivering teams of professional that specialized in assisting advisors in the design and deployment of customized portfolios (traditional advisory product solutions and complex alternative investment product solutions). As head of the internal sales effort, he built a consultative sales and marketing team of over 25 professionals which provided high quality service and product expertise to 10,000 financial advisors across the globe. He is registered with FINRA as a Series 7, 24, and 63. John's focus on building out the firm's retail platform is expected to increase retail demand for municipal securities. He works out of the New York, NY office.</p>	

General Professional Services Questionnaire

PROFESSIONAL NO. 4	
Name & Title:	
Tianyi Lian, Analyst	
Name of Firm with which associated:	
Rockfleet Financial Services, Inc.	
Description of job responsibilities:	
Oversee the collection, storage and interpretation of data for the company Sifting through data points to create organized categories Comparing data points to current company processes Writing reports outlining business predictions or proposals	
Years' experience with this Firm:	
2	
Education: Degree(s)/Year/Specialization:	
Columbia University, New York, NY University of Western Australia, Perth, AU Shandong University, Weihai, CN	M.S. in Business Analytics B.Com. in Finance; Scholarship: President's Scholarship B.Econ. in Finance; Honors: First-class Scholarship, First Prize in National English Competition
Other experience and qualifications relevant to the proposed Project:	
Tianyi has a solid knowledge of finance, including derivative and securities strategies, international and corporate finance, business valuation and econometrics. She is also skilled in programming languages such as Python, SQL, VBA and analytical tools such as machine learning, web scraping, data visualization, and network analysis. Tianyi is a recent graduate of the Business Analytics program at Columbia University, having received her Master's degree in February 2020. She received her Bachelor of Commerce in Finance from the University of Western Australia and her Bachelor of Economics in Finance from Shan-dong University. Note Tianyi is not registered; she provides data-related services to the team.	

General Professional Services Questionnaire

PROFESSIONAL NO. 5
Name & Title:
----- N/A -----
Name of Firm with which associated:
Description of job responsibilities:
Years' experience with this Firm:
Education: Degree(s)/Year/Specialization:
Other experience and qualifications relevant to the proposed Project:

General Professional Services Questionnaire

K. List all prior projects that best illustrate the Firm's qualifications relevant to this Project. Please include any and all work performed for Jefferson Parish. Please attach additional pages if necessary.

PROJECT NO. 1

Project Name, Location and Owner's contact information:	Description of Services Provided:
	Please see attached Exhibit A for a list of prior financings.
Length of Services Provided:	Cost of Services Provided:

PROJECT NO. 2

Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

General Professional Services Questionnaire

PROJECT NO. 3	
Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

PROJECT NO. 4	
Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

General Professional Services Questionnaire

PROJECT NO. 5	
Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

PROJECT NO. 6	
Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

General Professional Services Questionnaire

PROJECT NO. 7	
Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

PROJECT NO. 8	
Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

General Professional Services Questionnaire

PROJECT NO. 9	
Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

PROJECT NO. 10	
Project Name, Location and Owner's contact information:	Description of Services Provided:
Length of Services Provided:	Cost of Services Provided:

General Professional Services Questionnaire

L. List all prior and/or on-going litigation between Firm and Jefferson Parish. Please attach additional pages if necessary.

Parties:		Status/Result of Case:
Plaintiff:	Defendant:	
1.	N/A	
2.		
3.		
4.		

M. Use this space to provide any additional information or description of resources supporting Firm's qualifications for the proposed project.

Jefferson Parish
State of Louisiana

N. To the best of my knowledge, the foregoing is an accurate statement of facts.

Signature: Catherine M. Corrigan Print Name: Catherine M. Corrigan
 Title: President & CEO Date: February 24, 2023

Underwriting Experience

Trade Date	Size	Issue	Neg/ Comp	Role
2/24/2023	677,840,000	The City of New York General Obligation Bonds, Fiscal 2023 Series C and Fiscal 2023 Series D	N	SG
2/10/2023	1,096,750,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2023 Series E Subseries E-1 Tax-Exempt Bonds	N	SG
12/1/2022	900,000,000	State of Connecticut General Obligation Bonds, 2022 Series E, 2022 Series F (Social Bonds) and Refunding 2022 Series G	N	SG
11/17/2022	750,000,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2023 Series AA	N	SG
10/27/2022	950,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2023 Series D Subseries D-1 Tax-Exempt Bonds	N	SG
10/19/2022	1,145,000,000	State of Connecticut Special Tax Obligation Bonds, Transportation Infrastructure Purposes, 2022 Series A and Special Tax Obligation Refunding Bonds, Transportation Infrastructure Purposes, 2022 Series B	N	SG
10/19/2022	117,660,000	Connecticut Housing Finance Authority, Housing Mortgage Finance Program Bonds, 2022 Series E-1 (Social Bonds)	N	SG
10/5/2022	950,000,000	The City of New York General Obligation Bonds, Fiscal 2023 Series B, Subseries B-1	N	SG
8/25/2022	1,084,635,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2023 Series B and Fiscal 2023 Series C	N	SG
8/17/2022	1,075,000,000	The City of New York General Obligation Bonds, Fiscal 2023 Series A, Tax-Exempt Bonds, Subseries A-1 and Taxable Bonds, Subseries A-2	N	SG
7/21/2022	628,515,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2023 Series S-1	N	SG
6/30/2022	950,000,000	New York City Transitional Finance Authority Future Tax Secured Tax-Exempt Subordinate Bonds Fiscal 2023 Series A, Subseries A-1	N	SG
6/29/2022	80,000,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds, 2022 Series C-1	N	SG
5/26/2022	725,000,000	State of Connecticut General Obligation Bonds (2022 Series C), General Obligation Refunding Bonds (2022 Series D)	N	SG
5/26/2022	350,000,000	State of Connecticut General Obligation Bonds Taxable General Obligation Bonds (2022 Series A)	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
5/20/2022	75,140,000	Connecticut Higher Education Supplemental Loan Authority State Supported Revenue Bonds (CHESLA Loan Program) 2022 Series B (AMT) and 2022 Series C (Federally Taxable)	N	CM
3/30/2022	227,185,000	University of Connecticut General Obligation Bonds 2022 Series A	N	SG
3/25/2022	400,000,000	New York City Housing Development Corporation Capital Fund Grant Program Revenue Bonds (New York City Housing Authority Program) Series 2022A Bonds	N	SG
3/10/2022	1,042,855,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2022 Series D And Fiscal 2022 Series E	N	SG
3/9/2022	198,000,000	New York City Transitional Finance Authority, New York Future Tax Secured Taxable Subordinate Bonds, Fiscal 2022 Subseries D-2	C	MEM
3/3/2022	103,145,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds, 2022 Series A (Social Bonds)	N	SG
3/2/2022	792,830,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2022 Series EE	N	SG
2/25/2022	198,070,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2022 Series A (Sustainable Development Bonds)	N	SG
2/10/2022	104,250,000	New York City Housing Development Corporation Housing Impact Bond 2022 Series A (Sustainable Development Bonds)	N	SG
2/4/2022	500,000,000	New York City Municipal Water Finance Authority Water and Sewer Second Resolution Revenue and Revenue Refunding Bonds, Fiscal 2022 Series CC	N	SG
1/21/2022	950,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2022 Series C, Subseries C-1	N	SG
12/15/2021	800,000,000	State of Connecticut General Obligation Bonds (2022 Series A) and General Obligation Bonds (2022 Series B) (Social Bonds)	N	SG
12/9/2021	319,185,000	New York City Housing Development Corporation Multi-family Housing Revenue Bonds, 2021 Series K-1, 2021 Series K-2 (sustainable Development Bonds)	N	SG
11/10/2021	500,000,000	State of Connecticut Special Tax Obligation Bonds Transportation Infrastructure Purposes, 2021 Series D	N	SG
10/14/2021	125,000,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds, 2021 Series J (Federally Taxable) (Sustainable Development Bonds)	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
9/15/2021	633,000,000	New York City Municipal Water Finance Authority Water and Sewer Second Resolution Revenue Bonds Fiscal 2022 Series BB	N	SG
9/2/2021	950,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2022 Series B	N	SG
8/19/2021	950,000,000	The City of New York General Obligation Bonds, Fiscal 2022 Series A-1	N	SG
8/19/2021	89,325,000	The City of New York General Obligation Bonds, Fiscal 2022 Series 1 (Reoffering)	N	SG
8/11/2021	310,375,000	New York City Housing Development Corporation Multi-family Housing Revenue Bonds, 2021 Series G-1 and G-2 (AMT (Sustainable Development Bonds))	N	SG
8/6/2021	932,360,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2022 Series A Subseries A-1 Tax-Exempt Bonds	N	SG
7/16/2021	804,580,000	New York City Transitional Finance Authority Building Aid Revenue Bonds, Fiscal 2022 Series S-1 Subseries S-1a	N	SG
7/1/2021	450,000,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2022 Series AA	N	SG
6/16/2021	2,000,000,000	Dormitory Authority of the State of New York Personal Income Tax Revenue Bonds (General Purpose), Series 2021C and 2021D	N	SG
6/16/2021	493,100,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds - Sustainable Development Bonds, 2021 Series F-1 and F-2	N	SG
6/3/2021	127,560,000	Chicago Transit Authority Capital Grant Receipts Revenue Bonds, Refunding Series 2021 (Federal Transit Administration Section 5307 Urbanized Area Formula Funds) and (Federal Transit Administration Section 5337 State of Good Repair Formula Funds)	N	CM
5/20/2021	700,000,000	State of Connecticut General Obligation Bonds (2021 Series B) (Social Bonds), General Obligation Refunding Bonds (2021 Series C) and (2021 Series D) (Forward Delivery) (Social Bonds)	N	SG
4/30/2021	39,315,000	State of Vermont General Obligation Bonds 2021 Series A, B, and C (Vermont Citizen Bonds)	N	SG
4/23/2021	1,032,000,000	State of Connecticut Special Tax Obligation Bonds Transp Infrastructure Purposes, 2021 Series A, 2021 Series B, 2021 Series C (Forward Delivery)	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
4/22/2021	212,510,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2021 Series C-1 (Sustainable Development Bonds) and 2021 Series C-2 (Sustainable Development Bonds)	N	SG
4/22/2021	38,380,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2016 Series C-2 (Term Rate) (Sustainable Development Bonds) and 2017 Series C-3-A (Sustainable Development Bonds)	N	SG
4/15/2021	124,615,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds Sustainable Development Bonds, 2021 Series D (Federally Taxable) (Sustainable Development Bonds)	N	SG
3/26/2021	1,000,915,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2021 Series F, Subseries F-1 and Fiscal 2021 Series G, Subseries G-1	N	SG
3/19/2021	1,961,770,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose), Series 2021A (Tax-Exempt)	N	SG
3/19/2021	226,320,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose), Series 2021B (Federally Taxable)	N	SG
3/11/2021	573,175,000	New York City Municipal Water Finance Authority Water And Sewer System Second General Resolution Revenue Bonds, Fiscal 2021 Series DD	N	SG
3/5/2021	85,000,000	The City of New York General Obligation Bonds, Fiscal 2015 Series F, Subseries F-4 (Reoffering)	N	SG
3/3/2021	1,246,060,000	The City of New York General Obligation Bonds, Fiscal 2021 Series F, Subseries F-1, , Subseries L-5 , Fiscal 2021 Series 1 (Reoffering) and Fiscal 2008 Series L, Subseries L-5 (Reoffering)	N	SG
2/25/2021	212,000,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2021 Series B (Federally Taxable)	N	SG
2/25/2021	181,340,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds	N	SG
2/24/2021	523,000,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2021 Series CC	N	SG
2/4/2021	900,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2021 Series E Subseries E-1	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
2/4/2021	89,170,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2010 Subseries F-5	N	SG
1/22/2021	1,111,950,000	Port Authority of New York and New Jersey, Consolidated Bonds (223rd - 226th Series)	N	SG
1/22/2021	400,000,000	Port Authority of New York and New Jersey, Consolidated Bonds Two Hundred Twenty-Fifth Series (Taxable)	N	SG
12/17/2020	310,195,000	New York City Health and Hospitals Corporation Health System Bonds 2020 Series A	N	CM
12/16/2020	800,000,000	State of Connecticut General Obligation Bonds 2021 Series A	N	SG
12/11/2020	452,950,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2020 Series I-1 (Sustainable Development Bonds) and 2020 Series I-2 (Sustainable Development Bonds)	N	SG
12/9/2020	279,315,000	University of Connecticut General Obligation Debt Service Commitment Bonds 2020 Series A Bonds and General Obligation Debt Service Commitment Refunding Bonds 2020 Series A	N	SG
12/3/2020	534,425,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2021 Series BB	N	SG
11/19/2020	289,065,000	New York City Housing Development Corporation Housing Impact Sustainable Development Bonds, 2020 Series C (Tax-Exempt Recycled) and 2020 Series D (Taxable)	N	SG
10/29/2020	700,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2021 Series D, Subseries D-1	N	SG
10/29/2020	219,420,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2001 Series C, Fiscal 2010 Subseries G-5 And Fiscal 2013 Subseries A-6	N	SG
10/8/2020	1,042,540,000	The City of New York General Obligation Bonds Fiscal 2021C, Fiscal 2008J-5, Fiscal 2008J-6, Fiscal 2008J-10, Fiscal 2012A-3	N	SG
10/8/2020	80,485,000	The City of New York General Obligation Bonds Fiscal 2006 Series I, Subseries I-A	N	SG
10/2/2020	64,035,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2020 Series H (Sustainable Development Bonds)	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
9/23/2020	650,000,000	New York City Municipal Water Finance Authority Water And Sewer System Second General Resolution Revenue Bonds Fiscal 2021 Series AA	N	SG
9/16/2020	900,000,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2021 Series C Subseries C-1	N	SG
9/16/2020	199,685,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2021 Series 1 and Fiscal 2021 Series 2	N	SG
8/27/2020	1,100,480,000	The City of New York General Obligation Bonds, Fiscal 2021 Series A and B Tax Exempt Bonds, Subseries A-1 and Tax-Exempt Bonds, Subseries B-1	N	SG
8/21/2020	1,348,955,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2021 Series A and Fiscal 2021 Series B, Subseries B-1	N	SG
8/13/2020	192,305,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2020 Series D-1 (Sustainable Development Bonds) and 2020 Series D-2 (Sustainable Development Bonds)	N	SG
8/13/2020	72,500,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2020 Series F-1 (Federally Taxable) (Sustainable Development Bonds)	N	SG
7/17/2020	2,225,380,000	New York State Urban Development Corporation State Personal Income Tax Revenue Bonds (General Purpose) Series 2020C (Tax-Exempt)	N	SG
7/17/2020	72,075,000	New York State Urban Development Corporation State Personal Income Tax Revenue Bonds (General Purpose) Series 2020D (Federally Taxable)	N	SG
7/1/2020	350,000,000	Massachusetts School Building Authority Senior Dedicated Sales Tax Bonds 2020 Series A (Social Bonds)	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
6/18/2020	1,288,210,000	New York State Urban Development Corporation State Income Tax Revenue Bonds (General Purpose) Series 2020A (Tax-Exempt)	N	SG
6/18/2020	492,010,000	New York State Urban Development Corporation State Income Tax Revenue Bonds (General Purpose) Series 2020B (Federally Taxable)	N	SG
6/11/2020	400,000,000	State of Connecticut General Obligation Bonds 2020 Series C	N	SG
6/3/2020	708,005,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2020 Series FF & GG-1, GG-2	N	SG
5/29/2020	500,000,000	State of Connecticut General Obligation Taxable Bonds 2020 Series A	N	SG
5/20/2020	850,000,000	State of Connecticut Special Tax Obligation Bonds Transportation Infrastructure Purposes 2020 Series A	N	SG
5/14/2020	850,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2020 Series C	N	SG
4/30/2020	1,120,610,000	Power Authority of the State of New York Series 2020 A Revenue Bonds (Tax-Exempt)	N	SG
4/30/2020	114,020,000	Power Authority of the State of New York Series 2020 B Revenue Bonds (Federally Taxable)	N	SG
3/11/2020	399,315,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2020 Series EE	N	SG
3/5/2020	859,745,000	The City of New York General Obligation Bonds, Fiscal 2020 Series D, Subseries D-1 (Tax-Exempt Bonds) Fiscal 2020 Series 1 (Tax-Exempt Bonds)	N	SG
2/13/2020	1,081,385,000	The City of New York General Obligation Bonds, Fiscal 2020 Series C, Tax-Exempt Bonds, Subseries C-1 and Taxable Bonds, Subseries C-2	N	SG
2/7/2020	528,290,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2020 Series DD	N	SG
12/13/2019	897,955,000	State of Connecticut General Obligation Bonds 2020 Series A and General Obligation Refunding Bonds 2020 Series B	N	SG
12/13/2019	850,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2020 Series B Subseries B-1	N	SG
12/13/2019	29,725,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2003 Series C Subseries C-3	N	SG
12/11/2019	1,986,590,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose) Series 2019D and Series 2019E	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
12/11/2019	1,397,595,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose) Series 2019F (Federally Taxable)	N	SG
12/5/2019	537,000,000	New York City Municipal Water and Sewer Authority Water and Sewer Second Resolution Revenue Bonds (New and Refunding)	N	SG
11/7/2019	719,500,000	Massachusetts School Building Authority Subordinated Dedicated Sales Tax Refunding Bonds 2019 Series B (Federally Taxable)	N	SG
10/18/2019	1,693,245,000	New York State Thruway Authority General Revenue Junior Indebtedness Obligations Series 2019B	N	SG
10/16/2019	11,400,000	Regional School Unit No.26 (Orono), Maine 2019 General Obligation Bonds	C	MEM
10/4/2019	850,000,000	The City of New York General Obligation Bonds Fiscal 2020 Series B, Subseries B-1	N	SG
8/8/2019	196,705,000	The City of New York General Obligation Bonds Fiscal 2014 Series D, Subseries D-3	N	SG
8/7/2019	242,990,000	New York City Transitional Finance Authority, New York Future Tax Secured Tax-Exempt Subordinate Bonds, Fiscal 2020 Subseries A-4 (Taxable)	C	MEM
7/31/2019	39,525,000	State of Vermont General Obligation Refunding Bonds, 2019 Series B (Vermont Citizen Bonds)	N	SG
7/24/2019	937,940,000	New York City General Obligation Bonds Fiscal 2020 Series A, Subseries A-1, Fiscal 2006 Series F, Subseries F-4B, Fiscal 2006 Series H, Subseries H-A, Fiscal 2008 Series J, Subseries J-8	N	SG
7/17/2019	20,480,000	County of Somerset, New Jersey General Obligation Bonds, Series 2019 Consisting of General Improvement Bonds, Series A, County College Bonds, Series B, and County College Bonds, Series C	C	MEM
7/11/2019	450,000,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2020 Series BB	N	SG
6/27/2019	80,000,000	County of Bergen, New Jersey General Obligation Bonds, Series 2019 Consisting of General Improvement Bonds, Co Vocational Tech School Bonds, and County College Bonds	C	MEM
6/26/2019	459,600,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal Year 2020AA	N	SG
6/21/2019	279,845,000	State of Connecticut State Revolving Fund General Revenue Bonds (Green Bonds, 2019 Series A) and State Revolving Fund Refunding General Revenue Bonds (2019 Series B)	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
6/20/2019	423,100,000	Dormitory Authority of the State of New York, New York State Personal Income Tax Revenue Bonds (General Purpose) Series 2019A (Bidding Group 4 Bonds) (Tax-Exempt)	C	MEM
4/25/2019	239,465,000	University of Connecticut General Obligation Debt Service Commitment Bonds 2019 Series A and General Obligation Debt Service Commitment Refunding Bonds 2019 Series A	N	SG
4/11/2019	500,000,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2019 Series FF	N	SG
3/29/2019	750,000,000	State of Connecticut General Obligation Bonds (2019 Series A)	N	SG
3/29/2019	250,000,000	State of Connecticut Taxable General Obligation Bonds (2019 Series A)	N	SG
3/27/2019	850,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Revenue Bonds Fiscal 2019 Series C Subseries C-1 Tax-Exempt Bonds	N	SG
3/8/2019	915,225,000	The City of New York General Obligation Bonds, Fiscal 2019 Series E and F Tax-Exempt Bonds, Series E and Tax-Exempt Bonds, Subseries F-1	N	SG
1/29/2019	11,600,000	Village of Ridgewood, New Jersey General Obligation Bonds (Hudson Street Parking Redevelopment Project), Series 2019	C	MGR
1/17/2019	475,000,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2019 Series DD	N	SG
1/16/2019	25,000,000	Board of Education of the Borough of Fair Lawn, New Jersey School Bonds, Series 2019	C	MGR
1/15/2019	40,000,000	Harford County, Maryland General Obligation Consolidated Public Improvement Bonds, Series 2019	C	MEM
1/9/2019	26,928,000	The Board of Education of Princeton, New Jersey School Bonds	C	MEM
12/11/2018	10,790,000	City of Concord, New Hampshire General Obligation Capital Improvement Bonds	C	MEM
11/30/2018	854,530,000	The City of New York General Obligation Bonds Fiscal 2019 Series D, Tax-Exempt Subseries D-1 and 2008 Series J, Subseries J-3 and J-11 Reoffering	N	SG
11/29/2018	12,250,000	The Board of Education of the Township of Randolph, New Jersey School Bonds	C	MEM
11/8/2018	1,150,000	Town of Dayton, Maine 2018 General Obligation Bonds	C	MEM
11/1/2018	12,415,000	County of Middlesex, New Jersey General Obligation Bonds, Series 2018A Consisting of General Improvement Bonds, County Bo-Tech Schools Bonds and County College Bonds	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
10/30/2018	39,105,000	Virginia Resources Authority, Virginia State Moral Obligation Revenue Bonds (Virginia Pooled Financing Program) Series 2018C	C	MEM
10/24/2018	78,355,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program 2018 Series E, Subseries E-1	N	SG
10/18/2018	1,217,760,000	New York City Transitional Finance Authority Building Aid Revenue Bonds, Fiscal 2019 Subseries S-3A	N	SG
10/17/2018	850,105,000	State of Connecticut Special Tax Obligation Bonds Transportation Infrastructure Purposes, 2018 Series B and Special Tax Obligation Refunding Bonds Transportation Infrastructure Purposes, 2018 Series C	N	SG
10/16/2018	3,430,000	Town of North Yarmouth, Maine 2018 General Obligation Bonds	C	MGR
10/11/2018	70,645,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program 2018 Series D, Subseries D-1 and Subseries D-2	N	SG
10/11/2018	9,225,000	Quakertown Community School District, Pennsylvania General Obligation Bonds, Series AA of 2018	C	MGR
9/7/2018	901,530,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Revenue Bonds Fiscal 2019 Series B, Subseries B-1, Fiscal 1999 Series A, Subseries A-2, Fiscal 2007 Series A, Subseries A-3	N	SG
8/16/2018	639,200,000	State of Connecticut 2018 Series E Tax-Exempt General Obligation Bonds, 2018 Series F Tax-Exempt General Obligation Refunding Bonds	N	SG
8/16/2018	264,035,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2019 Series AA	N	SG
8/16/2018	250,000,000	State of Connecticut General Obligation Bonds Taxable General Obligation Bonds 2018 Series A	N	SG
8/15/2018	4,662,000	Town of Gray, Maine 2018 General Obligation Bonds	C	MGR
8/10/2018	811,560,000	The City of New York General Obligation Bonds Fiscal 2019 Series A and B (Tax-Exempt Bonds) Fiscal 1994 Series H, Subseries H-3 (Tax-Exempt Bonds)	N	SG
8/7/2018	397,370,000	State of Minnesota, Minnesota General Obligation State Various Purpose Bonds, Series 2018A	C	MEM
7/26/2018	850,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Revenue Bonds Fiscal 2019 Series A Subseries A-1	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
7/24/2018	15,835,000	Township of East Hanover, New Jersey General Obligation Bonds, Series 2018 Consisting of General Improvement Bonds and Water/Sewer Utility Bonds	C	MGR
7/19/2018	929,310,000	New York City Transitional Finance Authority Building Aid Revenue Bonds, Fiscal 2019 Series S-1-2, Subseries S-2A	N	SG
7/17/2018	31,000,000	Town of Needham, Massachusetts General Obligation Municipal Purpose Loan of 2018 Bonds	C	MGR
7/12/2018	117,840,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2018 Series C, Subseries C-1 and Subseries C-2 (AMT)	N	SG
6/28/2018	18,715,000	St Mary's College of Maryland, Maryland Academic Fees and Auxiliary Facilities Fees Refunding Revenue Bonds 2018 Series A	C	MEM
6/13/2018	550,545,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds, 2018 Series C-1 and Series C-2 (Sustainable Neighborhood Bonds)	N	SG
6/13/2018	373,600,000	New York City Municipal Water Finance Authority Water and Sewer Second Resolution Refunding Bonds	N	SG
6/13/2018	75,000,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds, 2018 Series D (Federally Taxable) (Sustainable Neighborhood Bonds)	N	SG
6/12/2018	16,135,000	Town of Westport, Connecticut General Obligation Bonds, Issue of 2018	C	MGR
6/7/2018	42,715,000	School District of Springfield Township General Obligation Bonds, Series of 2018	C	MEM
6/6/2018	492,105,000	State of Connecticut General Obligation Bonds 2018 Series C and Refunding Bonds 2018 Series D	N	SG
5/23/2018	22,305,000	City of Falls Church, Virginia General Obligation Public Improvement Bonds, Series 2018	C	MEM
5/17/2018	20,000,000	Fort Mill School District No. 4 of York County, South Carolina General Obligation Bonds, Series 2018	C	MEM
5/16/2018	32,620,000	Sunshine State Government Financing Commission, Florida Capital Improvement Revenue Refunding Bonds, Series 2018B (Coral Gables Program)	C	MEM
5/15/2018	9,555,000	City of Springfield, Tennessee General Obligation Public Improvement Bonds, Series 2018	C	MEM
5/15/2018	5,590,000	Sudbury Water District, Massachusetts General Obligation Water Bonds 2018	C	MGR
5/9/2018	5,485,000	Town of Bedford, Massachusetts General Obligation Municipal Purpose Loan of 2018 Bonds	C	MGR

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
4/25/2018	118,275,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2018 Subseries B-1 (Non-AMT) and Subseries B-2 (AMT)	N	SG
4/19/2018	276,075,000	University of Connecticut General Obligation Bonds 2018 Series A	N	SG
4/18/2018	26,120,000	City of Saint Paul, Minnesota General Obligation Street Improvement Special Assessment Refunding Bonds, Series 2018B	C	MEM
4/18/2018	13,175,000	City of Saint Paul, Minnesota General Obligation Tax Increment Refunding Bonds, Series 2018C	C	MEM
4/18/2018	12,765,000	City of Saint Paul, Minnesota General Obligation Various Purpose Bonds, Series 2018A	C	MEM
4/16/2018	17,970,000	City of Ankeny, Iowa General Obligation Bonds, Series 2018A	C	MEM
4/12/2018	850,000,000	The City of New York General Obligation Bonds Fiscal 2018 Series F Tax-Exempt Bonds, Subseries F-1	N	SG
4/12/2018	63,875,000	The City of New York General Obligation Bonds Fiscal 2004 Series A, Subseries A-2	N	SG
4/10/2018	18,075,000	Orange Water and Sewer Authority, North Carolina Water and Sewer System Revenue Refunding Bonds, Series 2018	C	MEM
4/5/2018	458,920,000	New York City Municipal Water Finance Authority Water and Sewer Second Resolution revenue Bonds, Fiscal 2018 Series EE	N	SG
3/29/2018	526,440,000	State of Connecticut General Obligation Bonds General Obligation Bonds (2018 Series A) and General Obligation Refunding Bonds (2018 Series B)	N	SG
3/27/2018	82,750,000	City of Oklahoma City, Oklahoma General Obligation Bonds, Series 2018	C	MEM
3/20/2018	11,580,000	City of Olathe, Kansas Water and Sewer System Revenue Bonds, Series 2018	C	MEM
3/16/2018	1,002,455,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2018 Series S-3 and Fiscal 2018 Series S-4A Tax Exempt Bonds	N	SG
3/15/2018	141,725,000	University of Connecticut Special Obligation Student Fee 2018 Bonds Series A	N	SG
3/13/2018	19,995,000	Mechanicsburg Area School District, Pennsylvania General Obligation Bonds, Series of 2018	C	MGR
3/6/2018	8,385,000	City of Peabody, Massachusetts General Obligation Municipal Purpose Loan of 2018 Bonds (March)	C	MEM
3/2/2018	596,220,000	New York State Thruway Authority General Revenue Refunding Bonds Series L	N	SG
2/27/2018	12,446,000	The Board of Education of the Borough of Cresskill, New Jersey School Bonds, Series 2018	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
2/23/2018	700,000,000	The City of New York General Obligation Bonds Fiscal 2018 Series E Tax-Exempt Bonds, Subseries E-1	N	SG
2/23/2018	194,255,000	The City of New York, New York General Obligation Bonds, Fiscal 2004 Subseries A-6, Fiscal 2008 Subseries J-4, Fiscal 2011 Subseries F-3	N	SG
2/15/2018	14,880,000	Charter Township of Benton, Michigan Capital Improvement Bonds, Series 2018 (New Improvements and Refunding Project (Limited Tax General Obligation)	C	MEM
2/7/2018	118,095,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds, 2018 Series A	N	SG
2/4/2018	15,475,000	County of McNairy, Tennessee General Obligation School Bonds, Series 2018	C	MEM
1/31/2018	5,955,000	City of Fall River, Massachusetts State Qualified General Obligation Municipal Purpose Loan of 2018	C	MEM
1/30/2018	23,758,000	The Board of Education of the Borough of Glen Ridge, New Jersey School Bonds, Series 2018	C	MEM
1/30/2018	18,125,000	City of Ithaca, New York Public Improvement (Serial) Bonds, 2018	C	MEM
1/25/2018	800,000,000	State of Connecticut Special Tax Obligation Bonds, Transportation Infrastructure Purposes 2018 Series A	N	SG
1/25/2018	395,000,000	Massachusetts School Building Authority Subordinated Dedicated Sales Tax Bonds 2018 Series A	N	SG
1/23/2018	28,094,000	Board of Education of the Borough of South Plainfield, New Jersey School Bonds, Series 2018	C	MEM
1/11/2018	13,000,000	Town of West Hartford, Connecticut General Obligation Bonds, Series 2018A	C	MEM
12/7/2017	943,505,000	The City of New York General Obligation Refunding Bonds Fiscal 2018 Series C and D	N	SG
11/30/2017	337,015,000	New York State Environmental Facilities Corporation State Clean Water and Drinking Water Revolving Funds Revenue Bonds (New York City Municipal Water Finance Authority Projects - Second Resolution Bonds) Series 2017 E Subordinated SRF Bonds	N	SG
11/15/2017	398,520,000	New York City Municipal Water Finance Authority Water and Sewer Second Resolution Revenue Bonds Fiscal 2018 Series CC	N	SG
11/2/2017	121,165,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds, 2017 Series F, Subseries F-1 and Subseries F-2 (AMT)	N	SG
11/2/2017	55,760,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds, 2017 Series F, Subseries F-4 (Non-AMT) and Subseries F-5 (AMT)	N	SG

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
11/1/2017	3,420,000	Town of Ayer, Massachusetts General Obligation Municipal Purpose Loan of 2017 Bonds	C	MGR
10/24/2017	21,000,000	County of Orange, North Carolina General Obligation School Bonds, Series 2017B	C	MEM
10/24/2017	15,475,000	County Commissioners of St. Mary's County, Maryland Public Improvement Refunding Bonds, Series 2017	C	MEM
10/23/2017	19,565,000	City of St Cloud, Minnesota General Obligation Public Safety Facilities Refunding Bonds, Series 2017	C	MEM
10/19/2017	850,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2018 Series B Subseries B-1	N	SG
10/10/2017	1,935,000	Town of Leicester, Massachusetts General Obligation Municipal Purpose Loan of 2017 Bonds	C	MGR
10/3/2017	49,870,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2017 Series E	N	SG
9/27/2017	383,975,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2018 Series BB Bonds	N	SG
9/20/2017	15,005,000	City of Taunton, Massachusetts General Obligation Municipal Purpose Loan of 2017 Bonds	C	MGR
9/15/2017	550,000,000	The City of New York General Obligation Bonds, Fiscal 2018 Series B, Subseries B-1	N	SG
9/15/2017	307,305,000	The City of New York General Obligation Bonds, Fiscal 2018 Series 1	N	SG
9/12/2017	8,100,000	Regional School Unit No. 13 (Cushing, Owls Head, Rockland, South Thomaston and Thomaston), Maine 2017 General Obligation Bonds - Series A	C	MGR
9/7/2017	25,400,000	Lexington County School District No. 4 General Obligation Bonds, Series 2017	C	MEM
9/6/2017	9,000,000	Hyannis Fire District, Massachusetts General Obligation Fire Station Bonds	C	MGR
8/29/2017	11,500,000	School District No. 6 of Spartanburg County General Obligation Bonds, Series 2017	C	MEM
8/28/2017	26,105,000	City of Kingsport, Tennessee General Obligation Improvement Bonds Series 2017A and Series 2017B	C	MEM
8/22/2017	34,700,000	State of Vermont General Obligation Bonds, 2017 Series A (Vermont Citizen Bonds)	N	SG
8/17/2017	9,060,000	Town of Trumbull, Connecticut General Obligation Bonds, Issue of 2017	C	MEM
12/1/2016	415,650,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2017 Series CC Bonds	N	SG

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
11/30/2016	340,000,000	Montgomery County, Maryland General Obligation Consolidated Public Improvement Bonds of 2016, Series A	C	MEM
11/30/2016	14,560,000	Department of Transportation of Maryland, Maryland Certificates of Participation (Maryland Port Administration Facility Project) Refunding Series of 2016 (AMT)	C	MEM
11/17/2016	68,050,000	City of Everett, Washington Water and Sewer Revenue Refunding Bonds, 2016	C	MEM
11/17/2016	50,930,000	State of New Hampshire, New Hampshire General Obligation Refunding Bonds, 2016 Series A	C	MEM
11/17/2016	50,435,000	Clark County School District, Nevada General Obligation (Limited Tax) Various Purpose Medium-Term Bonds, Series 2016F	C	MEM
11/9/2016	12,650,000	Anderson County School District No. 2, South Carolina General Obligation Refunding Bonds, Series 2016	C	MEM
11/2/2016	170,880,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds, 2016 Series F (Tax-Exempt, AMT, and Taxable) and 2016 Series G (Tax-Exempt)	N	SG
11/2/2016	60,900,000	Economic Development Authority of Loudoun County, Virginia Public Facility Lease Revenue Bonds, Series 2016B (Loudoun County Public Facilities Project)	C	MEM
11/1/2016	231,795,000	Bellevue School District No. 405, Washington Unlimited Tax General Obligation and Refunding Bonds, 2016 (Washington St SD Credit Enhancement Program)	C	MEM
11/1/2016	20,300,000	Wicomico County, Maryland General Obligation Public Improvement Bonds of 2016	C	MEM
10/27/2016	11,650,000	County of Ulster, New York Public Improvement (Serial) Bonds, 2016 Series B	C	MEM
10/27/2016	1,103,000	County of Ulster, New York Public Improvement (Serial) Bonds, 2016 Series A	C	MGR
10/20/2016	380,520,000	State of Georgia, Georgia General Obligation Refunding Bonds, Series 2016E	C	MEM
10/20/2016	43,495,000	Clark County, Nevada Highway Revenue (Motor Vehicle Fuel Tax) Refunding Bonds, Series 2016B	C	MEM
10/19/2016	650,000,000	State of Connecticut General Obligation Bonds 2016 Series E and F (Green Bonds)	N	SG
10/19/2016	645,655,000	Metropolitan Transportation Authority Transportation Refunding Revenue Bonds Series 2016D	N	SG
10/18/2016	805,660,000	State of California, California Tax-Exempt Various Purpose General Obligation Refunding Bonds (Group Bid B)	C	MEM
10/18/2016	21,025,000	Maryland Water Quality Financing Administration, Maryland Revolving Loan Fund Revenue Refunding Bonds Series 2016	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
10/7/2016	1,119,385,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose), Series 2016D	N	SG
9/29/2016	44,000,000	Parkway C-2 School District, Missouri General Obligation Bonds, Series 2016	C	MEM
9/29/2016	4,485,000	Township High School District Number 225, Cook County (Glenbrook), Illinois General Obligation Limit School Bonds, Series 2016B	C	MEM
9/28/2016	16,690,000	County of Lehigh, Pennsylvania General Obligation Bonds, Series of 2016	C	MGR
9/27/2016	95,000,000	Hennepin County, Minnesota General Obligation Bonds, Series 2016B	C	MEM
9/27/2016	59,865,000	Hennepin County, Minnesota General Obligation Refunding Bonds, Series 2016C	C	MEM
9/27/2016	13,575,000	Virginia Housing Development Authority, Virginia Rental Housing Bonds, 2016 Series D- Non-AMT	C	MEM
9/22/2016	105,140,000	State of Alabama, Alabama General Obligation Refunding Bonds, Series 2016-C	C	MEM
9/15/2016	800,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2017 Series B, Subseries B1 Tax-Exempt Bonds	N	SG
9/14/2016	868,265,000	State of Connecticut Special Tax Obligation Bonds Transportation Infrastructure Purposes, 2016 Series A and Special Tax Obligation Refunding Bonds Transportation Infrastructure Purposes, 2016 Series B	N	SG
9/14/2016	151,000,000	City of Seattle, Washington Municipal Light and Power Improvement and Refunding Revenue Bonds, 2016C	C	MEM
9/14/2016	32,800,000	Parking Authority of River City Inc., Kentucky First Mortgage Revenue Refunding Bonds, Series 2016B	C	MEM
9/13/2016	89,495,000	Louisville/Jefferson County Metro Government, Kentucky General Obligation Bonds (Center City Project) Series 2016	C	MEM
9/8/2016	134,200,000	State of Washington, Washington Motor Vehicle Fuel Tax General Obligation Bonds Series 2017B	C	MEM
9/8/2016	90,370,000	State of Washington, Washington Motor Vehicle Fuel Tax General Obligation Bonds (SR 520 Corridor Program-Toll Revenue) Series 2017C	C	MEM
9/8/2016	84,000,000	Fairfield-Suisun Unified School District, California General Obligation Bonds, Election of 2016 Series 2016	C	MEM
9/8/2016	11,500,000	School District No. 6 of Spartanburg County, South Carolina General Obligation Bonds, Series 2016	C	MEM
9/7/2016	18,000,000	The Consolidated School District of Aiken County, South Carolina General Obligation Bonds, Series 2016B	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
9/1/2016	86,850,000	Sequoia Union High School District, California 2016 General Obligation Refunding Bonds	C	MEM
8/25/2016	162,465,000	City of Portland, Oregon Second Lien Sewer System Revenue Refunding Bonds, 2016 Series B	C	MEM
8/24/2016	30,000,000	St. Tammany Parish Wide School District No. 12 of the Parish of St. Tammany, Louisiana General Obligation School Bonds, Series 2016	C	MEM
8/18/2016	1,470,000	Regional School District No. 1 (Lower Kennebec Region School Unit), Maine 2016 General Obligation Refunding Bonds (Federally Taxable) Series B	C	MGR
8/12/2016	206,025,000	Florida State Board of Education, Florida Public Education Capital Outlay Bonds, 2016 Series E	C	MEM
8/5/2016	145,000,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds, 2016 Series E	N	SG
8/5/2016	21,375,000	Swarthmore Borough Authority, Pennsylvania Swarthmore College Revenue Bonds, Series B of 2016	C	MGR
8/3/2016	800,000,000	The City of New York General Obligation Bonds, Fiscal 2017 Series A Subseries A-1	N	SG
8/3/2016	55,500,000	The City of New York General Obligation Bonds, Fiscal 2017 Series 1	N	SG
8/3/2016	13,925,000	Town of Huntington, New York Public Improvement Serial Bonds - 2016	C	MGR
8/2/2016	43,570,000	Logan/Todd Region Water Commission Refunding Revenue Bonds, Series 2016A	C	MEM
7/27/2016	200,000,000	State of North Carolina, North Carolina General Obligation Public Improvement (Connect NC) Bonds, Series 2016B	C	MEM
7/27/2016	14,884,000	Board of Education of the Township of Cedar Grove, New Jersey School Bonds	C	MEM
7/26/2016	73,735,000	City of Alexandria, Virginia General Obligation Capital Improvement Bonds, Series 2016A	C	MEM
7/25/2016	1,500,000	Town of Medfield, Massachusetts General Obligation Athletic Facility Reconstruction Bonds	C	MGR
7/21/2016	863,860,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2016C	N	SG
7/20/2016	49,475,000	County Commissioners of Calvert County, Maryland General Obligation Consolidated Public Improvement and Refunding Bonds, 2016 Series	C	MEM
7/20/2016	27,430,000	City of Murfreesboro, Tennessee General Obligation Refunding Bonds, Series 2016B	C	MEM
7/14/2016	800,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2017 Subseries A-1 Tax-Exempt Bonds	N	SG
7/13/2016	12,600,000	The Board of Education of the Town of Westfield, New Jersey School Bonds, Series 2016B	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
7/12/2016	11,707,000	Board of Education of the Northern Valley Regional High School District, New Jersey School Bonds, Series 2016	C	MEM
7/8/2016	72,000,000	Washington County, Oregon General Obligation Bonds, 2016B (Tax-Exempt)	C	MEM
6/30/2016	67,900,000	Sachem Central School District at Holbrook, New York School District Refunding Serial Bonds - 2016	C	MGR
6/30/2016	62,505,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2016 Series C	N	SG
6/28/2016	115,970,000	Washington County, Tennessee General Obligation Refunding Bonds, Series 2016A	C	MEM
6/28/2016	92,520,000	Florida Department of Transportation, Florida Right-of-Way Acquisition and Bridge Construction Bonds, Series 2016B	C	MEM
6/23/2016	673,990,000	Metropolitan Transportation Authority, Transportation Revenue Refunding Bonds, Series 2016B	N	SG
6/21/2016	49,150,000	County of Suffolk, New York Public Improvement Serial Bonds - 2016 Series A	C	MGR
6/16/2016	55,420,000	County of Monroe, New York Public Improvement Serial Bonds-2016	C	MGR
6/15/2016	500,955,000	New York State Environmental Facilities Corporation State Clean Water and Drinking Water Revolving Funds Revenue Bonds (New York City Municipal Water Finance Authority Projects - Second Resolution Bonds) Series 2016A Subordinated SRF Bonds	N	SG
6/15/2016	85,404,000	Frederick County, Maryland General Obligation Public Facilities Bonds, Series 2016A	C	MEM
6/14/2016	86,480,000	County of Chesterfield, Virginia General Obligation Public Improvement and Refunding Bonds, Series of 2016	C	MEM
6/14/2016	35,725,000	City of Huntsville, Alabama General Obligation Warrants, Series 2016-A	C	MEM
6/14/2016	25,640,000	City of Fargo, North Dakota General Obligation Development Bonds, Series 2016A	C	MEM
6/14/2016	24,080,000	City of Portsmouth, New Hampshire General Obligation Capital Improvement Loan of 2016 Bonds, Series B	C	MGR
6/13/2016	30,700,000	Menomonee Falls School District, Wisconsin General Obligation School Improvement Bonds, Series 2016	C	MEM
6/10/2016	377,020,000	New York City Housing Development Corporation Multi-Family Housing Revenue Bonds 2016 Series E (Fixed Rate) (Sustainable Neighborhood Bonds) and 2016 Series C (Fixed Rate) (Sustainable Neighborhood Bonds)	N	SG
6/8/2016	141,445,000	Nassau County, New York General Improvement Bonds, 2016 Series C	C	MGR

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/8/2016	18,910,000	Sweet Home Central School District, New York School District Serial Bonds, 2016	C	MGR
6/7/2016	383,025,000	State of Georgia, Georgia General Obligation Refunding Bonds 2016C-1 and 2016C-2	C	MEM
6/6/2016	3,699,890	Town of Swampscott, Massachusetts General Obligation Municipal Purpose Loan of 2016 Bonds	C	MGR
6/3/2016	15,000,000	Connecticut Higher Education Supplemental Loan Authority Revenue Bonds 2016 Series A (CHESLA Loan Program)	N	SG
6/2/2016	988,175,000	Commonwealth of Pennsylvania, Pennsylvania General Obligation Bonds First Series of 2016 and First Refunding Series of 2016	C	MGR
6/2/2016	18,374,224	Bethlehem Central School District, New York School District Serial Bonds, 2016	C	MGR
5/27/2016	27,000,000	Reorganized School District No. R-II of Cass County, Missouri General Obligation School Building Bonds, Series 2016 (Missouri Direct Deposit Program)	C	MEM
5/26/2016	89,425,000	City of Virginia Beach, Virginia Water and Sewer System Revenue Bonds Series of 2016A and Refunding Series of 2016B	C	MEM
5/26/2016	55,505,000	City School District of The City of Niagara Falls, New York General Obligation (Serial) Bonds, 2016	C	MGR
5/25/2016	501,440,000	State of Connecticut General Obligation Refunding Bonds 2016 Series B	N	SG
5/25/2016	123,355,000	Metropolitan Council (Minneapolis-St. Paul Metropolitan Area), Minnesota General Obligation Wastewater Revenue and Refunding Bonds, Series 2016C	C	MEM
5/25/2016	86,570,000	Los Angeles County Metropolitan Transportation Authority, California Proposition C Sales Tax Revenue Refunding Bonds, Senior Bonds, Series 2016-A	C	MEM
5/24/2016	82,250,000	Ladue School District, Missouri General Obligation Bonds, Series 2016	C	MEM
5/23/2016	17,015,000	City of Murfreesboro, Tennessee Water and Sewer System Revenue and Tax Refunding Bonds, Series 2016C	C	MEM
5/20/2016	800,470,000	The City of New York General Obligation Bonds, Fiscal 2016 Series E and F	N	SG
5/20/2016	588,305,000	Metropolitan Transportation Authority Dedicated Tax FundGreen Bonds, Series 2016B (Climate Bond Certified)	N	SG
5/19/2016	90,775,000	Clark County School District, Nevada General Obligation (Limited Tax) Refunding Bonds (Additonally Secured by Pledged Revenues) Series 2016B	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
5/19/2016	33,470,000	Clark County School District, Nevada General Obligation (Limited Tax) Mid-Term Bonds Series 2016C	C	MEM
5/19/2016	22,105,000	State of Ohio, Ohio Third Frontier Research and Development General Obligation Refunding Bonds, Series 2016B	C	MEM
5/13/2016	49,910,000	Fontana Unified School District, California 2016 General Obligation Refunding Bonds	C	MEM
5/12/2016	15,075,000	City of Beacon, New York Public Improvement Serial Bonds, Series 2016A	C	MGR
5/10/2016	94,755,000	City and County of Denver, Colorado Master Resolution Water Revenue Bonds, Series 2016A	C	MEM
5/10/2016	63,470,000	City and County of Denver, Colorado Master Resolution Water Refunding Revenue Bonds, Series 2016B	C	MEM
5/10/2016	20,890,000	Northwestern Michigan College, Michigan 2016 Community College Facilities Bonds General Obligation-Limited Tax)	C	MEM
5/5/2016	850,000,000	New York State Thruway Authority General Revenue Junior Indebtedness Obligations Series 2016A	N	SG
5/4/2016	72,615,000	City of Glendale, California Electric Revenue Bonds, 2016 Refunding Series	C	MEM
5/4/2016	20,875,000	County of Oneida, New York Public Improvement (Serial) Bonds, 2016	C	MGR
5/3/2016	65,190,000	Virginia Public School Authority, Virginia School Technology and Security Notes Series IV	C	MEM
5/3/2016	13,275,000	Pittsylvania County, Virginia General Obligation School Refunding Bonds, Series 2016	C	MEM
5/2/2016	11,300,000	City of Prairie Village, Kansas General Obligation Bonds (Meadowbrook TIF Project), Series 2016A	C	MEM
5/2/2016	7,080,000	Independent School District No. 281 (Robbinsdale Area Schools), Minnesota General Obligation Alternative Facilities Refunding Bonds, Series 2016B (MN SD Credit Enhancement Program)	C	MEM
4/28/2016	17,150,000	Pelham Union Free School District, New York School District Refunding Serial Bonds-2016	C	MGR
4/27/2016	149,000,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2016 Series B	N	SG
4/7/2016	341,935,000	University of Connecticut General Obligation Bonds, 2016 Series A and General Obligation Bonds, 2016 Refunding Series A	N	SG
4/7/2016	5,305,000	Town of Mashpee, Massachusetts General Obligation Refunding Bonds	C	MGR
4/6/2016	178,955,000	City and County of San Francisco, California General Obligation Various Purpose Bonds Series 2016C, Series 2016D and 2016E	C	MEM
4/6/2016	20,630,000	Board of Trustees of the University of Illinois, Illinois University of Illinois Auxiliary Facilities System Revenue, Bonds, Series 2016B	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
3/31/2016	139,370,000	Long Beach Unified School District, California 2016 General Obligation Refunding Bonds	C	MEM
3/30/2016	12,500,000	Albuquerque Metropolitan Arroyo Flood Control Authority, New Mexico General Obligation Bonds, Series 2016	C	MEM
3/28/2016	28,235,000	Unified School District No. 512, Johnson County (Shawnee Mission), Kansas General Obligation Capital Outlay Bonds Series 2016-A	C	MEM
3/17/2016	550,000,000	State of Connecticut General Obligation Bonds (2016 Series A)	N	SG
3/17/2016	57,750,000	Nevada System of Higher Education, Nevada Universities Revenue Bonds, Series 2016A	C	MEM
3/16/2016	15,675,000	Community Consolidated School District No. 15 (Palatine), Illinois General Obligation Limited Tax School Bonds Series 2016	C	MEM
3/15/2016	750,000,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2016 Series S- 1	N	SG
3/11/2016	76,315,000	Missouri Housing Development Commission Single Family Mortgage Revenue Bonds (First Place Homeownership Loan Program) 2016 Series A-1 (AMT), 2016 Series A-2 (Non-AMT)	N	SG
3/9/2016	1,654,930,000	New York State Urban Development Corporation State Personal Income Tax Revenue Bonds (General Purpose), Series 2016A	N	SG
3/9/2016	100,000,000	New Jersey Educational Facilities Authority, New Jersey Princeton University Revenue Bonds, 2016 Series A	C	MGR
3/3/2016	3,078,000	Town of Canton, Massachusetts General Obligation Municipal Purpose Loan of 2016 Bonds	C	MGR
3/1/2016	352,860,000	New York City Municipal Water Finance Authority Water and Sewer Second General Resolution Revenue Bonds, Fiscal 2016 Subseries CC-1	N	SG
2/25/2016	800,505,000	The City of New York General Obligation Bonds, Fiscal 2016 Series C and D	N	SG
2/25/2016	23,240,000	Western Kentucky University, Kentucky General Receipts Refunding Bonds, 2016 Series A	C	MEM
2/24/2016	6,250,291	Town of Brewster, Massachusetts General Obligation Municipal Purpose Loan of 2016 Bonds	C	MGR
2/24/2016	3,067,000	The Board of Education of the Township of Little Falls, New Jersey School Bonds	C	MGR
2/23/2016	112,000,000	Baltimore County, Maryland Consolidated Public Improvement Bonds- 2016 Series	C	MEM
2/23/2016	96,150,000	State of Ohio, Ohio Infrastructure Improvement General Obligation Refunding Bonds, Series 2016A	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
2/23/2016	91,600,000	Snohomish School District No. 201, Washington Unlimited Tax General Obligation Improvement and Refunding Bonds, 2016 (Washington State SD Credit Enhancement Program)	C	MEM
2/23/2016	24,205,000	Williamson County, Tennessee General Obligation Refunding Bonds, Series 2016A	C	MEM
2/23/2016	23,355,000	Williamson County, Tennessee County District School Refunding Bonds, Series 2016A	C	MEM
2/19/2016	782,520,000	Metropolitan Transportation Authority Transportation Revenue Green Bonds, Series 2016A (Climate Bond Certified)	N	SG
2/17/2016	108,970,000	York County, South Carolina General Obligation Bonds of 2016	C	MEM
2/10/2016	750,000,000	New York City Transitional Finance Authority Future Tax Secured Tax-Exempt Subordinate Bonds Fiscal 2016 Series E-1	N	SG
2/10/2016	145,000,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2016 Series A-1 (Non-AMT) 2016 Series A-2 (AMT)	N	SG
2/10/2016	129,025,000	Board of Trustees of the University of Illinois, Illinois University of Illinois Auxiliary Facilities System Refunding Revenue Bonds, Series 2016A	C	MEM
2/10/2016	24,995,000	City of Elgin, Illinois General Obligation Corporate Purpose Bonds, Series 2016	C	MEM
2/9/2016	263,955,000	Clark County, Nevada General Obligation (Limited Tax) Bond Bank Refunding Bonds (Additionally Secured by Pledged Revenue), Series 2016A	C	MEM
2/9/2016	199,065,000	State of Washington, Washington Motor Vehicle Fuel Tax General Obligation Bonds, Series 2016D	C	MEM
2/9/2016	40,000,000	Harford County, Maryland General Obligation Consolidated Public Improvement Bonds, Series 2016	C	MEM
2/9/2016	11,825,000	Meade County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2016	C	MEM
2/5/2016	140,000,000	University System of Maryland, Maryland Auxiliary Facility and Tuition Revenue Bonds 2016 Series A	C	MEM
2/5/2016	61,330,000	University System of Maryland, Maryland Auxiliary Facility and Tuition Revenue Bonds 2016 Refunding Series B	C	MEM
2/3/2016	74,475,000	School District No. 5 of Anderson County, South Carolina General Obligation Refunding Bonds, Series 2016	C	MEM
1/28/2016	50,430,000	City of Virginia Beach, Virginia General Obligation Public Improvement Refunding Bonds, Series 2016B	C	MEM
1/27/2016	3,328,000	The Board of Education of The Sterling Regional High School District, New Jersey School Bonds, Series 2016	C	MGR

Trade Date	Size	Issue	Neg/ Comp	Role
1/22/2016	541,240,000	Triborough Bridge and Tunnel Authority (MTA Bridges and Tunnels) General Revenue Bonds, Series 2016A	N	SG
1/19/2016	65,385,000	Independent School District No. 761 (Owatonna), Minnesota General Obligation School Building Bonds, Series 2016A (MN SD Credit Enhancement Program)	C	MEM
1/19/2016	30,000,000	Carlsbad Municipal School District, New Mexico General Obligation School Building Bonds, Series 2016	C	MEM
1/19/2016	15,300,000	West Chester Area School District, Pennsylvania General Obligation Bonds, Series of 2016	C	MGR
1/13/2016	116,875,000	City of Seattle, Washington Municipal Light and Power Refunding Revenue Bonds, 2016B	C	MGR
1/13/2016	11,000,000	Regional School District No. 16 of the State of Connecticut, Connecticut General Obligation Bonds, Issue of 2016	C	MGR
1/12/2016	59,050,000	Board of Education of Washington County School District, Utah General Obligation School Building and Refunding Bonds (Utah School Bond Guaranty Program) Series 2016	C	MGR
12/15/2015	2,500,000	Borough of Flemington, New Jersey General Obligation General Improvement Bonds, Series 2015	C	MGR
12/9/2015	191,000,000	Clemson University, South Carolina Higher Education Revenue Bonds, Series 2015B	C	MEM
12/9/2015	34,755,000	Special School District No. 1 (Minneapolis), Minnesota General Obligation Alternative Facility Bonds, Series 2015B (MN School District Credit Enhancement Program)	C	MEM
12/9/2015	21,275,000	Special School District No. 1 (Minneapolis), Minnesota General Obligation School Building Bonds, Series 2015A (MN School District Credit Enhancement Program)	C	MEM
12/9/2015	18,875,000	Clemson University, South Carolina Athletic Facilities Revenue Bonds, Series 2015B	C	MEM
12/8/2015	67,144,108	Town of Hempstead, New York Public Improvement (Serial) Bonds, 2015	C	MGR
12/2/2015	30,105,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2015 Series E-1 and E-2	N	SG
12/1/2015	51,945,000	Highland Park Independent School District (Dallas County), Texas Unlimited Tax Refunding Bonds, Series 2015	C	MEM
11/23/2015	28,640,000	Independent School District No. 192 (Farmington), Minnesota General Obligation School Building Refunding Bonds, Series 2015C (MN SD Credit Enhancement Program)	C	MEM
11/20/2015	56,000,000	Missouri Housing Development Commission Single Family Mortgage Revenue Bonds 2015 Series C	N	SG

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
11/19/2015	52,660,000	Louisville/Jefferson County Metro Government, Kentucky Tax-Exempt General Obligation Bonds, Series 2015A	C	MEM
11/19/2015	37,270,000	County Commissioners of Carroll County, Maryland Consolidated Public Improvement and Refunding Bonds of 2015	C	MEM
11/18/2015	650,000,000	State of Connecticut General Obligation Bonds 2015 Series F and Series G Green Bonds	N	SG
11/18/2015	349,845,000	New York City Municipal Water Finance Authority Second General Resolution Revenue Bonds Fiscal 2016 Series BB	N	SG
11/17/2015	188,895,000	The Metropolitan Utilities District of Omaha, Nebraska Water System Improvement and Refunding Revenue Bonds, Series 2015	C	MEM
11/17/2015	32,385,000	Virginia Housing Development Authority, Virginia Rental Housing Bonds, 2015 Series E-Non-AMT	C	MEM
11/10/2015	43,665,000	Robertson County, Tennessee General Obligation Refunding Bonds, Series 2015	C	MEM
11/10/2015	31,905,000	City of Bismarck, North Dakota Refunding Improvement Bonds of 2015, Series K	C	MEM
11/9/2015	26,140,000	Fox Valley Park District, Illinois General Obligation Refunding Park Bonds, Series 2015B	C	MEM
11/4/2015	32,262,247	Riverhead Central School District, New York School District Serial Bonds - 2015	C	MGR
11/4/2015	21,580,000	Community Unit School District No. 302 of Kane and DeKalb Counties (Kaneland), Illinois General Obligation Refunding School Bonds, Series 2015A	C	MEM
10/27/2015	233,135,000	Florida State Board of Education, Florida Public Education Capital Outlay Refunding Bonds 2015 Series F	C	MEM
10/27/2015	9,475,000	Unified School District No. 443, Ford County (Dodge City), Kansas General Obligation Refunding Bonds, Series 2015-B	C	MEM
10/23/2015	749,660,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2016 Series B, C, & D	N	SG
10/21/2015	42,000,000	Independent School District No. 728 (Elk River), Minnesota General Obligation School Building Refunding Bonds, Series 2015C (MN SD Credit Enhancement Program)	C	MEM
10/20/2015	544,150,000	State of California, California Tax-Exempt Various Purpose General Obligation Refunding Bonds (Bid Group C)	C	MEM
10/20/2015	300,000,000	State of Ohio, Ohio Higher Education General Obligation Bonds, Series 2015C	C	MEM
10/20/2015	19,015,000	Williamson County, Tennessee General Obligation School and Public Improvement Bonds, Series 2015B	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
10/19/2015	17,690,000	Independent School District No. 883 (Rockford), Minnesota General Obligation School Building Refunding Bonds, Series 2015A (MN SD Credit Enhancement Program)	C	MEM
10/19/2015	13,470,000	Village of Oak Park, Illinois General Obligation Corporate Purpose Refunding Bonds, Series 2015A	C	MEM
10/14/2015	248,935,000	State of Nevada, Nevada General Obligation (Limited Tax) Capital Improvement and Refunding Bonds, Series 2015D	C	MEM
10/14/2015	14,680,000	Milwaukee County, Wisconsin General Obligation Refunding Bonds, Series 2015B	C	MEM
10/13/2015	29,000,000	City of Bismarck, North Dakota Sanitary Sewer Revenue Bonds of 2015	C	MEM
10/8/2015	1,947,380,000	City of Chicago Chicago O'Hare International Airport Series 2015 General Airport Senior Lien Revenue Refunding and Revenue Bonds, Series 2015A (AMT), Series 2015B, Series 2015C (AMT), Series 2015D	N	SG
10/6/2015	31,495,000	County Commissioners of Charles County, Maryland General Obligation Consolidated Public Improvement Bonds of 2015 (Tax-Exempt)	C	MEM
10/6/2015	28,515,000	State of Vermont General Obligation Bonds 2015 Series A (Vermont Citizen Bonds) (Green Bonds)	N	SG
10/2/2015	839,770,000	State of Connecticut Special Tax Obligation Bonds Transportation Infrastructure Purposes, 2015 Series A and Special Tax Obligation Refunding Bonds Transportation Infrastructure Purposes, 2015 Series B	N	SG
9/23/2015	750,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2016 Series A	N	SG
9/23/2015	11,630,000	City of Portage, Michigan Capital Improvement Refunding Bonds, Series 2015C	C	MEM
9/15/2015	38,880,000	Economic Development Authority of Albemarle County, Virginia Public Facility Revenue Bonds (Albemarle County Projects), Series 2015B	C	MEM
8/21/2015	581,290,000	New York Convention Center Development Corporation Refunding Revenue Bonds (Hotel Unit Fee Secured) Series 2015	N	SG
8/21/2015	407,695,000	Metropolitan Transportation Authority Transportation Revenue Refunding Bonds, Series 2015D	N	SG
8/13/2015	306,645,000	Florida State Board of Education, Florida Public Education Capital Outlay Refunding Bonds 2015 Series E (Full Faith and Credit)	C	MEM
8/5/2015	368,225,000	State of Minnesota, Minnesota General Obligation State Various Purpose Bonds, Series 2015A	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
8/4/2015	54,785,000	Brazosport Independent School District, Texas Unlimited Tax School Building Bonds, Series 2015	C	MEM
8/3/2015	750,475,000	The City of New York General Obligation Bonds Fiscal 2016 Series A and B	N	SG
7/29/2015	11,285,000	Bay Shore Union Free School District, New York School District Refunding Serial Bonds-2015	C	MGR
7/28/2015	127,725,000	City of Fort Worth, Texas General Purpose Refunding and Improvement Bonds, Series 2015A	C	MEM
7/28/2015	55,325,000	County of Spotsylvania, Virginia Water and Sewer System Revenue Refunding Bonds, Series 2015	C	MEM
7/23/2015	550,000,000	Metropolitan Transportation Authority Transportation Revenue Refunding Bonds, Subseries 2015C-1 (Fixed Rate), Subseries 2015C-2 (Mandatory Tender Bonds) and Subseries 2015C-3 (LIBOR Floating Rate Tender Notes)	N	SG
7/23/2015	115,800,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds, 2015 Series C-1 (Tax-Exempt), C-2 (AMT), C-3 (Variable Rate)	N	SG
7/22/2015	1,523,100,000	Dormitory Authority of the State of New York Sales Tax Revenue Refunding Bonds Series 2015A	N	SG
7/22/2015	53,445,000	Anacortes School District No. 103, Washington Unlimited Tax General Obligation Bonds, 2015 (Washington St SD Credit Enhancement Program)	C	MEM
7/22/2015	23,215,000	City of Alexandria, Virginia General Obligation Capital Improvement Bonds, Series 2015B (Tax-Exempt)	C	MEM
7/8/2015	45,000,000	Metropolitan Council (Minneapolis-St. Paul Metropolitan Area), Minnesota General Obligation Transit Bonds, Series 2015A	C	MEM
7/1/2015	315,210,000	Metropolitan Washington Airports Authority Airport System Revenue and Refunding Bonds 2015B (AMT), Airport System Revenue Refunding Bonds 2015C (Non-AMT)	N	SG
6/25/2015	951,705,000	The Commonwealth of Massachusetts General Obligation Bonds, Consolidated Loan of 2015, Series C and General Obligation Refunding Bonds, 2015 Series A	N	SG
6/24/2015	219,770,000	New York State Environmental Facilities Corporation State Clean Water and Drinking Water Revolving Funds Revenue Bonds (New York City Municipal Water Finance Authority Projects - Second Resolution Bonds) Series 2015 A Subordinated SRF Bonds	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
6/23/2015	46,355,000	Douglas County School District 017 (Millard Public Schools), Nebraska \$25,000,000 General Obligation Bonds, Series 2015A (Due 6/15/26-35) & \$22,645,000 General Obligation Refunding Bonds, Series 2015B (Due 6/15/16-23)	C	MEM
6/18/2015	750,000,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2015, Series S-2	N	SG
6/17/2015	18,200,000	School District No. 5 of Spartanburg County, South Carolina General Obligation Bonds, Series 2015B	C	MEM
6/16/2015	12,015,000	Worcester County, Maryland Consolidated Public Improvement Bonds, 2015 Series A	C	MEM
6/10/2015	61,645,000	County of Suffolk, New York Public Improvement Serial Bonds - 2015 Series A	C	MGR
6/8/2015	16,500,000	Lancaster County, South Carolina General Obligation Bonds, Series 2015A	C	MEM
6/3/2015	616,690,000	The City of New York General Obligation Bonds Fiscal 2015 Series F and Fiscal 2015 Series 1	N	SG
6/3/2015	155,055,000	The School District of Broward County, Florida General Obligation School Bonds Series 2015	C	MEM
5/28/2015	19,170,000	Williamson County, Tennessee General Obligation School Bonds, Series 2015A	C	MEM
5/22/2015	10,000,000	Dorchester County School District No. 4, South Carolina General Obligation Bonds, Series 2015A	C	MEM
5/20/2015	452,530,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2015 Series HH	N	SG
5/19/2015	11,695,000	City of Olathe, Kansas General Obligation Improvement Bonds, Series 226	C	MEM
5/19/2015	8,290,000	City of Olathe, Kansas General Obligation Refunding Bonds, Series 227	C	MEM
5/13/2015	500,000,000	State of Connecticut General Obligation Bonds (2015 Series B)	N	SG
5/13/2015	67,615,000	City of Wichita, Kansas General Obligation Airport Bonds, Series 2015C (AMT)	C	MEM
5/12/2015	28,965,000	City of Charlottesville, Virginia General Obligation Public Improvement and Refunding Bonds, Series 2015	C	MGR
5/12/2015	26,270,000	City of Cedar Rapids, Iowa General Obligation Bonds, Series 2015A	C	MEM
5/8/2015	225,000,000	Triborough Bridge and Tunnel Authority (MTA Bridges and Tunnels) General Revenue Bonds Series 2015A	N	SG
5/7/2015	57,455,000	Anaheim Union High School District, California 2015 General Obligation Refunding Bonds	C	MEM
5/6/2015	160,945,000	City of Seattle, Washington Limited Tax General Obligation Improvement and Refunding Bonds, 2015A	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
5/6/2015	16,070,000	Bethlehem Central School District, New York School District Refunding Bonds, 2015	C	MGR
5/5/2015	90,285,000	Clemson University, South Carolina Higher Education Revenue Bonds, Series 2015	C	MEM
5/5/2015	60,695,000	Clemson University, South Carolina Athletic Facilities Revenue Bonds, Series 2015	C	MEM
5/5/2015	12,200,000	Queen Anne's County, Maryland Public Facilities Bonds of 2015	C	MEM
4/28/2015	27,255,000	Humble Independent School District, Texas Unlimited Tax Refunding Bonds, Series 2015B	C	MEM
4/28/2015	23,930,000	Rutherford County, Tennessee General Obligation Refunding Bonds, Series 2015B	C	MEM
4/27/2015	73,525,000	Polk County, Iowa General Obligation Bonds, Series 2015B	C	MEM
4/24/2015	60,000,000	Missouri Housing Development Commission Single Family Mortgage Revenue Bonds (First Place Homeownership Loan Program) 2015 Series A	N	SG
4/23/2015	250,000,000	State of Connecticut State Revolving Fund General Revenue Bonds (Green Bonds, 2015 Series A)	N	SG
4/23/2015	25,620,000	North Dakota Public Finance Authority, North Dakota Capital Financing Program Bonds, Series 2015B	C	MEM
4/22/2015	23,350,000	Union Free School District of the Tarrytowns, New York School District Refunding Serial Bonds - 2015 Series A	C	MGR
4/21/2015	12,500,000	School District No. 1 of Florence County, South Carolina General Obligation Bonds, Series 2015	C	MEM
4/20/2015	41,090,000	Aurora West School District No. 129, Illinois General Obligation Refunding School Bonds, Series 2015	C	MEM
4/17/2015	650,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2015 Subseries E-1	N	SG
4/15/2015	214,285,000	Commonwealth of Virginia, Virginia General Obligation Refunding Bonds, Series 2015B	C	MEM
4/8/2015	56,530,000	City of Virginia Beach, Virginia General Obligation Public Improvement Refunding Bonds, Series 2015B	C	MEM
4/8/2015	45,000,000	Harford County, Maryland General Obligation Consolidated Public Improvement Bonds, Series 2015A	C	MEM
4/8/2015	42,075,000	Independent School District No. 279 (Osseo Area Schools), Minnesota General Obligation School Building Refunding Bonds Series 2015C	C	MEM
4/8/2015	17,750,000	Independent School District No. 885 (St. Michael-Albertville), Minnesota General Obligation School Building Refunding Bonds, Series 2015A (MN School District Credit Enhancement Program)	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
4/7/2015	23,005,000	City of Knoxville, Tennessee Water System Revenue Refunding Bonds, Series BB-2015	C	MEM
4/1/2015	450,285,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2015 Series GG	N	SG
4/1/2015	254,790,000	University of Connecticut General Obligation Bonds, 2015 Series A and 2015 Refunding Series A	N	SG
3/26/2015	58,520,000	Montgomery County, Maryland General Obligation Consolidated Public Improvement Refunding Bonds of 2015, Series A	C	MEM
3/25/2015	25,230,000	County of Hamilton, Ohio Various Purpose Limited Tax General Obligation Improvement and Refunding Bonds, Series 2015	C	MEM
3/25/2015	1,960,000	The Commissioners of Fire District No. 1 in the Town of Bordentown, New Jersey General Obligation Bonds, Series 2015	C	SG
3/24/2015	156,990,000	Port of Seattle, Washington Limited Tax General Obligation and Refunding Bonds, 2015	C	MEM
3/24/2015	19,695,000	University of Kentucky, Kentucky General Receipts Refunding Bonds, 2015 Series C	C	MEM
3/17/2015	13,965,000	Bridgewater-Raynham Regional School District, Massachusetts General Obligation School Bonds (Unlimited Tax)	C	SG
3/16/2015	799,660,000	The City of New York General Obligation Bonds Fiscal 2015 Series C and D	N	SG
3/16/2015	32,970,000	School District of Horry County, South Carolina General Obligation Refunding Bonds, Series 2015B	C	MEM
3/13/2015	400,000,000	State of Connecticut General Obligation Tax-Exempt Bonds 2015 Series A	N	SG
3/13/2015	100,000,000	State of Connecticut General Obligation Taxable Bonds 2015 Series A	N	SG
3/12/2015	275,055,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2015B	N	SG
3/12/2015	11,500,000	School District of Fairfield County, South Carolina General Obligation Bonds, Series 2015	C	MEM
3/5/2015	1,944,865,000	State of California General Obligation Bonds March 2015	N	SG
3/5/2015	365,360,000	State of Maryland, Maryland General Obligation Bonds, State and Local Facilities Loan of 2015, First Series B	C	MEM
3/2/2015	785,795,000	New York City Transitional Finance Authority Future Tax Secured Tax-Exempt Subordinate Bonds, Fiscal 2015 Series C and D	N	SG
2/26/2015	12,375,000	Boone County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series 2015	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
2/24/2015	129,080,000	Clark County School District, Nevada General Obligation (Limited Tax) Refunding Bonds, Series 2015B	C	MEM
2/23/2015	8,000,000	Independent School District No. 29 of Cleveland County (Norman School District), Oklahoma General Obligation Combined Purpose Bonds, Series 2015	C	MEM
2/20/2015	530,000,000	New York City Municipal Water Finance Authority Water and Sewer Second General Resolution Revenue Bonds Fiscal 2015 Series FF	N	SG
2/18/2015	227,430,000	Fairfax County, Virginia Public Improvement Bonds, Series 2015A	C	MEM
2/18/2015	6,000,000	Board of Education of Penns Grove-Carneys Point Regional School District, New Jersey School Bonds	C	SG
2/11/2015	265,535,000	Department of Transportation of Maryland, Maryland Consolidated Transportation Bonds, Series 2015	C	MEM
2/10/2015	150,000,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2015 Series A	N	SG
2/4/2015	435,715,000	Virginia Public School Authority, Virginia School Financing Refunding Bonds (1997 Resolution), Series 2015A	C	MEM
2/4/2015	180,000,000	Long Beach Unified School District, California Election of 2008 General Obligation Bonds, Series D	C	MEM
2/4/2015	61,455,000	Nevada System of Higher Education, Nevada Universities Revenue Bonds, Series 2015A	C	MEM
1/16/2015	850,000,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2015A	N	SG
1/15/2015	750,000,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2015 Series S-1	N	SG
1/15/2015	8,500,000	Board of Education of the Borough of Midland Park, New Jersey School Bonds	C	SG
1/13/2015	86,970,000	Township High School District No. 203 (New Trier), Illinois General Obligation School Building Bonds, Series 2015A	C	MEM
1/12/2015	32,620,000	Independent School District No. 877 (Buffalo-Hanover-Montrose), Minnesota General Obligation School Bonds, Series 2014A (MN School District Credit Enhancement Program)	C	MEM
1/8/2015	1,005,755,000	Dormitory Authority of the State of New York Personal Income Tax Revenue Bonds Series 2015A	N	SG
1/8/2015	13,808,000	The Board of Education of the Township of West Deptford, New Jersey School Bonds	C	SG
1/6/2015	6,635,000	City of Ann Arbor, Michigan General Obligation Limited Tax Refunding Bonds, Series 2015A	C	MEM
9/30/2014	42,435,000	City of St Petersburg, Florida Public Utility Refunding Revenue Bonds, Series 2014B	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
9/24/2014	2,069,640,000	State of California Various Purpose General Obligation Bonds September 2014	N	SG
9/24/2014	2,035,330,000	Sales Tax Asset Receivable Corporation, New York Sales Tax Asset Rev Bonds, Fiscal 2015 Series A	N	SG
9/24/2014	300,000,000	State of California Various Purpose General Obligation Bonds (Green Bonds)	N	SG
9/23/2014	82,545,000	Virginia Public School Authority, Virginia Special Obligation School Financing Bonds, Prince William County, Series 2014	C	MEM
9/23/2014	25,108,000	Town of North Hempstead, New York Various Purposes Serial Bonds-2014 Series D	C	MGR
9/17/2014	300,000,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2015 Series DD	N	SG
9/17/2014	292,405,000	City of Chicago Second Lien Wastewater Transmission Revenue Project Bonds, Series 2014	N	SG
9/17/2014	178,985,000	Virginia Resources Authority, Virginia Clean Water State Revolving Fund Revenue Bonds, Refunding Series 2014B	C	MEM
9/16/2014	183,110,000	City of Augusta, Georgia Water and Sewerage Revenue Refunding and Improvement Bonds (Second Resolution), Series 2014	C	SG
9/16/2014	143,995,000	City of Wichita, Kansas General Obligation Bonds, Series 811	C	MEM
9/16/2014	48,670,000	City and County of Denver acting by and through its Board of Water Commissioners, Colorado Master Resolution Water Revenue Bonds, Series 2014A	C	MEM
9/16/2014	39,000,000	County Commissioners of Charles County, Maryland Consolidated Public Improvement Bonds of 2014	C	MEM
9/16/2014	28,800,000	Prince George's County, Maryland General Obligation Consolidated Public Improvement Refunding Bonds, Series 2014B	C	MEM
9/11/2014	367,925,000	City Of Chicago Second Lien Water Revenue Bonds, Project Series 2014	N	SG
9/10/2014	18,440,000	City of Lawrence, Kansas General Obligation Improvement Bonds, Series 2014-B	C	MEM
9/10/2014	13,470,000	City of Lawrence, Kansas General Obligation Temporary Notes, Series 2014-III	C	MEM
9/4/2014	12,501,367	Town of Greenburgh, New York Public Improvement (Serial) Bonds, 2014 Series A	C	MGR
4/8/2014	8,181,000	Township of Bordentown, New Jersey General Obligation Bonds: \$4,711,000 2014 Series A (General Capital) and \$3,330,000 2014 Series B (Waterfront Capital)	C	MGR
4/1/2014	61,755,000	Fairfax County, Virginia Sewer Revenue Refunding Bonds, Series 2014	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
4/1/2014	22,390,000	Queen Anne's County, Maryland Public Facilities Bonds of 2014	C	MEM
3/27/2014	100,000,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2014 Series A, consisting of Subseries A-1 and Subseries A-2	N	SG
3/26/2014	547,850,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2014 Series DD	N	SG
3/26/2014	25,220,000	Town of North Hempstead, New York Refunding Serial Bonds-2014 Series A	C	MGR
3/19/2014	347,385,000	New York State Environmental Facilities Corporation State Clean Water and Drinking Water Revolving Funds Revenue Bonds (New York City Municipal Water Finance Authority Projects Second Resolution Bonds) Series 2014 A Subordinated SRF Bonds	N	CM
3/18/2014	16,450,000	City of Englewood, New Jersey General Obligation General Improvement Bonds, Series B	C	MGR
3/14/2014	353,000,000	State of Connecticut General Obligation Bonds 2014 Series A (Tax-Exempt)	N	SG
3/14/2014	47,000,000	State of Connecticut General Obligation Bonds 2014 Series B - SIFMA Index Bonds	N	SG
3/13/2014	1,807,455,000	State of California Various Purpose General Obligation Bonds and Various Purpose General Obligation Refunding Bonds March 2014	N	SG
3/13/2014	785,555,000	Dormitory Authority of the State of New York Personal Income Tax Revenue Bonds (General Purpose) Series 2014A (Tax-Exempt)	N	SG
3/13/2014	432,630,000	City of Chicago General Obligation Bonds, Project and Refunding Series 2014A	N	SG
3/13/2014	30,435,000	Dormitory Authority of the State of New York Personal Income Tax Revenue Bonds (General Purpose) Series 2014B (Federally Taxable)	N	SG
3/12/2014	105,855,000	Sequoia Union High School District, California 2014 General Obligation Refunding Bonds	C	MEM
3/12/2014	9,885,000	Borough of Englewood Cliffs, New Jersey General Obligation Bonds, Series 2014	C	MGR
3/11/2014	12,761,280	Town of Colonie, New York Public Improvement Serial Bonds-2014	C	MGR
3/11/2014	7,322,000	Town of Norwell, Massachusetts General Obligation Municipal Purpose Loan of 2014 Bonds	C	SG
3/6/2014	650,000,000	The City of New York General Obligation Bonds, Fiscal 2014 Series I, Subseries I-1	N	SG
3/6/2014	46,040,000	The City of New York General Obligation Bonds, Fiscal 2004 Series A, Subseries A-6 (Fixed Rate Remarketing)	N	SG
3/5/2014	50,000,000	State of Maryland, Maryland General Obligation State and Local Facilities Loan of 2014, First Series B Taxable Bonds	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
2/25/2014	161,445,000	California Department of Water Resources, California Central Valley Project Water System Revenue Bonds, Series AR	C	MEM
2/21/2014	400,000,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2014A	N	SG
2/11/2014	6,320,000	Town of South Windsor, Connecticut General Obligation Bonds, Issue of 2014	C	SG
2/6/2014	25,013,000	Township of Hopewell, New Jersey General Obligation Bonds, consisting of: \$8,916,000 Gen. Imp. Bonds, \$4,262,000 Open Space Bonds and \$11,835,000 Affordable Housing Bonds	C	MGR
2/5/2014	9,875,000	City of Trenton, New Jersey General Obligation Bonds (Taxable)	C	MGR
2/5/2014	5,988,000	Borough of Demarest, New Jersey General Improvement Bonds, Series 2014	C	MGR
1/31/2014	250,000,000	Triborough Bridge and Tunnel Authority (MTA Bridges and Tunnels) General Revenue Bonds, Series 2014A	N	SG
1/30/2014	318,750,000	Tarrant Regional Water District (A Water Control and Improvement District), Texas Water Revenue Bonds, Series 2014	C	MEM
1/29/2014	351,240,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2014 Series CC	N	SG
1/28/2014	231,405,000	State of Wisconsin, Wisconsin General Obligation Bonds of 2014, Series A	C	SG
1/23/2014	667,460,000	New York State Thruway Authority General Revenue Bonds, Series J	N	SG
1/23/2014	316,310,000	Fairfax County, Virginia Public Improvement and Refunding Bonds, Series 2014A	C	SG
1/23/2014	1,765,000	Borough of West Wildwood, New Jersey General Improvement Bonds, Series 2014	C	SG
1/22/2014	139,900,000	University System of Maryland, Maryland Auxiliary Facility and Tuition Revenue Bonds 2014 Series A (Tax-Exempt)	C	SG
1/21/2014	78,790,000	College Station Independent School District, Texas Unlimited Tax School Building Bonds, Series 2014	C	SG
1/17/2014	855,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2014 Series B, Subseries B-1 Tax-Exempt Subordinate Bonds and Series C Tax-Exempt Subordinate Refunding Bonds	N	SG
1/17/2014	209,955,000	City and County of San Francisco, California General Obligation Bonds, Series 2104A (Proposition A, 2008)	C	SG
1/17/2014	41,140,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2011 Series B, Subseries B-3	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
12/12/2013	1,600,000,000	New York State Thruway General Revenue Junior Indebtedness Obligations Series 2013A	N	SG
12/12/2013	800,050,000	The City of New York General Obligation Bonds, Fiscal 2014 Series G and H	N	SG
12/12/2013	9,500,000	Township of Piscataway, New Jersey General Obligation Bonds, Consisting of: \$8,100,000 General Improvement Bonds and \$1,400,000 Senior Housing Utility Bonds	C	MGR
12/5/2013	1,225,745,000	Tobacco Settlement Financing Corporation (State of New York) Asset-Backed Revenue Bonds, Series 2013 (State Contingency Contract Secured)	N	SG
12/4/2013	5,640,000	Township of Freehold, New Jersey General Improvement Bonds, Series 2013	C	MGR
12/3/2013	2,998,000	Borough of Haworth, New Jersey General Improvement Bonds	C	MGR
11/22/2013	268,965,000	City of Chicago Chicago Midway Airport Second Lien Revenue Refunding Bonds	N	SG
11/22/2013	64,995,000	City Of Chicago Chicago Midway Airport Second Lien Revenue Refunding Bonds Series 2013C (Taxable)	N	SG
11/14/2013	397,085,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2014 Series BB	N	SG
11/5/2013	25,000,000	State of Vermont General Obligation Bonds, 2013 Series A (Vermont Citizen Bonds)	N	SG
11/5/2013	21,600,000	Camden Central School District, New York School District (Serial) Bonds, 2013	C	MGR
10/31/2013	600,000,000	State Of Connecticut Special Tax Obligation Bonds Transportation Infrastructure Purposes, 2013 Series A	N	SG
10/31/2013	500,000,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2013E	N	SG
10/31/2013	116,765,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Subseries 2008B-3	N	SG
10/31/2013	12,465,000	Resource Recovery Development Authority For The City of Savannah, Georgia Refunding Revenue Bonds (City of Savannah Landfill Improvement Project), Series 2013	C	MGR
10/30/2013	107,095,000	Town of Oyster Bay, New York Public Improvement (Serial) Bonds, 2013 Series B	C	MEM
10/29/2013	8,349,000	Township of Cranbury, New Jersey General Obligation Bonds, Series 2013 Consisting of \$5,924 General Improvement Bonds and \$2,425,000 Open Space Bonds	C	MGR
10/24/2013	650,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2014 Series A Subseries A-1 Tax Exempt Fixed Rate	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
10/23/2013	1,647,490,000	State of California General Obligation Bonds Various Purpose General Obligation Bonds, Various Purpose General Obligation Refunding Bonds, General Obligation Bonds (School Facilities)	N	SG
10/23/2013	450,000,000	State of California General Obligation Bonds (Mandatory Put Bonds)	N	SG
10/17/2013	959,795,000	Dormitory Authority of the State of New York Sales Tax Revenue Bonds, Series 2013A	N	SG
10/17/2013	36,000,000	Board of Education of Sevier County School District, Utah General Obligation School Building Bonds (Utah School Bond Guaranty Program), Series 2013	C	MEM
10/15/2013	5,330,000	Borough of Berlin, New Jersey General Obligation Bonds, Series 2013	C	MGR
10/11/2013	175,385,000	Connecticut Housing Finance Authority Housing Mortgage Finance Program Bonds 2013 Series B	N	SG
10/4/2013	897,905,000	City Of Chicago Chicago O'Hare International Airport General Airport Senior Lien Revenue Refunding Bonds, Series 2013A (AMT) And Series 2013B (Non-AMT) And General Airport Senior Lien Revenue Bonds, Series 2013C (AMT) and Series 2013D (Non-AMT)	N	SG
10/4/2013	560,430,000	State of Connecticut General Obligation Bonds (GAAP Conversion Bonds - 2013 Series A)	N	SG
10/3/2013	27,016,000	Village of Tarrytown, New York Public Improvement (Serial) Bonds, Series 2013	C	MGR
10/2/2013	12,759,000	Township of South Brunswick, New Jersey (Middlesex County) General Obligation Bonds, Series 2013 consisting of \$4,638,000 Gen Imp Bonds, \$7,760,000 Water-Sewer Utils Bonds, and \$361,000 Open Space Bonds	C	MGR
10/2/2013	1,260,000	Webster Central School District, New York (Monroe & Wayne Counties) School District (Serial) Bonds, 2013	C	MEM
10/1/2013	8,500,000	Board of Education of the Township of Livingston, New Jersey School Bonds	C	MGR
10/1/2013	5,355,000	Cheektowaga Central School District, New York (Erie County) School District Serial Bonds, Series 2013A	C	MEM
9/27/2013	892,595,000	New York City General Obligation Bonds Fiscal 2014 Series D	N	SG
9/26/2013	2,057,000	Town of Carmel, New York (Putnam County) Public Improvement (Serial) Bonds, 2013	C	MEM
9/24/2013	4,978,000	Borough of Sayreville, New Jersey General Improvement Bonds of 2013	C	MGR
9/19/2013	1,725,056	Pulaski Central School District, New York (Oswego County) School District (Serial) Bonds, 2013	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
9/17/2013	116,725,000	State of Ohio, Ohio Common Schools General Obligation Bonds, Series 2013B (Maturity Group A) (Tax-Exempt)	C	MEM
9/10/2013	5,500,000	County of Essex, New Jersey \$4,000,000 County Voc Sch Bds., Ser. 2013A (NJ Sch Bd Res Act., P.L. 1980, C. 72), \$750,000 Co Coll Bds., Ser 2013B, \$750,000 co Coll Bds. Ser 2013C (Co Coll Bd Act, P.L. 1971, C. 12)	C	MGR
9/3/2013	2,581,708	Village of Larchmont, New York (Westchester County) Various Purposes (Serial) Bonds, 2013	C	MEM
8/29/2013	104,665,000	City of Austin, Texas Public Improvement Bonds, Series 2013	C	MEM
8/29/2013	13,430,000	Town of Huntington, New York (Suffolk County) Public Improvement Serial Bonds-2013	C	MEM
8/29/2013	10,050,000	Commack Union Free School District, New York (Suffolk County) School District Serial bonds-2013	C	MEM
8/29/2013	3,185,433	City of Port Jervis, New York (Orange County) Various Purposes Serial Bonds-2013	C	MEM
8/28/2013	17,120,000	Town of Clarkstown, New York Public Improvement Serial Bonds - 2013	C	MGR
8/27/2013	248,910,000	State of California, California Maturity Group A: \$50,000,000 Various Purpose General Obligation Bonds and \$199,155,000 Various Purpose General Obligation Refunding Bonds	C	MEM
8/27/2013	4,600,000	Borough of Fanwood, New Jersey (Union County) General Improvement Bonds, Series 2013	C	MEM
8/27/2013	2,320,000	Floral Park-Bellerose Union Free School District, New York (Nassau County) School District Serial Bonds-2013	C	MEM
8/22/2013	8,500,000	Town of Babylon, New York (Suffolk County) Taxable Public Improvement Bonds, 2013	C	MEM
8/22/2013	5,420,000	Kenmore-Tonawanda Union Free School District, New York (Erie County) School District Serial Bonds, 2013	C	MEM
8/22/2013	1,592,889	City of Corning, New York (Steuben County) Public Improvement (Serial) Bonds, 2013	C	MEM
8/16/2013	5,500,000,000	State of California Revenue Anticipation Notes 2013-14 Series A1 & A2	N	SG
8/15/2013	400,000,000	State of Connecticut General Obligation Bonds (Series 2013 D) - SIFMA Index Bonds General Obligation Bonds (2013 Series E)	N	SG
8/15/2013	40,204,000	County of Burlington, New Jersey General Obligation Bonds, Series 2013B	C	MEM
8/15/2013	2,036,705	Town of East Hampton, New York (Suffolk County) Various Purpose Serial Bonds - 2013	C	MEM
8/15/2013	1,663,000	City of Little Falls, New York (Herkimer County) Public Improvement (Serial) Bonds, 2013	C	MEM
8/14/2013	2,500,000	Morrisonville Fire District, New York (Clinton County) Fire District Serial Bonds, Series 2013	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
8/7/2013	248,750,000	City of Chicago Chicago O'Hare International Airport Customer Facility Charge Senior Lien Revenue Bonds, Series 2013	N	SG
8/7/2013	197,415,000	Metropolitan Transportation Authority, New York Dedicated Tax Fund Refunding Bonds, Series 2008B: \$97,415,000 Subseries 2008B-1 and \$100,000,000 Subseries 2008B-4	C	MEM
8/7/2013	1,817,740	Shenendehowa Central School District, New York (Saratoga County) General Obligation School District (Serial) Bonds, 2013	C	MEM
8/7/2013	1,495,000	Town of Tyngsborough, Massachusetts General Obligation Municipal Purpose Loan of 2013 Bonds	C	MEM
8/6/2013	273,350,000	State of Minnesota, Minnesota General Obligation State Various Purpose Bonds, Series 2013A	C	MEM
8/6/2013	200,000,000	State of Minnesota, Minnesota General Obligation State Trunk Highway Bonds, Series 2013B	C	MEM
8/6/2013	4,151,000	Borough of Madison, New Jersey General Improvement Bonds: \$2,888,000 (Series A) and \$1,263,000 (Series B)	C	MGR
8/6/2013	2,399,048	Westbury Union Free School District, New York (Nassau County) School District Serial Bonds-2013	C	MEM
8/6/2013	2,200,000	Borough of Bellmawr, New Jersey (Camden County) General Obligation Bonds, Series 2013	C	MEM
8/1/2013	2,480,000	Fonda-Fultonville Central School District, New York (Montgomery, Fulton & Schohaire Co's) School District (Serial) Bonds, 2013	C	MEM
7/30/2013	4,795,000	County of Lewis, New York General Obligation (Serial) Bonds, 2013	C	MEM
7/30/2013	3,686,000	Town of Guilderland, New York (Albany County) Public Improvement (Serial) Bonds, 2013	C	MEM
7/30/2013	2,889,000	The Borough of Park Ridge, New Jersey \$2,207,000 General Improvement Bonds; \$582,000 Water Utility Bonds and \$100,000 Electric Utility Bonds	C	MEM
7/26/2013	375,000,000	The City of New York General Obligation Bonds, Fiscal 2014 Series A Tax-Exempt Bonds, Subseries A-1	N	SG
7/26/2013	134,840,000	The City of New York Tax-Exempt General Obligation Bonds Fiscal 2014 Subseries 1	N	SG
7/23/2013	10,000,000	Borough of Fort Lee, New Jersey (Bergen County) General Improvement Bonds, Series 2013	C	MEM
7/23/2013	8,985,000	Eastport-South Manor Central School District, New York (Suffolk County) School District Serial Bonds	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
7/18/2013	223,910,000	University of Connecticut General Obligation Bonds, 2013 Series A and General Obligation Bonds, 2013 Refunding Series A	N	SG
7/18/2013	6,916,000	The Board of Education of the Town of Westfield, New Jersey (Union County) School Bonds	C	MEM
7/16/2013	615,660,000	Dormitory Authority of the State of New York, New York (Tax-Exempt) State Personal Income Tax Revenue Bonds (General Purpose), Series 2013A	C	MEM
7/16/2013	1,950,000	City of Glens Falls, New York (Warren County) Public Improvement (Serial) Bonds, 2013	C	MEM
7/16/2013	1,390,000	Township of Weehawken, New Jersey (Hudson County) Qualified General Obligation Bonds, Series 2013A	C	MEM
7/11/2013	1,715,000	Town of Newstead, New York (Erie County) Highway Facility Public Improvement Serial Bonds-2013	C	MEM
7/10/2013	166,585,000	New York State Environmental Facilities Corporation State Revolving Fund Revenue Bonds Series 2013B (2010 Master Financing Program)	N	CM
7/10/2013	11,871,000	Town of Clinton, New Jersey (Hunterdon County) General Obligation Bonds: \$2,328,000 General Improvement, \$8,043,000 Water Utility District and \$1,500,000 Sewer Utility	C	MEM
7/10/2013	5,119,159	Town of Lewiston, New York (Niagara County) Public Improvement (Serial) Bonds, 2013	C	MEM
7/10/2013	1,700,000	Village of Great Neck, New York (Nassau County) Public Improvement (Serial) Bonds, 2013	C	MEM
7/9/2013	8,310,000	Hempstead Union Free School District, New York (Nassau County) School District Serial Bonds-2013	C	MEM
7/9/2013	3,278,000	Village of East Hampton, New York (Suffolk County) Public Improvement (Serial) Bonds - 2013	C	MEM
7/9/2013	2,015,000	Village of Hamburg, New York (Erie County) Public Improvement Serial Bonds, 2013	C	MEM
7/9/2013	1,995,000	Village of Upper Nyack, New York (Rockland County) Public Improvement Serial Bonds-2013	C	MEM
7/3/2013	336,120,000	Metropolitan Transportation Authority Transportation Revenue Bonds Series 2013D	N	SG
6/28/2013	218,210,000	Metropolitan Washington Airports Authority Airport System Revenue and Refunding Bonds Series 2013A (AMT), Airport System Revenue Refunding Bonds Series 2013C (Non-AMT)	N	SG
6/28/2013	27,405,000	Metropolitan Washington Airports Authority Airport System Revenue Refunding Bonds Series 2013B (Taxable)	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
6/27/2013	401,090,000	New York State Environmental Facilities Corporation State Clean Water and Drinking Water Revolving Funds Revenue Bonds (New York City Municipal Water Finance Authority Projects – Second Resolution Bonds) Series 2013 A Subordinated SRF Bonds	N	CM
6/27/2013	9,980,000	Township of Verona, New Jersey (Essex County) General Obligation Bonds: \$6,558,000 General Improvement, \$2,043,000 Water and Sewer Utility and \$1,379,000 Pool Utility	C	MEM
6/27/2013	3,000,000	City of Mount Vernon, (Westchester County), New York General Purpose (Serial) Bonds, 2013 Series B (Federally Taxable)	C	MEM
6/27/2013	1,680,000	City of Mount Vernon, (Westchester County), New York Public Improvement (Serial) Bonds, 2013 Series A	C	MEM
6/26/2013	231,000,000	New York State Thruway Authority State Personal Income Tax Revenue Bonds (Transportation), Series 2013A	N	SG
6/26/2013	163,220,000	State of Georgia, Georgia General Obligation Bonds 2013E (Federally Taxable)	C	MEM
6/26/2013	94,350,000	State of Georgia, Georgia General Obligation Bonds 2013F (Federally Taxable Qualified School Construction Bonds-Direct Pay	C	MEM
6/26/2013	13,035,000	City of Cape May, New Jersey General Obligation Bonds, Series 2013: \$10,700,000 General Improvement and \$2,335,000 Utility Bonds	C	MGR
6/20/2013	1,500,000	County of Sussex, New Jersey County College Bonds, Series 2013 (County College Bond Act. 1971 N.J. Laws c.12, As Amended	C	MGR
6/19/2013	344,335,000	New York City Municipal Water Finance Authority Water and Sewer System General Resolution Revenue Bonds, Fiscal 2013 Series EE	N	SG
6/19/2013	9,892,000	City of White Plains, New York (Westchester County) Public Improvement Serial Bonds, Series 2013	C	MEM
6/19/2013	3,093,000	Board of Education of the Borough of Bellmawr, (Camden County), New Jersey School Bonds	C	MEM
6/19/2013	2,700,000	Williamsville Central School District, New York School District Serial Bonds- 2013	C	MEM
6/19/2013	1,840,000	Tuckahoe Union Free School District, (Westchester County), New York School District Refunding Serial Bonds-2013 Series A	C	MEM
6/18/2013	6,055,000	City of Fitchburg, Massachusetts General Obligation Municipal Purpose Loan of 2013 Bonds	C	MGR
6/18/2013	2,332,000	The Board of Education of the Township of Franklin (Gloucester County), New Jersey School Bonds	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/13/2013	800,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds, Fiscal 2013 Series I	N	SG
6/13/2013	7,013,500	Belleville Henderson Central School District, New York (Jefferson County) School District (Serial) Bonds, 2013	C	MEM
6/13/2013	6,829,919	Warwick Valley Central School District, New York (Orange County) School District (Serial) Bonds, 2013	C	MEM
6/13/2013	2,580,000	Town of New Hartford, New York (Oneida County) Public Improvement (Serial) Bonds, 2013	C	MEM
6/12/2013	4,278,000	City of Saratoga Springs, New York (Saratoga County) Public Improvement (Serial) Bonds, 2013	C	MEM
6/12/2013	2,040,000	Greenwich Central School District, New York (Washington County) School District (Serial) Bonds, 2013	C	MEM
6/12/2013	1,645,000	City of Watertown, New York (Jefferson County) Public Improvement (Serial) Bonds, 2013	C	MEM
6/12/2013	1,400,000	Village of Livonia, New York (Livingston County) Public Improvement (Serial) Bonds, 2013	C	MEM
6/11/2013	18,717,000	Village of Ridgewood, New Jersey General Obligation Bonds, Series 2013 \$10,858,000 General Improvement Bonds and \$7,859,000 Water Utility Bonds	C	MGR
6/11/2013	12,222,234	Union-Endicott Central School District, New York (Broome & Tioga Counties) School District (Serial) Bonds, 2013	C	MEM
6/11/2013	5,091,191	Port Byron Central School District, New York (Cayuga & Wayne Counties) School District (Serial) Bonds, 2013	C	MEM
6/11/2013	5,000,000	Whitesboro Central School District, New York (Oneida & Herkimer Counties) General Obligation School District (Serial) Bonds, 2013	C	MEM
6/11/2013	2,800,000	Wappingers Central School District, New York (Dutchess & Putnam Counties) School District (Serial) Bonds, 2013 Series A	C	MEM
6/11/2013	1,153,150	Village of Williamsville, New York (Erie County) Public Improvement Serial Bonds, 2013	C	MEM
6/6/2013	15,560,000	Missouri Housing Development Commission Taxable Multifamily Housing Refunding Revenue Bonds, 2013 Series 2 (Federally Taxable)	N	SG
6/6/2013	10,965,000	Springville-Griffith Institute Central School District, New York (Cattaraugus & Erie Counties) School District Serial Bonds, 2013	C	MEM
6/6/2013	5,100,000	Naples Central School District, New York (Ontario County) School District (Serial) Bonds, 2013	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/5/2013	265,405,000	Board of Regents of the Texas A & M University System, Texas Revenue Financing System Bonds, Series 2013B	C	MEM
6/5/2013	1,500,000	County of Bergen, New Jersey General Obligation Bonds of 2013, Series B: \$750,000 County College, Series B01, \$750,000 State Aid County College, Series B-2 (County College Bond Act P.L. 1971 c--12, as amended)	C	MGR
6/4/2013	62,320,000	County of Suffolk, New York Public Improvement Serial Bonds-2013 Series A	C	MEM
6/4/2013	8,673,514	Forestville Central School District, New York (Cattaraugus & Chataqua Counties) School District Series, 2013	C	MEM
6/4/2013	8,098,038	Owego Apalachin Central School District, New York (Tioga County) School District Serial Bonds, Series 2013	C	MEM
6/4/2013	4,400,000	City School District of the City of Peekskill, New York (Westchester County) School District (Serial) Bonds, 2013	C	MEM
6/4/2013	4,000,000	City School District of the City of Auburn, New York (Cayuga County) School District (Serial) Bonds, 2013	C	MEM
6/4/2013	1,788,000	Town of Preston, Connecticut General Obligation Bonds, Issue of 2013	C	MEM
5/31/2013	500,000,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2013C	N	SG
5/30/2013	55,700,000	Los Angeles Community College District, California 2013 General Obligation Refunding Bonds	C	MEM
5/30/2013	30,855,000	Liverpool Central School District, New York School District (Serial) Bonds, 2013	C	MGR
5/30/2013	8,360,000	Odessa-Montour Central School District, New York (Schuyler County) School District Serial Bonds, 2013	C	MEM
5/30/2013	7,704,905	City School District of the City of Dunkirk, New York (Chautauqua County) School District Serial Bonds, 2013	C	MEM
5/29/2013	3,983,582	Port Jervis City School District, New York (Orange County) General Obligation School District (Serial) Bonds 2013	C	MEM
5/29/2013	3,000,000	Halfmoon Fire District No. 1, New York (Saratoga County) Fire District (Serial) Bonds, 2013	C	MEM
5/29/2013	1,980,000	Village of Akron, New York (Erie County) Public Improvement Serial Bonds for Highway Building Construction-2013	C	MEM
5/24/2013	948,760,000	The City of New York General Obligation Bonds, Fiscal 2013 Series I and J	N	SG
5/23/2013	2,154,840	Village of Tuckahoe, (Westchester County), New York Public Improvement Serial Bonds, 2013A	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
5/22/2013	1,830,058	Village of Lake Success, (Nassau County), New York General Obligation Public Improvement (Serial) Bonds, 2013	C	MEM
5/22/2013	1,500,000	Towns of Ithaca, Lansing & Dryden & Villages of Lansing & Cayuga Heights, (Tompkins County), New York Joint Public Improvement (Serial) Bonds, 2013	C	MEM
5/21/2013	17,325,000	County Commissioners of Calvert County, Maryland General Obligation Consolidated Public Improvement and Refunding Bonds, 2013 Series	C	MEM
5/17/2013	9,950,000	Unified Government of Wyandotte County/Kansas City, Kansas General Obligation Refunding Bonds, Series 2013-C	C	MEM
5/16/2013	2,900,000	The Commissioners of Fire District No. 1 in the Township of Berlin, New Jersey (Camden County) General Obligation Bonds, Series 2013	C	MEM
5/15/2013	47,290,000	City of Mesa, Arizona Utility Systems Revenue Bonds, Series 2013	C	MEM
5/15/2013	4,158,000	Town of Mamaroneck, New York (Westchester County) Various Purposes (Serial) Bonds, 2013	C	MEM
5/14/2013	101,615,000	Upper Occoquan Sewage Authority, Virginia Taxable Regional Sewerage System Revenue Refunding Bonds, Series 2013A	C	MEM
5/14/2013	16,350,000	City School District of the City of Rye, New York (Westchester County) School District (Serial) Bonds, 2013	C	MEM
5/14/2013	14,030,000	City of Charlottesville, Virginia General Obligation Public Improvement Bonds, Series 2013	C	MEM
5/14/2013	6,652,258	County of Niagara, New York General Obligation Serial Bonds, 2013 Series D	C	MEM
5/9/2013	42,315,000	City of Seattle, Washington Limited Tax General Obligation Improvement Bonds, 2013A	C	MEM
5/9/2013	12,775,000	Township of Medford, New Jersey General Obligation Bonds, Series 2013A Consisting of: \$11,470,000 General Improvement, Series A and \$1,305,000 Utility Improvement, Series B	C	MGR
5/9/2013	8,650,000	Township of Medford, New Jersey General Obligation Refunding Bonds, Series 2013B: Consisting of: \$3,600,000 General Improvement, Series A and \$5,220,000 Utility Improvement, Series B	C	MGR
5/9/2013	1,930,000	Town of North Greenbush, New York (Rensselaer County) Public Improvement (Serial) Bonds, 2013	C	MEM
5/8/2013	3,600,000	County of Orleans, New York Public Improvement (Serial) Bonds, 2013	C	MEM
5/7/2013	12,280,000	City of Garland, Texas General Obligation Refunding Bonds, Series 2013	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
5/7/2013	9,409,000	Borough of Butler, New Jersey \$4,230,000 General Bonds of 2013; \$3,661,000 Electric Bonds of 2013 and \$1,518,000 Water Bonds of 2013	C	MGR
5/7/2013	6,149,000	Board of Education of the Monmouth Regional High School District, New Jersey (Monmouth County) School Bonds	C	MEM
5/6/2013	33,440,000	Ward County, North Dakota Sales Tax Revenue Bonds, Series 2013	C	MEM
5/2/2013	4,717,500	Village of Croton-on-Hudson, New York (Westchester County) Public Improvement Serial Bonds, Series 2013A	C	MEM
5/2/2013	1,405,000	Town of Vestal, New York (Broome County) Public Improvement (Serial) Bonds, 2013	C	MEM
5/1/2013	8,496,497	Malone Central School District, New York (Franklin County) General Obligation Qualified Zone Academy Bonds, 2013 (Federally Taxable-Direct Payment)	C	MEM
4/30/2013	6,735,000	Town of Thomaston, Connecticut General Obligation Bonds, Issue of 2013	C	MEM
4/26/2013	23,680,000	Independent School District No. 5 of Tulsa County (Jenks), Oklahoma Combined Purpose Bonds of 2013	C	MEM
4/25/2013	141,840,000	Virginia Public School Authority, Virginia School Financing Bonds (1997 Resolution) Series 2013 A	C	MEM
4/25/2013	5,965,000	Village of Freeport, New York (Nassau County) Public Improvement (Serial) Bonds, 2013	C	MEM
4/24/2013	100,300,000	Ohio Public Facilities Commission, Ohio Third Frontier Research and Development General Obligation Bonds, Series 2013A (Tax-Exempt)	C	MEM
4/24/2013	1,629,000	City of Keene, New Hampshire General Obligation Courthouse Bonds of 2013, Series B	C	MEM
4/23/2013	115,790,000	Louisville and Jefferson County Metropolitan Sewer District, Kentucky Sewer and Drainage System Revenue Bonds, Series 2013A	C	MEM
4/23/2013	78,305,000	Tacoma Public Utilities, Washington Water System Revenue and Refunding Bonds, 2013	C	MEM
4/22/2013	4,455,000	Jersey Shore Area School District, Pennsylvania (Lycoming & Clinton Counties) General Obligation Bonds, Series of 2013	C	MEM
4/17/2013	76,265,000	Multnomah County School District No. 1J, Oregon Portland Public Schools, General Obligation Bonds, Series 2013A (Oregon School Bond Guaranty Act)	C	MEM
4/17/2013	4,500,000	Huntington Fire District in the Town of Huntington, New York (Suffolk County) Fire District (Serial) Bonds, 2013	C	MEM
4/17/2013	3,865,000	City of Elmira, New York (Chemung County) Public Improvement (Serial) Bonds, 2013	C	MEM
4/16/2013	10,000,000	Township of Teaneck, New Jersey General Improvement Bonds 2013	C	MGR

Trade Date	Size	Issue	Neg/ Comp	Role
4/12/2013	2,629,995,000	State of California General Obligation Bonds Various Purpose General Obligation Bonds and Various Purpose General Obligation Refunding Bonds	N	SG
4/10/2013	200,000,000	State of Michigan, Michigan General Obligation School Loan Bonds, Series 2013A (Taxable)	C	MEM
4/10/2013	20,992,000	County of Cayuga, New York General Obligation (Serial) Bonds, 2013 Series A	C	MEM
4/10/2013	1,430,000	County of Cayuga, New York General Obligation (Serial) Bonds, 2013 Series B (Federally Taxable)	C	MEM
4/9/2013	51,165,000	County of Wake, North Carolina General Obligation School Bonds, Series 2013A	C	MEM
4/9/2013	7,500,000	Town of Oyster Bay, New York (Nassau County) General Obligation (Serial) Bonds, 2013 (Federally Taxable)	C	MEM
4/9/2013	6,550,000	Township of Tabernacle, New Jersey (Burlington County) General Obligation Bonds, Series 2013	C	MEM
4/3/2013	105,300,000	Nevada System of Higher Education, Nevada Universities Revenue Bonds, Series 2013B	C	MEM
4/3/2013	56,680,000	City of Virginia Beach, Virginia \$22,885,000 General Obligation Public Improvement Bonds, Series 2013A and \$33,795,000 General Obligation Public Improvement Refunding Bonds	C	MEM
4/2/2013	48,280,000	Kitsap County, Washington Limited Tax General Obligation Refunding Bonds, 2013	C	MEM
4/2/2013	7,100,000	Town of New Castle, New York (Westchester County) Public Improvement Refunding (Serial) Bonds, 2013	C	MEM
4/2/2013	5,429,051	Town of New Castle, New York (Westchester County) Public Improvement (Serial) Bonds, 2013	C	MEM
3/27/2013	2,225,000	City School District of the City of Kingston, New York (Ulster County) School District Refunding (Serial) Bonds, 2013	C	MEM
3/26/2013	73,805,000	Collier County, Florida Special Obligation Refunding Revenue Bonds, Series 2013	C	MEM
3/22/2013	901,025,000	New York City Transitional Finance Authority Future Tax Secured Sub Bonds Subseries F-1 Tax- Exempt Sub Bonds and Future Tax Secured Tax- Exempt Sub Bonds Fiscal 2013 Series G and Future Tax Secured Tax-Exempt Sub Bonds Fiscal 2013 Series	N	SG
3/22/2013	500,000,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2013B	N	SG
3/21/2013	1,400,000,000	New Jersey Turnpike Authority Turnpike Revenue Bonds Series 2013 A	N	SG
3/21/2013	27,900,000	City of Middletown, Connecticut General Obligation Bonds, Issue of 2013	C	MGR
3/20/2013	112,045,000	New York City Health and Hospitals Corporation 2013 Series A Refunding Bonds	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
3/20/2013	100,000,000	New York City Transitional Finance Authority, New York Future Tax Secured Taxable Subordinate Bonds Fiscal 2013 Subseries F-2 (Qualified School Construction Bonds)	C	MGR
3/20/2013	75,000,000	The Commonwealth of Massachusetts, Massachusetts General Obligation Bonds, Consolidated Loan of 2013, Series B (Federally Taxable)	C	MGR
3/19/2013	137,065,000	Howard County, Maryland \$99,045,000 Consolidated Public Improvement Project Refunding Bonds, 2013 Series A and \$38,955,000 Metropolitan District Project and Refunding Bonds 2013 Series A	C	MEM
3/19/2013	19,225,000	City of New Britain, Connecticut General Obligation Bonds, Issue of 2013	C	MEM
3/19/2013	11,240,000	City of Grand Prairie, Texas General Obligation Refunding and Improvement Bonds, Series 2013	C	MEM
3/19/2013	2,090,000	Spackenkill Union Free School District, New York (Dutchess County) School District Refunding (Serial) Bonds, 2013	C	MEM
3/14/2013	2,471,690,000	State Of California Various Purpose General Obligation Bonds, General Obligation Refunding Bonds	N	SG
3/14/2013	642,420,000	Empire State Development Corporation New York State Urban Development Corporation State Personal Income Tax Revenue Bonds (General Purpose) Series 2013 A-1 and Series 2013 A-2	N	SG
3/14/2013	364,260,000	State of California General Obligation Bonds Various Purpose General Obligation Bonds (Federally Taxable)	N	SG
3/14/2013	47,439,000	County of Middlesex, New Jersey General Obligation Bonds, Series 2013: \$40,839,000 Gen Imp; \$2,000,000 County College; \$3,100,000 County Voc-Tec Sch; \$1,500,000 County College (County College Bond act. 1971 NJ Laws c. 72, as amended)	C	MEM
3/13/2013	543,300,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2013 Series DD	N	SG
3/13/2013	9,950,000	Millwood Fire District, New York (Westchester County) Fire District (Serial) Bonds, 2013	C	MEM
3/12/2013	75,165,000	Port of Seattle, Washington Limited Tax General Obligation Refunding Bonds, Series 2013B (Taxable)	C	MEM
3/12/2013	45,340,000	California Department of Water Resources, California Central Valley Project Water System Revenue Bonds, Series AP	C	MEM
3/7/2013	244,570,000	State of Connecticut, General Obligation Bonds 2013 Series A - SIFMA Index Bonds	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
3/7/2013	155,430,000	State of Connecticut General Obligation Bonds 2013 Series B	N	SG
3/7/2013	3,000,000	Wilson Central School District, New York (Niagara County) School District Serial Bonds, 2013	C	MEM
3/7/2013	1,800,000	Slingerlands Fire District, New York (Albany County) Fire District (Serial) Bonds, 2013	C	MEM
3/6/2013	450,000,000	State of Maryland, Maryland General Obligation Bonds, State and Local Facilities Loan of 2013, First Series A	C	MEM
3/6/2013	70,000,000	Palo Alto Unified School District, California General Obligation Bonds (Election of 2008), Series 2013	C	MEM
3/5/2013	311,460,000	Lower Colorado River Authority, Texas Transmission Contract Refunding Revenue Bonds (LCRA Transmission Services Corporation Project) Series 2013	C	MEM
3/1/2013	961,150,000	The City of New York General Obligation Bonds, Fiscal 2013 Series F Tax-Exempt Bonds Subseries F-1, Tax-Exempt Bonds Series G, Tax-Exempt Bonds Series H, Fiscal 1995 Series B Subseries B-5 and B-7, Fiscal 2004 Series H Subseries H-B	N	SG
2/25/2013	6,030,000	City of Rockville, Maryland General Obligation Refunding Bonds, Series 2013B	C	MGR
2/21/2013	455,955,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2013 Series CC	N	SG
2/19/2013	74,660,000	Harford County, Maryland General Obligation Refunding Bonds, Series 2013B	C	MEM
2/15/2013	194,775,000	State of Ohio, Ohio Common Schools General Obligation Refunding Bonds, Series 2013A	C	MEM
2/12/2013	113,340,000	City of Knoxville, Tennessee Wastewater System Revenue Refunding Bonds, Series 2013A	C	MEM
2/7/2013	215,770,000	Virginia Public Building Authority, Virginia \$143,400,000 Public Facilities Revenue Bonds, Series 2013A and \$72,370,000 Public Facilities Revenue Refunding Bonds, Series 2013B	C	MEM
2/7/2013	162,170,000	State of Connecticut State Revolving Fund General Revenue Bonds, 2013 Series A and State Revolving Fund Refunding General Revenue Bonds, 2013 Series B	N	SG
2/5/2013	137,590,000	Prince George's County, Maryland General Obligation Consolidated Public Improvement Bonds, Series 2013A	C	MEM
1/30/2013	319,260,000	State of North Carolina, North Carolina General Obligation Refunding Bonds, Series 2013B	C	MEM
1/29/2013	324,625,000	Florida State Board of Education, Florida Public Education Capital Outlay Refunding Bonds 2013 Series A	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
1/29/2013	65,000,000	City of Scottsdale Municipal Property Corporation, Arizona Excise Tax Revenue and Refunding Bonds Series 2013	C	MEM
1/24/2013	337,000,000	State of Washington, Washington Motor Vehicle Fuel Tax General Obligation Bonds, Series 2013E	C	MEM
1/24/2013	5,315,000	City of Biddeford, Maine 2013 General Obligation Bonds	C	MEM
1/23/2013	81,322,700	Town of Brookhaven, New York Public Improvement Serial Bonds - 2013 Series A	C	MEM
1/17/2013	500,000,000	Metropolitan Transportation Authority Transportation Revenue Bonds Series 2013A	N	SG
1/17/2013	34,445,000	Township of South Orange Village, New Jersey General Obligation Bonds, Series 2013	C	MGR
1/15/2013	3,035,000	Borough of Mountain Lakes, New Jersey (Morris County) General Bonds of 2013	C	MEM
1/10/2013	2,900,000	The Board of Education of the Borough of Ridgefield, New Jersey (Bergen County) School Bonds	C	MEM
12/18/2012	9,980,000	Berne-Knox-Westerlo Central School District, New York (Albany & Schoharie Counties) School District (Serial) Bonds, 2012	C	MEM
12/13/2012	21,245,000	Vermont Housing Finance Agency Multi-Family Mortgage Refunding Bonds 2012 Series A (AMT), B (Non-AMT), and C (Taxable)	N	SG
12/13/2012	15,550,000	Wyandanch Union Free School District, New York (Suffolk County) General Obligation Bonds (Qualified Zone Academy Bonds), 2012 (Federally Taxable-Direct Subsidy)	C	MEM
12/13/2012	10,671,000	County of Dutchess, New York Public Improvement (Serial) Bonds, 2012	C	MEM
12/13/2012	8,130,500	City of Canandaigua, New York (Ontario County) General Obligation Bonds Public Improvement (Serial)	C	MEM
12/13/2012	2,487,000	Village of Herkimer, New York (Herkimer County) General Obligation (Serial) Bonds, 2012	C	MEM
12/13/2012	2,200,000	Greece Central School District, New York (Monroe County) School District (Serial) Bonds, 2012	C	MEM
12/13/2012	1,300,000	Village of New Hyde Park, New York (Nassau County) Road Improvement Serial Bonds-2012	C	MEM
12/12/2012	1,000,090,000	The City of New York General Obligation Bonds, Fiscal 2013 Series D and E	N	SG
12/12/2012	627,390,000	State of Connecticut Special Tax Obligation Bonds Transportation Infrastructure Purposes, 2012 Series A and Special Tax Obligation Refunding Bonds Transportation Infrastructure Purposes, 2012 Series B	N	SG
12/11/2012	9,970,135	Village of Harrison, New York (Westchester County) Public Improvement (Serial) Bonds, 2012	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
12/11/2012	5,884,000	Township of Piscataway, New Jersey (Middlesex County) General Improvement Bonds	C	MEM
12/5/2012	440,510,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2013 Series BB	N	SG
12/5/2012	170,000,000	Port Authority of New York and New Jersey Consolidated Bonds, One Hundred Seventy-Sixth Series	C	MEM
12/5/2012	2,600,000	Village of Ocean Beach, New York (Suffolk County) Public Improvement Serial Bonds-2012	C	MEM
12/4/2012	2,440,000	Village of Nyack, New York (Rockland County) Public Improvement Serial Bonds-2012	C	MEM
12/4/2012	2,045,750	Village of Fishkill, New York (Dutchess County) Public Improvement (Serial) Bonds, 2012	C	MEM
12/3/2012	8,930,000	Pennridge School District, Pennsylvania (Bucks County) General Obligation Bonds, Series of 2013	C	MEM
11/29/2012	193,000,000	Baltimore County, Maryland Consolidated Public Improvement Bonds-2012 Series	C	MEM
11/29/2012	8,530,000	Township of Washington (Gloucester County), New Jersey General Obligation Bonds, Series 2012	C	MEM
11/29/2012	3,550,000	Town of Seekonk, Massachusetts General Obligation Refunding Bonds	C	MEM
11/21/2012	87,980,000	University of Connecticut Special Obligation Student Fee Revenue Bonds, 2012 Refunding Series A	N	SG
11/20/2012	1,450,000	Town of Frankfort, New York (Herkimer County) General Obligation (Serial) Bonds, 2012	C	MEM
11/15/2012	100,000,000	New York City Transitional Finance Authority, New York Future Tax Secured Taxable Subordinate Bonds Fiscal 2013 Subseries C-2 (Qualified School Construction Bonds)	C	MEM
11/14/2012	5,220,000	Town of Bellingham, Massachusetts General Obligation Municipal Purpose Loan of 2012 Bonds	C	MEM
11/13/2012	1,925,000	Village of Lancaster, New York (Erie County) Public Improvement Serial Bonds, 2012	C	MEM
11/9/2012	460,220,000	Metropolitan Transportation Authority Transportation Revenue Bonds Series 2012H and Subseries 2008B-2 (Remarketing)	N	SG
11/8/2012	6,100,000	Town of Shrewsbury, Massachusetts General Obligation Land Acquisition Bonds, Series A	C	MEM
11/8/2012	2,300,000	Township of Alexandria, New Jersey (Hunterdon County) General Obligation Bonds, Series 2012	C	MEM
11/8/2012	1,142,000	Village of Great Neck Estates, New York (Nassau County) Various Purpose Serial Bonds- 2012	C	MEM
11/7/2012	126,230,000	Triborough Bridge and Tunnel Authority (MTA Bridges and Tunnels) General Revenue Bonds, Series 2009A-1	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
11/7/2012	7,385,000	Elmont Union Free School District, New York (Nassau County) School District Refunding Serial Bonds for Library Purposes - 2012	C	MEM
11/7/2012	1,746,000	Village of Great Neck Plaza, New York (Nassau County) Various Purposes Serial Bonds-2012	C	MEM
10/23/2012	4,650,000	City of Northfield, New Jersey (Atlantic County) General Obligation Bonds, Series 2012	C	MEM
10/18/2012	33,815,000	New Jersey Educational Facilities Authority, New Jersey Revenue and Refunding Bonds, The William Paterson University of New Jersey Issue, Series 2012 C	C	MGR
10/18/2012	17,885,000	Town of Islip, New York (Suffolk County) Public Improvement Serial Bonds-2012A	C	MEM
10/18/2012	17,290,000	New Jersey Educational Facilities Authority, New Jersey Revenue and Refunding Bonds, The William Paterson University of New Jersey Issue, Series 2012 D	C	MGR
10/17/2012	959,465,613	Metropolitan Transportation Authority Dedicated Tax Fund Refunding Bonds, Series 2012A	N	SG
10/17/2012	55,410,000	County of Westchester, New York General Obligation Serial Bonds-2012 Series B	C	MGR
10/17/2012	16,670,000	Fairbanks North Star Borough, Alaska General Obligation Refunding Bonds, 2012 Series S	C	MEM
10/12/2012	817,055,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose) Series 2012D (Tax-Exempt), Series 2012E (Tax-Exempt)	N	SG
10/12/2012	60,605,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose) Series 2012F (Federally Taxable)	N	SG
10/11/2012	9,950,000	Township of Berkeley, New Jersey (Ocean County) General Obligation Bonds, Series 2012	C	MEM
10/11/2012	7,496,000	City of Wildwood, New Jersey (Cape May County) \$3,374,000 General Improvement Bonds, \$1,112,000 Sewer Utility Bonds and \$3,010,000 Water Utility Bonds	C	MEM
10/10/2012	295,000,000	Montgomery County, Maryland Consolidated Public Improvement Bonds of 2012, Series A	C	MEM
10/10/2012	3,500,000	Town of Fallsburg, New York (Sullivan County) Public Improvement (Serial) Bonds, 2012	C	MEM
10/10/2012	3,159,522	County of Chemung, New York Public Improvement (Serial) Bonds, 2012	C	MEM
10/10/2012	1,300,000	Town of Dudley, Massachusetts General Obligation Water Bonds	C	MEM
10/4/2012	1,125,000,000	The City of New York General Obligation Bonds Fiscal 2013 Series A, B & C	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
10/4/2012	58,510,000	City of New York Tax-Exempt General Obligation Bonds Fiscal 1994 Series A Subseries A-5, Fiscal 1994 Series H Subseries H-6, Fiscal 1996 Series J Subseries J-2 and Fiscal 2003 Series G Subseries G-3	N	SG
10/3/2012	41,190,000	Township of Cherry Hill, New Jersey \$31,635,000 General Improvement Bonds and \$11,510,000 Sewer Utility Bonds	C	MGR
10/2/2012	28,335,000	Wicomico County, Maryland General Obligation Consolidated Public Improvement and Refunding Bonds of 2012	C	MEM
10/2/2012	2,900,000	Fair Harbor Fire District in the Town of Islip, New York (Suffolk County) Fire District Serial Bonds-2012	C	MEM
10/2/2012	1,317,000	Town of Southeast, New York (Putnam County) Public Improvement (Serial) Bonds - 2012	C	MEM
9/27/2012	2,840,000	Town of Carmel, New York (Putnam County) Public Improvement (Serial) Bonds, 2012	C	MEM
9/26/2012	37,500,000	City of Minneapolis, Minnesota General Obligation Various Purpose Bonds, Series 2012	C	MEM
9/26/2012	26,765,000	State of Vermont General Obligation Bonds, 2012 Series E	N	SG
9/26/2012	22,060,000	City of Minneapolis, Minnesota General Obligation Parking Assessment Refunding Bonds, Series 2012	C	MEM
9/25/2012	1,776,240,000	State of California Various Purpose General Obligation Bonds and Various Purpose General Obligation Refunding Bonds	N	SG
9/21/2012	569,790,000	State of Connecticut General Obligation Bonds (2012 Series D) - SIFMA Index Bonds, (2012 Series E), (2012 Series F)	N	SG
9/20/2012	1,268,445,000	Metropolitan Transportation Authority Transportation Revenue Refunding Bonds, Series 2012F	N	SG
9/20/2012	5,260,000	Patchogue-Medford Union Free School District, New York (Suffolk County) School District Serial Bonds 2012	C	MEM
9/19/2012	2,600,000	Towns of Ithaca, Lansing & Dryden & Villages of Lansing & Cayuga Heights, New York (Tompkins County) Joint Public Improvement (Serial) Bonds, 2012	C	MEM
9/19/2012	1,484,000	Town of Lancaster, Massachusetts General Obligation Qualified Energy Conservation Bonds (Federally Taxable-Direct Payment)	C	MEM
9/18/2012	69,530,000	King County, Washington Limited Tax General Obligation (Public Transportation Sales Tax) Refunding Bonds, 2012	C	MEM
9/18/2012	5,685,000	Evans-Brant (Lake Shore) Central School District, New York (Erie County) School District Refunding (Serial) Bonds, 2012	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
9/13/2012	1,300,000	Village of Webster, New York (Monroe County) General Obligation Public Improvement (Serial) Bonds, 2012	C	MEM
9/12/2012	35,685,000	City of Fairfax, Virginia General Obligation Refunding Bonds Series 2012B	C	MEM
9/12/2012	2,500,000	Knox County, Maine 2012 General Obligation Bonds	C	MEM
9/7/2012	276,470,000	City of Chicago Second Lien Wastewater Transmission Revenue Project Bonds, Series 2012	N	SG
9/5/2012	7,465,000	City of Quincy, Massachusetts General Obligation Land Acquisition Bonds	C	MEM
9/5/2012	1,100,000	Churchville-Chili Central School District, New York (Monroe County) School District (Serial) Bonds, 2012	C	MEM
8/30/2012	2,444,500	Town of Erwin, New York (Steuben County) Public Improvement (Serial) Bonds, 2012	C	MEM
8/29/2012	5,826,800	Town of Greenport, New York (Columbia County) General Obligation (Serial) Bonds, 2012	C	MEM
8/24/2012	804,435,000	New Jersey Turnpike Authority Turnpike Revenue Bonds, Series 2012B	N	SG
8/24/2012	452,095,000	City of Chicago Chicago O'Hare International Airport Passenger Facility Charge Revenue Refunding Bonds Series 2012A (Non-AMT), Series 2012B (AMT)	N	CM
8/23/2012	523,065,000	New York State Thruway Authority State Personal Income Tax Revenue Bonds (Transportation) Series 2012A	N	SG
8/23/2012	1,200,000	Jonesville Fire District in the Town of Clifton Park, New York (Saratoga County) Fire District (Serial) Bonds, 2012	C	MEM
8/22/2012	6,160,615	County of Cattaraugus, New York Public Improvement (Serial) Bonds, 2012	C	MEM
8/17/2012	10,000,000,000	State of California 2012-13 Revenue Anticipation Notes Series A-1 and Series A-2	N	SG
8/16/2012	11,100,000	Sachem Central School District at Holbrook, New York (Suffolk County) School District Refunding Serial Bonds - 2012	C	MEM
8/16/2012	9,484,000	Township of Belleville, New Jersey (Essex County) \$6,434,000 General Improvement Bonds, Series 2012A	C	MEM
8/16/2012	1,570,000	City School District of the City of Mount Vernon, New York (Westchester County) School District (Serial) Bonds, 2012	C	MEM
8/15/2012	289,365,000	City and County of San Francisco, California General Obligation Bonds: \$251,925,000 Series 2012D and \$38,390,000 Series 2012E	C	MEM
8/10/2012	1,050,000,000	New York City Transitional Finance Authority Series 2013A and Series 2013B	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
8/9/2012	728,895,000	City of Chicago Chicago O'Hare International Airport General Airport Senior Lien Revenue Refunding Bonds Series 2012A (AMT), Series 2012B, (AMT), Series 2012C (Non-AMT)	N	SG
8/8/2012	1,694,000	Town of Fort Ann, New York (Washington County) Public Improvement (Serial) Bonds, 2012	C	MEM
8/7/2012	352,220,000	State of Washington, Washington Various Purpose General Obligation Refunding Bonds, Series R-2013A	C	MEM
8/7/2012	1,500,000	Wilton Fire District, New York (Saratoga County) Fire District (Serial) Bonds, 2012	C	MEM
8/3/2012	1,236,898,275	Triborough Bridge and Tunnel Authority (MTA Bridges and Tunnels) General Revenue Refunding Bonds, Series 2012B	N	SG
8/2/2012	5,000,000	County of Cortland, New York General Obligation (Serial) Bonds, 2012	C	MEM
8/1/2012	7,582,000	Board of Education of the Township of Cedar Grove, New Jersey (Essex County) School Bonds	C	MEM
7/27/2012	22,350,000	City of Irving, Texas General Obligation Refunding and Improvement Bonds, Series 2012	C	MEM
7/26/2012	14,125,000	Malverne Union Free School District, New York (Nassau County) School District Serial Bonds-2012	C	MEM
7/26/2012	1,050,000	Acton-Boxborough Regional School District, Massachusetts General Obligation School Bonds, Series B	C	MEM
7/25/2012	20,604,287	City School District of the City of Lockport, New York (Niagara County) School District Serial Bonds, 2012	C	MEM
7/25/2012	1,892,323	Shenendehowa Central School District, New York (Saratoga County) General Obligation School District (Serial) Bonds, 2012	C	MEM
7/25/2012	1,450,000	Village of Great Neck, New York (Nassau County) Public Improvement (Serial) Bonds, 2012	C	MEM
7/19/2012	31,000,000	County of Somerset, New Jersey General Obligation Bonds: \$16,000,000 General Improvement, Series 2012B	C	MGR
7/18/2012	273,460,000	State of Washington, Washington Various Purpose General Obligation Bonds, Series 2013A	C	MEM
7/18/2012	18,184,000	City of Quincy, Massachusetts General Obligation State Qualified School Bonds	C	MEM
7/18/2012	3,150,000	County of Tompkins, New York Public Improvement (Serial) Bonds, 2012	C	MEM
7/17/2012	64,500,000	Loudoun County, Virginia General Obligation Public Improvement Bonds, Series 2012A	C	MEM
7/17/2012	3,800,000	Township of Monroe (Gloucester County), New Jersey General Obligation Bonds, Series 2012	C	MEM
7/17/2012	3,000,000	Village of Kiryas Joel, New York (Orange County) Public Improvement Serial Bonds-2012	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
7/17/2012	2,837,000	Shelter Island Union Free School District, New York (Suffolk County) School District Serial Bonds-2012	C	MEM
7/13/2012	650,000,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2012E	N	SG
7/12/2012	850,000,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2013 Series S-1	N	SG
7/12/2012	6,783,000	Town of Wakefield, Massachusetts General Obligation Municipal Purpose Loan of 2012 Bonds	C	MEM
7/11/2012	76,820,000	City of Alexandria, Virginia General Obligation Capital Improvement Bonds, Series 2012B	C	MEM
7/10/2012	5,989,000	Borough of Hawthorne, (Passaic County), New Jersey General Obligation Bonds: \$4,235,000 General Improvement Series 2012A; \$600,000 Special Assessment Series 2012B and \$1,154,000 Water Utility Series 2012C	C	MEM
7/10/2012	1,065,000	Brockport Central School District, New York (Monroe County) General Obligation School District (Serial) Bonds, 2012	C	MEM
6/29/2012	1,263,365,000	Metropolitan Transportation Authority Transportation Revenue Refunding Bonds, Series 2012D	N	SG
6/28/2012	24,027,579	City of Albany, New York General Obligation (Serial) Bonds, 2012 Series A	C	MGR
6/27/2012	59,510,000	Pinellas County, Florida Sewer Revenue Refunding Bonds, Series 2012	C	MEM
6/26/2012	316,790,000	New York State Environmental Facilities Corporation State Clean Water and Drinking Water Revolving Funds Revenue Bonds (New York City Municipal Water Finance Authority Projects - Second Resolution Bonds) Series 2012 D	N	CM
6/26/2012	4,025,000	Walton Central School District, New York (Delaware County) School District Serial Bonds, 2012	C	MEM
6/21/2012	13,945,000	City of Hillsboro, Oregon Water System Revenue Refunding Bonds Series 2012	C	MGR
6/21/2012	8,500,000	County of Cumberland, New Jersey County College Bonds, Series 2012 (County College Bond Act 1971 N.J. Laws c. 12, as amended)	C	MEM
6/20/2012	661,745,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Consisting of Fiscal 2012 Series FF and Fiscal Series GG	N	SG
6/20/2012	79,665,000	County of Monroe, New York Public Improvement Bonds-2012 (General Obligations)	C	MGR
6/20/2012	12,986,000	County of Gloucester, New Jersey General Obligation Bonds, Series 2012	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/20/2012	7,551,000	County of Gloucester, New Jersey County College Bonds, Series 2012	C	MEM
6/20/2012	6,610,000	City of Lenexa, Kansas General Obligation Refunding Bonds, Series 2012B	C	MEM
6/20/2012	5,460,000	Town of Dracut, Massachusetts General Obligation Refunding Bonds of 2012	C	MEM
6/19/2012	16,548,200	Byron-Bergen Central School District, New York (Genesee, Monroe & Orleans Counties) School District (Serial) Bonds, 2012	C	MEM
6/19/2012	4,935,000	Evans-Brant (Lake Shore) Central School District, New York (Erie County) School District (Serial) Bonds, 2012	C	MEM
6/19/2012	3,875,000	Perry County Justice Center Corporation, Kentucky First Mortgage Revenue Refunding Bonds, Series 2012 (Court Facilities Project)	C	MEM
6/19/2012	1,943,923	Carmel Central School District, New York (Putnam County) School District Serial Bonds - 2012	C	MEM
6/19/2012	1,250,000	Trimble County School District Finance Corporation, Kentucky School Building Revenue Bonds, Series 2012	C	MEM
6/14/2012	1,814,925,000	Dormitory Authority of the State of New York Personal Income Tax Revenue Refunding Bonds (General Purpose) Series 2012A	N	SG
6/14/2012	13,450,000	County of Ontario, New York Public Improvement Serial Bonds, 2012	C	MEM
6/14/2012	7,070,000	City School District of the City of Beacon, New York (Dutchess County) General Obligation School District (Serial) Bonds	C	MEM
6/14/2012	5,350,000	City School District of the City of Plattsburgh, New York (Clinton County) School District (Serial) Bonds, 2012A	C	MEM
6/14/2012	2,180,000	Harrisville Central School District, New York (Lewis County) School District (Serial) Bonds, 2012	C	MEM
6/13/2012	523,245,000	State of Connecticut General Obligation Refunding Bonds (2012 Series C)	N	SG
6/13/2012	51,425,000	County of Onondaga, New York General Obligation (Serial) Bonds, 2012	C	MGR
6/13/2012	11,000,000	Jamesville-Dewitt Central School District, New York (Onondaga County) School District (Serial) Bonds, 2012 Series A	C	MEM
6/13/2012	7,322,520	Newark Valley Central School District, New York (Tioga, Tompkins, Cortland & Broome Counties) School District Serial Bonds, Series 2012	C	MEM
6/13/2012	3,825,802	Brasher Falls Central School District (St. Lawrence Co), New York School District (Serial) Bonds, 2012	C	MEM
6/12/2012	3,660,000	Lisbon Central School District, New York (St. Lawrence County) School District (Serial) Bonds, 2012	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/12/2012	1,510,000	Lyons Central School District, New York (Wayne, Seneca& Ontario Counties) School District (Serial) Bonds, 2012	C	MEM
6/12/2012	1,350,000	City School District of the City of Hornell, New York (Steuben County) School District Serial Bonds-2012	C	MEM
6/7/2012	800,000,000	New York City Transitional Finance Authority Future Tax Secured Bonds Fiscal Series F, Subseries F-1 Tax-Exempt Subordinate Bonds	N	SG
6/7/2012	20,360,000	Susquehanna Valley Central School District at Conklin, New York (Broome County) School District (Serial) Bonds, 2012	C	MEM
6/7/2012	17,335,000	Port Washington Union Free School District, New York (Nassau County) School District Refunding Serial Bonds - 2012	C	MEM
6/7/2012	16,000,000	Holley Central School District, New York (Orleans & Monroe Counties) School District Serial Bonds, 2012	C	MEM
6/7/2012	11,000,000	Moravia Central School District, New York (Cayuga, Onondaga & Tompkins Counties) School District (Serial) Bonds, 2012	C	MEM
6/7/2012	6,295,000	Katonah-Lewisboro Union Free School District, New York (Westchester County) School District Refunding Serial Bonds-2012	C	MEM
6/7/2012	5,437,469	Wellsville Central School District, New York (Allegany County) General Obligation School District (Serial) Bonds, 2012	C	MEM
6/7/2012	3,795,000	Brookfield Central School District, New York (Madison County) School District (Serial) Bonds, 2012	C	MEM
6/6/2012	311,825,000	Metropolitan Washington Airports Authority Airport System Revenue Refunding Bonds, Series 2012A (AMT), Series 2012B And Taxable Airport System Revenue Refunding Bonds, Series 2012C	N	SG
6/6/2012	222,090,000	City of Seattle, Washington Drainage and Wastewater Improvement and Refunding Revenue Bonds, Series 2012	C	MEM
6/6/2012	100,000,000	New York City Transitional Finance Authority, New York Future Tax Secured Taxable Subordinate Bonds Fiscal 2012 Subseries F-2 (Qualified School Construction Bonds)	C	MGR
6/6/2012	9,998,000	Township of Tewksbury, New Jersey (Hunterdon County) General Obligation Bonds, Series 2012	C	MEM
6/6/2012	9,450,000	Gouverneur Central School District, New York (St. Lawrence & Jefferson Counties) School District Serial Bonds-2012	C	MEM
6/6/2012	1,702,985	Milford Central School District, New York (Otsego County) School District (Serial) Bonds, 2012	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/6/2012	1,370,000	Norwood-Norfolk Central School District, New York (St. Lawrence County) School District (Serial) Bonds, 2012	C	MEM
6/5/2012	27,100,000	Mountain Regional Water Special Service District, Utah Water Revenue Refunding Bonds, Series 2012	C	MGR
6/5/2012	5,845,000	Sodus Central School District, New York General Obligation School District (Serial) Bonds, 2012	C	MEM
6/5/2012	5,548,087	County of Niagara, New York General Obligation (Serial) Bonds, 2012	C	MEM
6/5/2012	1,621,144	Yorkshire-Pioneer Central School District, New York (Cattaraugus, Wyoming, Allegany & Erie Counties) School District Serial Bonds, 2012	C	MEM
5/31/2012	600,000,000	Commonwealth Transportation Board, Virginia Transportation Capital Projects Revenue Bonds, Series 2012	C	MEM
5/31/2012	33,820,000	State of Maine, Maine General Obligation Bonds, 2012 Series B	C	MGR
5/31/2012	31,007,051	Cattaraugus-Little Valley Central School District, New York (Cattaraugus County) School District (Serial) Bonds, 2012	C	MEM
5/31/2012	15,445,000	State of Maine, Maine General Obligation Bonds, 2012 Series A (Federally Taxable)	C	MGR
5/31/2012	6,600,000	Spencer-Van Etten Central School District, New York (Tioga, Chemung, Tompkins & Schuyler Counties)	C	MEM
5/31/2012	5,229,730	Galway Central School District, New York (Saratoga County) General Obligation School District (Serial) Bonds, 2012	C	MEM
5/31/2012	1,490,000	Bradford Central School District, New York (Steuben & Schuyler Counties) School District (Serial) Bonds, 2012	C	MEM
5/30/2012	231,490,000	Triborough Bridge and Tunnel Authority, New York General Revenue Bonds Series 2012A	C	MEM
5/30/2012	9,995,000	Newport School District, Pennsylvania (Perry County) General Obligation Bonds, Series of 2012	C	MEM
5/30/2012	9,345,000	Lancaster Central School District, New York (Erie County) School District (Serial) Bonds, 2012	C	MEM
5/30/2012	8,995,000	City School District of the City of Canandaigua, New York (Ontario County) School District (Serial)	C	MEM
5/30/2012	7,180,000	East Ramapo Central School District, New York (Rockland County) School District Serial Bonds-2012	C	MEM
5/30/2012	3,562,885	Bainbridge-Guilford Central School District, New York (Chenango County) School District (Serial) Bonds, 2012	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
5/30/2012	3,400,000	City of Salem, New Jersey (Salem County) General Bonds of 2012: \$2,400,000 Series A and \$710,000 Series B and \$290,000 Water and Sewer Bonds of 2012 (Qual. Pursuant to the Muni Qual. Bond Act, P.L. 1976, c. 38, as amended)	C	MEM
5/30/2012	2,610,000	City of Tonawanda, New York (Erie County) Public Improvement Serial Bonds, 2012	C	MEM
5/29/2012	7,165,000	Silver Creek Central School District, New York (Chautauqua County) School District (Serial) Bonds, 2012	C	MEM
5/25/2012	949,685,000	The City of New York General Obligation Bonds Fiscal 2012 Series H and I	N	SG
5/24/2012	141,255,000	New Jersey Turnpike Authority Turnpike Revenue Bonds, Series 2012A	N	SG
5/24/2012	21,350,000	Newark Central School District, New York General Obligation School District (Serial) Bonds 2012	C	MGR
5/24/2012	7,710,053	Chateaugay Central School District, New York (Franklin County) General Obligation School District (Serial) Bonds, 2012	C	MEM
5/24/2012	3,598,435	Clarence Central School District, New York (Erie County) School District Serial Bonds, 2012	C	MEM
5/24/2012	3,265,350	Town of Peru, New York (Clinton County) Public Improvement (Serial) Bonds, 2009	C	MEM
5/24/2012	2,500,000	Town of Ashland, Massachusetts General Obligation Land Acquisition Bonds	C	MEM
5/24/2012	2,427,942	Arkport Central School District, New York (Steuben County) General Obligation School District (Serial) Bonds, 2012	C	MEM
5/22/2012	93,750,000	Public Utilities Commission of the City and County of San Francisco, California Water Revenue Bonds 2012 Series ABC 2012 Sub-Series C (Refunding)	C	MEM
5/22/2012	3,160,000	Chatham Central School District, New York (Columbia County) School District Serial Bonds- 2012	C	MEM
5/22/2012	1,900,000	Town of Cornwall, Connecticut General Obligation Refunding Bonds, Issue of 2012	C	MEM
5/22/2012	1,860,500	City of Elmira, New York (Chemung County) Public Improvement (Serial) Bonds, 2012	C	MEM
5/17/2012	286,875,000	City of Chicago Tax-Exempt General Obligation Bonds Project Series 2012A & Refunding Series 2012C	N	SG
5/17/2012	17,770,000	Albany Unified School District, California 2012 General Obligation Refunding Bonds	C	MEM
5/17/2012	6,130,000	Borough of Upper Saddle River, New Jersey (Bergen County) General Improvement Bonds	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
5/16/2012	496,145,000	New York State Environmental Facilities Corporation State Clean Water and Drinking Water Revolving Funds Revenue Bonds (New York City Municipal Water Finance Authority Projects Second Resolution Bonds) Series 2012 A Subordinated SRF Bonds	N	CM
5/16/2012	33,825,000	Prince George's County, Maryland Certificates of Participation (Equipment Acquisition Program), Series 2012	C	MEM
5/16/2012	7,875,000	Moraga School District, California 2012 General Obligation Refunding Bonds	C	MEM
5/16/2012	1,700,000	Village of Old Field, New York (Suffolk County) Road Improvement Serial Bonds-2012	C	MEM
5/15/2012	238,770,000	City of Seattle, Washington Water System Refunding Revenue Bonds, 2012	C	MEM
5/15/2012	1,400,000	Village of Patchogue, New York (Suffolk County) Public Improvement (Serial) Bonds, 2012	C	MEM
5/11/2012	399,445,000	City Of Chicago Second Lien Water Revenue Bonds Project And Refunding Series 2012A	N	SG
5/9/2012	10,435,000	Bath Central School District, New York (Steuben County) General Obligation School District (Serial) Bonds 2012	C	MEM
5/8/2012	6,645,383	City of Saratoga Springs, New York (Saratoga County) Public Improvement (Serial) Bonds, 2012	C	MEM
5/8/2012	6,295,000	Town of Dedham, Massachusetts General Obligation Municipal Purpose Loan of 2012 Bonds	C	MEM
5/4/2012	174,725,000	Metropolitan Transportation Authority Transportation Revenue Refunding Bonds Subseries 2002D-1	N	SG
5/3/2012	17,685,000	City School District of the City of New Rochelle, New York School District Refunding (Serial) Bonds, 2012	C	MGR
5/2/2012	10,785,000	City School District of the City of Elmira, New York (Chemung County) School District (Serial) Bonds-2012	C	MEM
5/1/2012	6,635,000	Campbell-Savona Central School District, (Steuben County), New York School District (Serial) Bonds, 2012	C	MEM
5/1/2012	2,114,687	Village of Geneseo, New York (Livingston County) Public Improvement (Serial) Bonds, 2012	C	MEM
4/26/2012	18,145,000	Jordan-Elbridge Central School District, New York (Onondaga County) General Obligation School District (Serial) Bonds Series B-2012	C	MEM
4/26/2012	2,400,000	Silver Creek Central School District, New York (Chautauqua County) School District Refunding (Serial) Bonds, 2012	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
4/26/2012	1,006,603	Jordan-Elbridge Central School District, New York (Onondaga County) General Obligation School District (Serial) Bonds Series A-2012	C	MEM
4/24/2012	1,125,950	Town of Schodack, New York (Rensselaer County) Public Improvement (Serial) Bonds, 2012	C	MEM
4/19/2012	20,000,000	Millbrae School District, California General Obligation Bonds (Election of 2011, Series 2012)	C	MEM
4/18/2012	727,430,000	Metropolitan Transportation Authority Transportation Revenue Bonds Series 2012C	N	SG
4/18/2012	2,400,000	Town of Killingly, Connecticut General Obligation Bonds, Issue of 2012	C	MEM
4/18/2012	1,714,000	Town of Rowley, Massachusetts General Obligation Municipal Purpose Loan of 2012 Bonds	C	MEM
4/18/2012	1,686,060	Village of Croton-on-Hudson, New York (Westchester County) Public Improvement Serial Bonds, Series 2012A	C	MEM
4/17/2012	21,665,000	County of Oneida, New York Public Improvement (Serial) Bonds, 2012	C	MEM
4/17/2012	9,155,000	Peru Central School District, (Clinton County), New York School District (Serial) Bonds, 2012	C	MEM
4/17/2012	8,900,000	Village of Goshen, New York (Orange County) Abandonment of Disposal Area Serial Bonds-2012	C	MEM
4/17/2012	1,235,000	Williamstown Independent School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2012	C	MEM
4/13/2012	1,343,805,000	State of California Various Purpose General Obligation Bonds Various Purpose General Obligation Refunding Bonds	N	SG
4/12/2012	20,520,000	Board of Education of the Township of Moorestown, New Jersey (Burlington County) School Refunding Bonds	C	MEM
4/11/2012	800,000,000	New York City Transitional Finance Authority Future Tax Secured Bonds Fiscal 2012 Series E Subseries E-1 Tax-Exempt Subordinate Bonds	N	SG
4/11/2012	7,380,000	Town of Harrison, New Jersey G.O. Bonds, Ser. 2012: \$4,100,000 Gen Imp., Ser. A-1; \$1,495,000 Water/Sewer Utility, Ser. A-2 and \$1,785,000 Gen Imp, Ser. A-3 (Qual. Pursuant to Provs. Of MQBA, P.L. 1976, c. 38, as amended)	C	MEM
4/10/2012	75,290,000	Vermont Housing Finance Agency Multiple Purpose Bonds 2012 Series A (Non-AMT), 2012 Series B (AMT), 2012 Series C (Non-AMT)	N	SG
4/4/2012	265,965,000	South Carolina Transportation Infrastructure Bank, South Carolina Revenue Refunding Bonds, Series 2012A	C	MEM
4/3/2012	9,235,000	Mason County School District Finance Corporation, Kentucky School Building Revenue Refunding Bonds, Series 2012	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
3/29/2012	17,278,000	Township of Bridgewater, New Jersey (Somerset County) General Obligation Bonds, Series 2012 Consisting of \$16,642,000 General Improvement Bonds and \$636,000 Sewer Utility Bonds	C	MEM
3/29/2012	4,650,000	Bardstown Independent School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2012	C	MEM
3/29/2012	3,565,000	Town of East Hampton, New York (Suffolk County) Refunding Serial Bonds - 2012	C	MEM
3/28/2012	6,440,000	Chatham Central School District, New York (Columbia County) School District Refunding Serial Bonds-2012	C	MEM
3/28/2012	4,330,000	Town of Coventry, Rhode Island General Obligation Bonds	C	MEM
3/27/2012	23,155,000	County of Passaic, New Jersey General Obligation Bonds, Series 2012A (Tax Exempt)	C	MGR
3/27/2012	14,640,000	City of Sea Isle City, New Jersey (Cape May County) \$11,640,000 General Improvement Bonds and \$3,000,000 Water/Sewer Utility Bonds	C	MEM
3/27/2012	7,200,000	Town of East Granby, Connecticut General Obligation Bonds, Issue of 2012	C	MEM
3/26/2012	4,325,000	Shippensburg Area School District, Pennsylvania General Obligation Bonds, Series of 2012	C	MEM
3/21/2012	116,050,000	Metropolitan Transportation Authority, Dedicated Tax Fund Bonds Subseries 2002-B (Fixed Rate)	N	SG
3/21/2012	12,505,000	Maryland-National Capital Park and Planning Commission, Maryland Montgomery County Park Acquisition and Development General Obligation Project and Refunding Bonds, Series MC-2012A (Federally Tax-Exempt)	C	MEM
3/21/2012	4,344,000	Town of Norfolk, Massachusetts General Obligation School Bonds	C	MEM
3/20/2012	20,910,000	State of Iowa, Iowa School Infrastructure Special Fund Refunding Bonds Series 2012	C	MGR
3/20/2012	10,300,000	School District No. 7 of Spartanburg County, South Carolina General Obligation Bonds, Series 2012	C	MEM
3/20/2012	4,645,000	Campbell-Savona Central School District, New York (Steuben County) School District Refunding Bonds, 2012	C	MEM
3/8/2012	6,975,000	Village of Port Chester, New York (Westchester County) Public Improvement Refunding Serial Bonds, Series 2012A	C	MEM
3/7/2012	522,505,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2012 Series EE	N	SG
3/7/2012	28,000,000	State of Vermont, Vermont General Obligation Bonds, 2012 Series B	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
3/7/2012	3,804,700	Village of Harrison, New York (Westchester County) Public Improvement (Serial), Bonds, 2012	C	MEM
3/6/2012	15,640,000	Town of Waterford, Connecticut General Obligation Bonds, Issue of 2012	C	MEM
3/6/2012	8,231,000	Township of Burlington, New Jersey (Burlington County) \$4,736,000 General Improvement Bonds, \$1,264,000 Sewer Utility Bonds and \$2,231,000 Water Utility Bonds	C	MEM
3/5/2012	94,060,000	State of Vermont General Obligation Bonds 2012 Series A General Obligation Refunding Bonds 2012 Series D	N	SG
3/1/2012	1,904,595,000	State of California Various Purpose General Obligation Refunding Bonds	N	SG
2/28/2012	362,560,000	State of Washington Motor Fuel Tax General Obligation Refunding Bonds, Series R-2012D	C	MEM
2/28/2012	9,495,000	County of Sullivan, New York Public Improvement (Serial) Bonds, 2012	C	MEM
2/28/2012	1,250,000	Town of Victor, New York (Ontario County) Public Improvement (Serial) Bonds, 2012	C	MEM
2/24/2012	1,030,615,000	The City of New York General Obligation Bonds, Fiscal 2012 Series E and F	N	SG
2/23/2012	1,211,500	Village of Ardsley, New York (Westchester County) Public Improvement (Serial) Bonds, 2012	C	MEM
2/21/2012	6,860,000	Northern Cambria School District, Pennsylvania General Obligation Bonds, Series of 2012	C	MEM
2/16/2012	1,000,000	Town of Boston, New York (Erie County) General Obligation Serial Bonds, 2012	C	MEM
2/15/2012	838,100,000	Dormitory Authority of the State of New York Third General Resolution Revenue Bonds (State University Educational Facilities Issue), Series 2012A	N	SG
2/15/2012	1,600,000	City of Corning, New York (Steuben County) Public Improvement (Serial) Bonds, 2012 Series B	C	MEM
2/15/2012	1,199,160	City of Corning, New York (Steuben County) Public Improvement (Serial) Bonds, 2012 Series A	C	MEM
2/14/2012	67,610,000	Maryland Transportation Authority, Maryland Transportation Facilities Projects Revenue Refunding Bonds, Series 2012 (Tax-Exempt Bonds)	C	MEM
2/14/2012	16,905,000	North Colonie Central School District, New York (Albany County) School District Refunding (Serial) Bonds, 2012	C	MEM
2/14/2012	6,640,000	Wolfe County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2012	C	MEM
2/13/2012	9,995,000	Jersey Shore Area School District, Pennsylvania General Obligation Bonds, Series of 2012	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
2/9/2012	85,075,000	Frederick County, Maryland General Obligation Public Facilities Refunding Bonds of 2012	C	MEM
2/9/2012	4,350,000	Town of Chenango, New York (Broome County) Public Improvement Serial Bonds, 2012	C	MEM
2/8/2012	52,000,000	Board of Education of Alpine School District, Utah General Obligation School Building Bonds (Utah School Bond Guaranty Program) Series 2012	C	MGR
2/7/2012	2,780,000	Sharon Springs Central School District, New York (Schoharie County) General Obligation School District (Serial) Bonds, 2012	C	MEM
2/2/2012	65,790,000	County of Mercer, New Jersey \$35,740,000 General Improvement Bonds, Series 2012 and \$30,050,000 Open Space Bonds, Series 2012	C	MEM
2/2/2012	3,647,000	Borough of Pompton Lakes, New Jersey (Passaic County) General Bonds of 2012	C	MEM
2/1/2012	5,785,000	Murray Independent School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2012	C	MEM
2/1/2012	1,800,000	Bell County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2012	C	MEM
2/1/2012	1,366,000	Town of Pembroke, Massachusetts General Obligation Municipal Purpose Loan of 2012 Bonds	C	MEM
2/1/2012	1,171,094	Village of Manlius, New York (Onondaga County) Public Improvement (Serial) Bonds, 2012	C	MEM
1/26/2012	3,715,000	Pleasant Valley School District, Pennsylvania General Obligation Bonds, Series of 2012	C	MEM
1/25/2012	400,000,000	New York City Municipal Water Finance Authority Water and Sewer Second General Resolution Revenue Bonds, Fiscal 2012 Series CC and Series DD	N	SG
1/25/2012	1,885,000	Mercer County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2012	C	MEM
1/25/2012	1,535,000	Somerset Independent School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2012	C	MEM
1/19/2012	4,570,000	City of Marion, Iowa General Obligation Corporate Purpose Bonds, Series 2012B	C	MEM
1/18/2012	22,659,000	The Board of Education of the Kingsway Regional School District, New Jersey School Bonds	C	MEM
1/18/2012	13,095,060	City of Binghamton, New York Public Improvement Serial Bonds-2012	C	SG
1/18/2012	7,192,000	City of Pittsfield, Massachusetts State Qualified General Obligation Municipal Purpose Loan of 2012	C	MEM
1/18/2012	6,500,000	County of Chautauqua, New York Public Improvement (Serial) Bonds, 2012	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
1/12/2012	17,966,000	City School District of the City of Watervliet, New York School District (Serial) Bonds, 2012	C	MEM
1/12/2012	16,097,000	The Board of Education of the Township of East Greenwich, New Jersey School Bonds	C	MEM
1/12/2012	2,612,000	Town of Norwood, Massachusetts General Obligation Municipal Purpose Loan of 2012 Bonds	C	MEM
1/12/2012	2,365,000	Newport Independent School District Finance Corporation, Kentucky School Building Revenue Bonds, Series of 2012	C	MEM
1/11/2012	4,995,000	Township of Bloomfield, New Jersey General Improvement Bonds, Series 2012A	C	MEM
1/10/2012	33,630,000	Whitesboro Central School District, New York General Obligation School District (Serial) Bonds, 2012	C	SG
1/10/2012	2,020,000	Lawrence County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2012	C	MEM
1/5/2012	1,970,000	Logan County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series 2012	C	MEM
1/5/2012	1,635,000	Lawrence County School District Finance Corporation, Kentucky School Building Revenue Bonds, Series of 2012	C	MEM
1/4/2012	3,210,000	Marion County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series 2012	C	MGR
12/21/2011	3,857,000	Village of Suffern, New York (Rockland County) Various Purposes Serial Bonds - 2012	C	MEM
12/20/2011	1,486,450	Village of Solvay, New York (Onondaga County) Public Improvement (Serial) Bonds, 2012	C	MEM
12/15/2011	17,704,218	Ilion Central School District, New York (Herkimer County) School District (Serial) Bonds, 2011	C	MEM
12/15/2011	1,389,302	Farmington Village Corporation, Maine 2011 Revenue Refunding Bonds	C	MEM
12/14/2011	36,990,000	East Irondequoit Central School District, New York School District (Serial) Bonds, 2011	C	MEM
12/13/2011	2,500,000	Town of North Castle, New York (Westchester County) Public Improvement Serial Bonds, 2011	C	MEM
12/12/2011	5,920,000	West Canada Valley Central School District, New York (Herkimer & Oneida Counties) General Obligation School District Bonds, 2011	C	MEM
12/8/2011	4,036,000	Leslie County School District Finance Corporation, Kentucky Qualified School Construction Bonds, Taxable Series of 2011 (Direct Pay to the Issuer)	C	MGR
12/7/2011	550,000,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2012 Subseries S-1A Tax-Exempt Bonds	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
12/7/2011	15,450,000	Clark County School District Finance Corporation, Kentucky Qualified School Construction Bonds, Taxable Series of 2011 (QSCBs- Direct Pay to Issuer)	C	MGR
12/7/2011	2,845,000	Clark County School District Finance Corporation, Kentucky Qualified Zone Academy Bonds, Taxable Series of 2011 (QZABs- Direct Pay to Issuer)	C	MGR
12/7/2011	2,710,000	City of Henderson, Nevada General Obligation (Limited Tax) Refunding Bonds (Tax-Exempt), Series 2011B	C	MEM
12/7/2011	1,788,036	Hilton Central School District, New York (Monroe County) School District (Serial) Bonds, 2011	C	MEM
12/6/2011	18,312,000	Paducah Independent School District Finance Corporation, Kentucky Qualified School Construction Bonds, Taxable Series of 2011 (QSCBs-Direct Pay to Issuer)	C	MGR
12/6/2011	3,691,952	Northville Central School District, New York (Fulton County) School District (Serial) Bonds, 2011	C	MEM
12/5/2011	7,665,000	Carter County School District Finance Corporation, Kentucky Qualified School Construction Bonds, Taxable Series of 2011 (QSCBs-Direct Pay to Issuer)	C	MGR
12/1/2011	480,165,000	Metropolitan Transportation Authority Transportation Revenue Bonds, Series 2011D	N	SG
12/1/2011	7,425,000	Panther Valley School District, Pennsylvania General Obligation Bonds: \$4,390,000 Series of 2012 and \$3,010,000 Series A of 2012	C	MEM
12/1/2011	3,501,728	Lyme Central School District, New York (Jefferson County) General Obligation School District (Serial) Bonds 2011	C	MEM
12/1/2011	1,050,000	Hartsdale Fire District in the Town of Greenburgh, New York (Westchester County) Fire District Serial Bonds-2011	C	MEM
11/30/2011	128,960,000	Virginia Public School Authority, Virginia School Tax Credit Bonds (Direct Payment) Series 2011-2 (Qualified School Construction Bonds and Qualified Zone Academy Bonds)	C	MEM
11/30/2011	9,325,000	Rondout Valley Central School District at Accord, New York (Ulster County) School District Refunding (Serial) Bonds, 2011	C	MEM
11/30/2011	7,560,000	Beechwood Independent School District Finance Corporation, Kentucky Qualified School Construction Bonds, Series 2011 (Taxable QSCBs-Direct Pay to Issuer)	C	MGR
11/30/2011	1,499,914	Carthage Central School District, New York (Jefferson & Lewis Counties) School District (Serial) Bonds, 2011	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
11/29/2011	22,041,000	Town of Riverhead, New York (Suffolk County) Public Improvement (Serial) Bonds, 2011	C	MEM
11/21/2011	2,377,000	Borough of Island Heights, New Jersey (Ocean County) \$1,623,000 General Improvement Bonds, Series 2011 & \$754,000 Water-Sewer Utility Bonds, Series 2011	C	MEM
11/17/2011	6,875,000	Phoenixville Area School District, Pennsylvania General Obligation Bonds, Series A of 2011	C	MEM
11/16/2011	2,789,000	Town of Bridgewater, Massachusetts General Obligation Refunding Bonds, Series B	C	MEM
11/16/2011	1,807,000	Town of Bridgewater, Massachusetts General Obligation Refunding Bonds, Series A	C	MEM
11/15/2011	450,000,000	New York City Municipal Water Finance Authority Water and System Second General Resolution Revenue Bonds, Fiscal 2012 Series BB	N	SG
11/15/2011	255,000,000	Baltimore County, Maryland \$85,000,000 Metropolitan District Bonds (74th Issue) and \$170,000,000 Consolidated Public Improvement Bonds-2011 Series	C	MEM
11/15/2011	56,600,000	School District of Georgetown County, South Carolina General Obligation Refunding Bonds, Series 2011	C	MEM
11/15/2011	15,170,555	Malone Central School District, New York (Franklin County) General Obligation Qualified Zone Academy Bonds) 2011 (Federally Taxable-Direct Payment)	C	MEM
11/15/2011	10,535,000	City of Manhattan, Kansas General Obligation Bonds, Series 2011-A	C	MEM
11/15/2011	4,607,000	Village of Lynbrook, New York (Nassau County) Public Improvement Serial Bonds-2011	C	MEM
11/15/2011	2,790,000	City of Manhattan, Kansas General Obligation Refunding Series 2011-B	C	MEM
11/15/2011	1,300,000	North Salem Central School District, New York (Westchester County) School District (Serial) Bonds, 2011	C	MEM
11/10/2011	600,000,000	New York City Transitional Finance Authority Future Tax Secured Bonds Fiscal 2012 Series D Subseries D-1 Tax-Exempt Subordinate Bonds	N	SG
11/10/2011	30,185,000	County Commissioners of Carroll County, Maryland Consolidated Public Improvement and Refunding Bonds	C	MEM
11/10/2011	2,330,000	Village of Ossining, New York (Westchester County) Public Improvement (Serial) Bonds, 2011 Series A	C	MEM
11/10/2011	1,425,000	Township of Hardyston, New Jersey (Sussex County) General Obligation General Improvement Bonds, Series 2011	C	MEM
11/9/2011	210,640,000	City of Chicago, Illinois General Obligation Bonds, Project Series 2011A (Tax-Exempt)	N	SG

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
11/9/2011	9,590,000	Marshall County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series 2011	C	MEM
11/8/2011	3,680,181	County of Putnam, New York Public Improvement (Serial) Bonds, 2011	C	MEM
11/7/2011	205,815,000	City of Chicago, Illinois General Obligation Project Bonds, Taxable 2011B	N	SG
11/3/2011	197,950,000	Metropolitan Transportation Authority Transportation Revenue Refunding Bonds Series 2011C	N	SG
11/3/2011	93,500,000	Metropolitan Transportation Authority Transportation Revenue Bonds Subseries 2008B-1	N	SG
11/3/2011	2,800,000	Amagansett Fire District in the Town of East Hampton, New York (Suffolk County) Fire District Serial Bonds-2011	C	MEM
11/3/2011	1,935,000	Dutchess County Water and Wastewater Authority, New York Service Agreement Revenue Bonds, Series 2011	C	MEM
11/3/2011	1,034,885	County of Ulster, New York Public Improvement (Serial) Bonds, 2011	C	MEM
11/2/2011	214,340,000	City of Chicago, Illinois Sales Tax Revenue Bonds, Series 2011A	N	SG
11/2/2011	18,840,000	Consolidated School District No. 1 of the Parish of Lafourche, Louisiana General Obligation School Bonds, Series 2011	C	MEM
11/2/2011	1,360,000	Webster Central School District, New York (Monroe & Wayne Counties) School District (Serial) Bonds, 2011	C	MEM
11/1/2011	18,600,000	City of Chicago, Illinois Sales Tax Revenue Bonds (Taxable), Series 2011B	N	SG
11/1/2011	8,279,000	City of Pleasantville, New Jersey \$5,689,000 General Improvement Bonds and \$2,590,000 Sewer Utility Bonds	C	MEM
11/1/2011	1,400,000	Village of Saranac Lake, New York Public Improvement (Serial) Bonds, 2011	C	MEM
10/26/2011	6,560,000	City of Brockton, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
10/25/2011	3,445,000	Ridge Road Fire District, New York (Monroe County) Public Improvement (Serial) Bonds, 2011	C	MEM
10/25/2011	2,624,611	Hyde Park Central School District, New York (Dutchess County) General Obligation School District (Serial) Bonds, 2011	C	MEM
10/25/2011	1,965,565	City of Worcester, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds, Series B	C	MEM
10/20/2011	1,774,995,000	State of California Tax-Exempt Various Purpose General Obligation Bonds	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
10/20/2011	205,000,000	State of California Taxable Various Purpose General Obligation Bonds (Federally Taxable)	N	SG
10/20/2011	14,530,000	Colorado Water Resources and Power Development Authority, Colorado Clean Water Revenue Bonds, 2011 Series A	C	MEM
10/19/2011	6,000,000	Town of Bedford, New Hampshire General Obligation Bonds	C	MEM
10/19/2011	5,870,000	Warren County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2011	C	MEM
10/19/2011	4,085,000	Knott County Justice Center Corporation, Kentucky First Mortgage Refunding Revenue Bonds (Judicial Center Project), Series 2011	C	MEM
10/18/2011	811,575,000	Commonwealth of Pennsylvania, Pennsylvania \$650,000,000 General Obligation Bonds, First Series of 2011 and \$175,765,000 General Obligation Bonds, First Refunding Series of 2011	C	MEM
10/18/2011	9,120,000	City of Burlington, Wisconsin General Obligation Refunding Bonds, Series 2011	C	MGR
10/18/2011	2,435,000	Islip Union Free School District, New York (Suffolk County) School District Serial Bonds-2011	C	MEM
10/14/2011	758,095,000	New York City Transitional Finance Authority Future Tax Secured Refunding Subordinate Bonds Fiscal 2003 Subseries A-1; Future Tax Secured Subordinate Bonds Fiscal 2012 Series B and C	N	SG
10/14/2011	9,875,000	Conemaugh Valley School District, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
10/13/2011	70,000,000	Shoreline School District No. 412, Washington Unlimited Tax General Obligation Bonds, 2011 (Washington State School District Credit Enhancement Program)	C	MEM
10/13/2011	12,700,000	General Brown Central School District, New York School District (Serial) Bonds, 2011	C	MEM
10/13/2011	2,125,489	Bridgewater-Raynham Regional School District, Massachusetts General Obligation School Bonds Unlimited Tax	C	MEM
10/12/2011	1,800,000	Town of Red Hook, New York (Dutchess County) Public Improvement Serial Bonds, Series 2011A	C	MEM
10/11/2011	5,000,000	Raynham Center Water District, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
10/6/2011	9,995,000	Northampton Area School District, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
10/5/2011	609,430,000	Triborough Bridge and Tunnel Authority (MTA Bridges and Tunnels) General Revenue Refunding Bonds, Series 2011A	N	SG
10/5/2011	8,775,000	City of Burlington, Vermont Electric System Revenue Bonds, 2011 Series A	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
10/5/2011	3,135,000	City of Burlington, Vermont Taxable Electric System Revenue Bonds, 2011 Series B	C	MEM
10/5/2011	2,460,000	Town of Needham, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds (Oct)	C	MEM
10/4/2011	3,940,000	Bourbon County School District Finance Corporation, Kentucky School Building Revenue Bonds, Series of 2011	C	MEM
9/30/2011	55,625,000	Berkeley Unified School District, California 2011 General Obligation Refunding Bonds	C	MEM
9/29/2011	4,010,000	Carlisle County School District Finance Corporation, Kentucky Qualified Zone Academy Bonds, Taxable Series of 2011 (QZABS-Direct Pay to Issuer)	C	MEM
9/29/2011	2,760,000	Hart County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2011	C	MEM
9/28/2011	674,195,000	The City of New York General Obligation Bonds, Fiscal 2012 Series D Tax-Exempt Bonds, Subseries D-1; Tax-Exempt General Obligation Bonds Fiscal 1994 Series E, Subseries E-3; Tax-Exempt General Obligation Bonds Fiscal 2004 Series H, Subseries H-A	N	SG
9/28/2011	16,890,000	Franklin Independent School District, Texas Unlimited Tax School Building Bonds, Series 2011	C	MEM
9/28/2011	13,253,000	Township of Maplewood, New Jersey (Essex County) \$11,806,000 General Improvement Bonds and \$1,447,000 Pool Utility Bonds	C	MEM
9/28/2011	13,200,000	Manhasset Union Free School District, New York (Nassau County) School District Refunding Serial Bonds - 2011	C	MEM
9/28/2011	1,750,000	Town of Riga, New York (Monroe County) Public Improvement (Serial) Bonds, 2011	C	MEM
9/27/2011	65,000,000	City of New York, New York Taxable General Obligation Bonds, Fiscal 2012 Series D, Subseries D-2	C	MEM
9/27/2011	10,000,000	Daviess County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds	C	MEM
9/27/2011	4,962,000	Town of Harwich, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
9/22/2011	36,741,000	Town of Brookhaven, New York Public Improvement Serial Bonds - 2011	C	MEM
9/22/2011	6,560,000	Muhlenberg County School District Finance Corporation, Kentucky School Building Refunding Revenue Bonds, Series of 2011	C	MGR
9/22/2011	1,468,000	Town of East Fishkill, New York (Dutchess County) Public Improvement (Serial) Bonds, 2011 Series B	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
9/21/2011	2,365,685,000	State of California Tax-Exempt Various Purpose General Obligation Bonds Tax-Exempt General Obligation Refunding Bonds	N	SG
9/21/2011	195,775,000	Metropolitan Washington Airports Authority Airport System Revenue Refunding Bonds Series 2011C (AMT), Series 2011D, Series 2011E (Taxable)	N	SG
9/21/2011	25,210,000	State of California Taxable General Obligation Bonds (Federally Taxable)	N	SG
9/21/2011	7,890,000	Sedgwick County, Kansas General Obligation Bonds, Series A, 2011	C	MGR
9/21/2011	6,140,000	Sedgwick County, Kansas General Obligation Refunding Bonds, Series B, 2011	C	MGR
9/16/2011	10,000,000	Albuquerque Metropolitan Arroyo Flood Control Authority, New Mexico General Obligation Bonds, Series 2011	C	MEM
9/15/2011	5,400,000,000	State of California 2011-12 Revenue Anticipation Notes Series A-1 and Series A-2	N	SG
9/15/2011	7,437,000	City of Niagara Falls, New York (Niagara County) General Obligation (Serial) Bonds, 2011	C	MEM
9/15/2011	2,825,000	Sag Harbor Union Free School District, New York (Suffolk County) School District Refunding Serial Bonds-2011	C	MEM
9/13/2011	450,900,000	New York City Municipal Water Finance Authority, New York Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2012 Series AA	C	MEM
9/13/2011	143,020,000	Board of Public Buildings of the State of Missouri, Missouri Special Obligation Refunding Bonds, Series A 2011	C	MEM
9/13/2011	23,031,965	Albany County, New York General Obligation Serial Bonds-2011	C	SG
9/13/2011	22,275,000	County of Morris, New Jersey General Obligation Bonds of 2011 Consisting of: \$24,907,000 General Improvement Bonds of 2010 and \$1,495,000 Park Bonds of 2010	C	MEM
9/13/2011	8,735,000	City of Plattsburgh, New York (Clinton County) Public Improvement Serial Bonds - 2011	C	MEM
9/8/2011	43,060,000	County of Peoria, Illinois General Obligation Bonds (Alternate Revenue Source), Series 2011	C	MGR
9/8/2011	7,100,000	Township of Neptune, New Jersey (Monmouth County) General Obligation Bonds, Series 2011	C	MEM
9/8/2011	1,000,000	Town of East Greenwich, Rhode Island General Obligation Bonds	C	MEM
9/7/2011	5,000,000	South Huntington Union Free School District, New York Suffolk County) School District Serial Bonds - 2011	C	MEM
9/1/2011	1,746,434	Town of Camillus, New York (Onondaga County) Public Improvement (Serial) Bonds, 2011	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
8/30/2011	12,350,000	Empire State Development Corporation, New York New York State Urban Development Corporation Service Contract Revenue Refunding Bonds, Series 2011A	C	MEM
8/30/2011	5,200,000	Township of Deptford, New Jersey (Gloucester County) General Obligation Bonds, Series 2011	C	MEM
8/30/2011	4,561,000	Town of Bourne, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
8/25/2011	5,020,000	Souderton Area School District, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
8/24/2011	31,820,000	Clean Water Services (Washington County), Oregon Senior Lien Sewer Revenue Refunding Bonds, Series 2011A	C	MEM
8/24/2011	8,095,000	Borough of Hopatcong, New Jersey \$5,760,000 General Improvement Bonds, Series 2011 and \$2,335,000 Water Utility Bonds, Series 2011	C	MEM
8/23/2011	18,085,000	County of Somerset, New Jersey General Obligation Bonds, Series 2011	C	MEM
8/23/2011	1,500,000	City of Cohoes, New York Public Improvement (Serial) Bonds, Series 2011	C	MEM
8/18/2011	2,650,000	Town of Ithaca, New York (Tompkins County) Public Improvement (Serial) Bonds, 2011	C	MEM
8/18/2011	1,913,792	Village of Pelham, New York (Westchester County) Public Improvement Serial Bonds, Series 2011A	C	MEM
8/18/2011	1,358,300	Town of Clifton Park, New York (Saratoga County) Public Improvement (Serial) Bonds, 2011	C	MEM
8/17/2011	1,980,000	Wyandanch Union Free School District, New York (Suffolk County) School District (Serial) Bonds, 2011	C	MEM
8/16/2011	4,650,000	Township of East Lampeter, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
8/5/2011	450,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2012 Series A	N	SG
8/5/2011	424,145,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2003 Series B Tax-Exempt Multi-Modal Bonds	N	SG
8/4/2011	7,025,000	Hampden-Wilbraham Regional School District, Massachusetts General Obligation School Bonds (Aug 2011)	C	MEM
8/4/2011	6,735,000	Borough of Chatham, New Jersey (Morris County) \$5,819,000 General Improvement Bonds and \$916,000 Water Utility Bonds	C	MEM
8/4/2011	1,400,000	Village of Amityville, New York (Suffolk County) Public Improvement Serial Bonds - 2011	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
8/3/2011	237,655,000	Montgomery County, Maryland General Obligation Bonds Consolidated Public Improvement Refunding Bonds Consolidated Public Improvement Refunding Bonds of 2011, Series A	C	MEM
8/3/2011	28,000,000	University of Iowa Facilities Corporation, Iowa Revenue Bonds, Series 2011 (John and Mary Pappajohn Biomedical Discovery Building Project)	C	MGR
8/3/2011	7,875,000	Village of Sleepy Hollow, New York (Westchester County) Public Improvement Serial Bonds, Series 2011	C	MEM
8/2/2011	14,000,000	Town of Waterford, Connecticut General Obligation Bonds, Issue of 2011	C	MEM
7/28/2011	18,000,000	City of Norwalk, Connecticut General Obligation Bonds, Issue of 2011, Series B	C	MEM
7/28/2011	5,475,000	City School District of the City of Mount Vernon, New York (Westchester County) School District (Serial) Bonds, 2011 Series B	C	MEM
7/28/2011	3,100,000	City School District of the City of Mount Vernon, New York (Westchester County) School District (Serial) Bonds, 2011 Series A	C	MEM
7/28/2011	2,600,000	Town of Marblehead, Massachusetts General Obligation School Bonds	C	MEM
7/28/2011	1,878,250	Gates Chili Central School District, New York (Monroe County) General Obligation Bonds School District (Serial) Bonds, 2011	C	MEM
7/27/2011	11,630,000	City of West Des Moines, Iowa General Obligation Refunding Capital Loan Notes Series 2011B	C	SG
7/27/2011	3,000,000	Borough of Keyport, New Jersey (Monmouth County) General Obligation Bonds, Series 2011	C	MEM
7/26/2011	2,572,000	Town of Bethel, New York (Sullivan County) Public Improvement (Serial) Bonds, 2011	C	MEM
7/22/2011	515,000,000	The City of New York General Obligation Bonds, Fiscal 2012 Series A Tax-Exempt Bonds, Subseries A-1	N	SG
7/21/2011	62,570,000	Public Utilities Commission of the City and County of San Francisco, California San Francisco Water Revenue Bonds, 2011 Series ABCD \$30,390,000 2011 Sub-Series B (Hetch Hetchy) and \$35,490,000 2011 Sub-Series C (Local Water Main)	C	MEM
7/21/2011	6,300,000	Town of Hempstead, New York (Nassau County) Public Improvement (Serial) Bonds, 2011 Series B	C	MEM
7/20/2011	390,845,000	State of Washington, Washington Various Purpose General Obligation Bonds, Series 2012A	C	MEM
7/20/2011	329,260,000	State of Wisconsin, Wisconsin General Obligation Bonds of 2011, Series B	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
7/20/2011	5,172,877	Town of Hyde Park, New York (Dutchess County) Public Improvement (Serial) Bonds, 2011	C	MEM
7/20/2011	2,000,000	Village of Kings Point, New York (Nassau County) Public Improvement Series Bonds-2011	C	MEM
7/19/2011	46,445,000	Virginia Public School Authority, Virginia Special Obligation School Financing Bonds, Prince William County Series 2011	C	MEM
7/19/2011	20,650,000	Eastport-South Manor Central School District, New York School District Serial Bonds-2011	C	SG
7/19/2011	13,000,000	City School District of the City of Dunkirk, New York School District Serial Bonds, 2011	C	SG
7/18/2011	9,300,000	Rio Rancho Public School District #94, New Mexico General Obligation School Building Bonds Series 2011B (NM SD Credit Enhancement Program)	C	SG
7/18/2011	1,771,262	Shenendehowa Central School District (Saratoga County) General Obligation School District (Serial) Bonds, 2011	C	MEM
7/14/2011	400,440,000	Metropolitan Transportation Authority Transportation Revenue Bonds Series 2011A	N	SG
7/14/2011	69,950,000	City of Alexandria, Virginia General Obligation Capital Improvement Bonds, Series 2011	C	MEM
7/14/2011	15,640,000	Amherst Central School District, New York School District Serial Bonds, 2011	C	MEM
7/14/2011	4,360,000	Town of Bedford, New York (Westchester County) Public Improvement Serial Bonds, Series 2011	C	MEM
7/14/2011	1,000,000	Town of Hopkinton, Rhode Island General Obligation Bonds	C	MEM
7/13/2011	864,790,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose) Series 2011C	N	SG
7/12/2011	3,480,000	City of Wichita, Kansas General Obligation Bonds, Series 806	C	SG
7/11/2011	10,000,000	Doyline School District No. 7 of the Parish of Webster, Louisiana General Obligation School Bonds, Series 2011	C	SG
7/7/2011	1,265,000	Township of Greenwich, New Jersey (Gloucester County) General Improvement Bonds of 2011	C	MEM
7/6/2011	7,523,800	Village of East Rockaway, New York (Nassau County) Public Improvement (Serial) Bonds, 2011	C	MEM
6/30/2011	16,160,000	Seaford Union Free School District, New York School District Serial Bonds-2011	C	SG
6/30/2011	1,896,495	Morristown Central School District, New York (St. Lawrence County) General Obligation Qualified Zone Academy Bonds, 2011-Series A (Federally Taxable-Direct Payment)	C	MEM
6/30/2011	1,825,000	Village of Great Neck, New York (Nassau County) Public Improvement (Serial) Bonds, 2011	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/28/2011	16,455,000	City and County of Denver, Colorado General Obligation Better Denver Bonds, Series 2011A	C	SG
6/28/2011	10,525,000	Special School District No. 1 (Minneapolis), Minnesota General Obligation Refunding Bonds, Series 2011B	C	SG
6/28/2011	2,319,000	Borough of Union Beach, New Jersey General Obligation Bonds, Series 2011	C	SG
6/23/2011	7,922,026	City of Albany, New York (Albany County) General Obligation (Serial) Bonds, 2011 Series A	C	MEM
6/22/2011	90,000,000	City of Virginia Beach, Virginia General Obligation Public Improvement Bonds Series 2011A	C	MEM
6/22/2011	43,400,000	Washoe County School District, Nevada General Obligation (Limited Tax) School Improvement and Refunding Bonds, Series 2011A	C	MEM
6/22/2011	2,323,479	Town of Eastchester, New York (Westchester County) Various Purposes Serial Bonds-2011	C	MEM
6/22/2011	1,000,000	Saranac Lake Central School District, New York (Franklin, Essex & Clinton Counties) School District (Serial) Bonds, 2011	C	MEM
6/21/2011	479,615,000	State of Georgia, Georgia \$39,105,000 General Obligation Bonds 2011A; \$28,000,000 General Obligation Bonds 2011B & \$412,510,000 General Obligation Bonds Series 2011C	C	MEM
6/21/2011	77,000,000	State of Georgia, Georgia General Obligation Bonds 2011D (Federally Taxable Qualified School Construction Bonds-Direct Pay)	C	MEM
6/21/2011	8,800,000	West Jefferson Hills School District, Pennsylvania General Obligation Bonds, Series A of 2011	C	MEM
6/21/2011	4,560,000	Hastings-On-Hudson Union Free School District, New York (Westchester County) School District Refunding Serial Bonds-2011	C	MEM
6/21/2011	1,340,000	Township of Voorhees, New Jersey (Camden County) General Obligation Refunding Bonds, Series 2011B	C	MEM
6/16/2011	3,430,000	Town of Amherst, New York (Erie County) Refunding Serial Bonds - 2011	C	MEM
6/16/2011	2,000,000	Town of Somers, New York (Westchester County) Public Improvement (Serial) Bonds, 2011	C	MEM
6/15/2011	662,245,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2011 Series HH	N	SG
6/15/2011	250,000,000	New Jersey Educational Facilities Authority, New Jersey Princeton University Revenue Bonds	C	MEM
6/15/2011	40,400,000	City of Bristol, Connecticut Tax-Exempt General Obligation Bonds, Issue of 2011	C	MEM
6/15/2011	13,175,000	Town of Southold, New York Public Improvement Serial Bonds - 2011	C	SG

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/15/2011	9,880,000	Town of Huntington, New York (Suffolk County) Public Improvement Serial Bonds-2011	C	MEM
6/15/2011	1,178,365	Eastchester Union Free School District, New York (Westchester County) School District Serial Bonds-2011	C	MEM
6/14/2011	25,285,000	Township of Woodbridge, New Jersey Sewer Utility Bonds	C	SG
6/14/2011	12,470,000	Hamburg Central School District, New York (Erie County) School District Serial Bonds, 2011	C	MEM
6/14/2011	3,120,000	City of Wilmington, North Carolina General Obligation Refunding Bonds, Series 2011B	C	SG
6/9/2011	6,668,000	Township of Florence, New Jersey \$5,000,000 General Improvement Bonds; \$967,000 Water/Sewer Utility Special Assessment Bonds	C	SG
6/9/2011	5,055,000	City of Middletown, New York (Orange County) Public Improvement Refunding (Serial) Bonds, 2011	C	MEM
6/9/2011	3,500,000	Henry County School District Finance Corporation, Kentucky School Building Revenue Bonds, Series of 2011	C	SG
6/9/2011	2,167,000	Regional School District No. 19 of the State of Connecticut, Connecticut General Obligation Bonds, Issue of 2011	C	MEM
6/8/2011	57,100,000	Madison Area Technical College District, Wisconsin General Obligation School Improvement Bonds, Series 2011-12A	C	MEM
6/8/2011	8,890,000	Town of Dedham, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
6/7/2011	10,310,000	Town of West Springfield, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
6/7/2011	3,950,000	Paducah Independent School District Finance Corporation, Kentucky Qualified Zone Academy Bonds, Taxable Series of 2011	C	SG
6/2/2011	643,370,000	Dormitory Authority of the State of New York, New York State Personal Income Tax Revenue Bonds (General Purpose) Series 2011A	C	MEM
6/2/2011	520,675,000	New York State Environmental Facilities Corporation (NYSEFC) State Clean Water and Drinking Water Revolving Funds Revenue Bonds (New York City Municipal Water Finance Authority Project-Second Resolution Bonds Series 2011 B Subordinated SRF Bonds)	N	SG
6/2/2011	21,055,000	Port Washington Union Free School District, New York School District Refunding Serial Bonds - 2011	C	MEM
6/1/2011	53,877,000	Town of Natick, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/1/2011	4,000,000	City of Jamestown, New York (Chautauqua County) Public Improvement Serial Bonds, Series 2011A	C	MEM
6/1/2011	2,700,000	City of Elmira, New York (Chemung County) Public Improvement (Serial) Bonds, 2011	C	MEM
5/26/2011	2,000,000	Village of Pleasantville, New York (Westchester County) Public Improvement (Serial) Bonds, Series 2011	C	MEM
5/26/2011	1,938,499	City of Tonawanda, New York (Erie County) Public Improvement Serial Bonds, 2011	C	MEM
5/25/2011	82,045,000	Nassau County, New York General Improvement Bonds, 2011 Series A	C	MEM
5/25/2011	51,925,000	Virginia Public School Authority, Virginia School Educational Technology Notes, Series XI	C	MEM
5/19/2011	2,450,000	Village of Roslyn, New York (Nassau County) Refunding Serial Bonds-2011	C	MEM
5/18/2011	100,110,000	County of Suffolk, New York Public Improvement Serial Bonds- 2011 Series A	C	MEM
5/18/2011	6,300,000	County of Salem, New Jersey General Obligation Bonds, Series 2011	C	MEM
5/18/2011	3,000,000	North Colonie Central School District, New York (Albany County) School District (Serial) Bonds, 2011	C	MEM
5/13/2011	327,315,000	Dormitory Authority of the State of New York School District Revenue Bond Financing Program Revenue Bonds, Series 2011A and 2011B	N	SG
5/12/2011	27,500,000	Depew Union Free School District, New York School District Serial Bonds, 2011	C	SG
5/12/2011	12,565,000	City of Schenectady, New York (Schenectady County) Public Improvement (Serial) Bonds, 2011	C	MEM
5/12/2011	2,027,000	Board of Education of the Borough of Netcong, New Jersey (Morris County) School Bonds, Series 2011	C	MEM
5/12/2011	2,000,000	Town of Riga, New York (Monroe County) General Obligation Public Improvement (Serial) Bonds, 2011	C	MEM
5/11/2011	2,056,392	Town of Blooming Grove, New York (Orange County) Various Purposes Serial Bonds-2011	C	MEM
5/10/2011	7,525,000	Bellwood Antis School District, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
5/5/2011	4,880,000	Town of Wallingford, Connecticut General Obligation Bonds, Issue of 2011	C	MEM
5/4/2011	9,180,000	Ringgold School District, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
5/4/2011	1,933,000	County of Washington, New York Public Improvement (Serial) Bonds, 2011	C	MEM
5/3/2011	37,735,000	Town of Falmouth, Maine 2011 General Obligation Bonds	C	MEM
4/28/2011	2,150,000	Village of Brockport, New York (Monroe County) Public Improvement (Serial) Bonds, 2011	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
4/27/2011	3,935,000	Village of Fairport, New York (Monroe County) Public Improvement (Serial) Bonds, 2011	C	MEM
4/26/2011	1,190,000	City of Geneva, New York (Ontario County) Public Improvement (Serial) Bonds, 2011	C	MEM
4/20/2011	9,995,000	Kenton County Public Library District, Kentucky General Obligation Bonds, Series 2011	C	SG
4/20/2011	8,540,000	Shenendehowa Central School District, New York (Saratoga County) General Obligation School District	C	MEM
4/20/2011	6,275,000	Township of Upper Allen, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
4/19/2011	10,930,000	City of Iowa City, Iowa General Obligation Refunding Capital Loan Notes, Series 2011C	C	SG
4/19/2011	7,925,000	City of Iowa City, Iowa General Obligation Capital Loan Notes, Series 2011A	C	SG
4/19/2011	2,292,000	Town of Wawayanda, New York (Orange County) Public Improvement (Serial) Bonds, 2011	C	MEM
4/14/2011	294,460,000	Metropolitan Transportation Authority Dedicated Tax Fund Bonds Series 2004B	N	SG
4/14/2011	4,435,000	Village of Valley Stream, New York (Nassau County) Public Improvement Serial Bonds - 2011	C	MEM
4/14/2011	4,183,000	Board of Education of the Buena Regional School District, New Jersey (Atlantic County) School Bonds	C	MEM
4/12/2011	11,155,000	City of Poughkeepsie, New York (Dutchess County) Public Improvement (Serial) Bonds, Series 2011A	C	MEM
4/12/2011	1,100,000	Town of Enfield, New York (Tompkins County) Public Improvement (Serial) Bonds, 2011	C	MEM
4/8/2011	649,425,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2011 Series E and Series F	N	SG
4/7/2011	18,600,000	Township of Mount Laurel, New Jersey General Obligation Bonds, Series 2011A	C	MEM
4/7/2011	13,985,000	City of Elizabeth, New Jersey (Union County) \$12,755,000 General Improvement Bonds, Series 2011 and \$1,230,000 Refunding Bonds, Series 2011	C	MEM
4/7/2011	1,390,000	Town of Easton, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
4/6/2011	11,961,000	Town of Braintree, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
4/5/2011	18,625,000	City School District of the City of Cohoes, New York School District Serial Bonds, 2011	C	SG
4/5/2011	7,306,000	Town of East Fishkill, New York (Dutchess County) Public Improvement (Serial) Bonds, 2011	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
4/5/2011	6,900,000	Minisink Valley Central School District, New York (Orange & Sullivan Counties) School District (Serial) Bonds, 2011	C	MEM
4/5/2011	3,735,000	Borough of Palisades Park, New Jersey (Bergen County) General Improvement Bonds	C	MEM
4/4/2011	5,000,000	Conewago Valley School District, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
3/31/2011	3,435,000	Marlboro Central School District, New York School District Refunding Serial Bonds- 2011	C	MEM
3/30/2011	125,700,000	Anne Arundel County, Maryland Consolidated General Improvements Series, 2011	C	MEM
3/29/2011	7,905,000	Monroe-Woodbury Central School District, New York (Orange County) School District Refunding Serial Bonds-2011	C	MEM
3/29/2011	4,113,000	Board of Education of the Central Regional School District of Ocean County, New Jersey (Ocean County) School District Bonds, Series 2011 (New Jersey School Bond Reserve Act, 1980 N.J. Law c.72, as amended)	C	MEM
3/29/2011	3,310,000	Candor Central School District, New York (Tioga County) School District (Serial) Bonds, 2011	C	MEM
3/29/2011	2,540,000	City of Nicholasville, Kentucky General Obligation Bonds, Series 2011B	C	SG
3/29/2011	1,735,000	City of Nicholasville, Kentucky General Obligation Bonds, Series 2011A	C	SG
3/29/2011	1,730,000	Mineola Union Free School District, New York (Nassau County) General Obligation School District Serial Bonds-2011	C	MEM
3/24/2011	541,810,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2011 Series GG	N	SG
3/24/2011	127,450,000	Metropolitan Transportation Authority Dedicated Tax Fund Refunding Bonds, Series 2011A	N	SG
3/24/2011	9,810,500	City of Peekskill, New York (Westchester County) Public Improvement Serial Bonds, Series 2011A	C	MEM
3/24/2011	6,780,000	City of Clifton, New Jersey (Passaic County) General Obligation Bonds, Series 2011	C	MEM
3/24/2011	1,100,000	Borough of Prospect Park, New Jersey (Passaic County) General Improvement Bonds, Series 2011	C	MEM
3/23/2011	47,230,000	Central Bucks School District, Pennsylvania Taxable General Obligation Bonds, Series C of 2011	C	MEM
3/23/2011	15,040,000	City of St. Paul, Minnesota General Obligation Capital Improvement Bonds, Series 2011A	C	SG
3/22/2011	7,995,000	Wappingers Central School District, New York (Dutchess & Putnam Counties) School District Refunding (Serial) Bonds, 2011	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
3/22/2011	3,435,000	City of Rensselaer, New York (Rensselaer County) Public Improvement (Serial) Bonds, Series 2011	C	MEM
3/17/2011	35,710,000	Lexington County School District No. 1, South Carolina General Obligation Bonds, Series 2011 (Taxable Qualified School Construction Bonds-Direct Subsidy)	C	MEM
3/17/2011	35,095,000	Milwaukee County, Wisconsin General Obligation Refunding Bonds, Series 2011A	C	MEM
3/16/2011	5,000,000	Town of Simsbury, Connecticut General Obligation Bonds, Issue of 2011	C	SG
3/15/2011	9,100,000	Waynesboro Area School District, Pennsylvania General Obligation Bonds, Series of 2011	C	MEM
3/10/2011	400,000,000	The City of New York General Obligation Bonds Fiscal 2011 Series I and J	N	SG
3/10/2011	45,120,000	The City of New York General Obligation Bonds Fiscal 1994 Series H, Subseries H-4	N	SG
3/10/2011	1,610,000	Walton-Verona Independent School District Finance Corporation, Kentucky School Building Revenue Bonds, Series 2011	C	SG
3/9/2011	191,335,000	Georgia State Road and Tollway Authority, Georgia Guaranteed Revenue Refunding Bonds Series 2011A	C	MEM
3/9/2011	153,085,000	Georgia State Road and Tollway Authority, Georgia Guaranteed Revenue Refunding Bonds Series 2011B	C	MEM
3/9/2011	3,801,500	Village of Croton-on-Hudson, New York (Westchester County) Public Improvement Serial Bonds, Series 2011	C	MEM
3/9/2011	3,080,000	Village of Croton-on-Hudson, New York (Westchester County) Public Improvement Refunding Serial Bonds, Series 2011	C	MEM
3/8/2011	3,890,000	Town of Poughkeepsie, New York (Dutchess County) Public Improvement (Serial) Bonds, 2011 Series A	C	MEM
3/3/2011	69,160,000	Clark County School District, Nevada General Obligation (Limited Tax) Refunding Bonds, Series 2011A	C	MEM
3/3/2011	3,630,000	Town of Hamilton, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	SG
3/2/2011	61,575,000	Town of Oyster Bay, New York Public Improvement (Serial) Bonds, 2011	C	MEM
3/1/2011	21,660,000	Township of Montclair, New Jersey School Bonds (School Bond Reserve Act P.L. 1980, C. 72)	C	SG
3/1/2011	19,584,000	Township of Montclair, New Jersey \$18,519,000 General Improvement Bonds and \$1,065,000 Water & Sewer Utility Bonds	C	SG
2/24/2011	20,700,000	County of Orange, New York Various Purposes Serial Bonds - 2011A	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
2/24/2011	2,303,000	Town of Ayer, Massachusetts General Obligation Refunding Bonds	C	MEM
2/23/2011	8,260,000	Cedar City, Utah Sales Tax Revenue Refunding Bonds, Series 2011	C	SG
2/17/2011	30,000,000	The Board of Education of the City of Atlantic City, New Jersey School Bonds	C	MEM
2/17/2011	2,700,000	Greenburgh Central School District No. 7, New York Westchester County) School District Serial Bonds-2011	C	MEM
2/16/2011	5,000,000	Town of Nantucket, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	SG
2/16/2011	4,726,000	Town of Lexington, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
2/16/2011	1,025,666	Town of Waterford, New York (Saratoga County) Public Improvement (Serial) Bonds, 2011	C	MEM
2/15/2011	161,105,000	Howard County, Maryland \$109,230,000 Consolidated Public Improvement Bonds, 2011 Series A and \$48,840,000 Metropolitan District Bonds, 2011 Series A	C	MEM
2/15/2011	46,220,000	City of Cambridge, Massachusetts General Obligation Bonds Municipal Purpose Loan of 2011	C	SG
2/15/2011	45,000,000	Board of Education of Davis School District, Utah General Obligation School Building Bonds, Series 2011A (Utah School Bond Guaranty Program)	C	MEM
2/15/2011	14,020,000	Town of Newtown, Connecticut General Obligation Bonds, Issue of 2011	C	MEM
2/15/2011	4,025,000	Town of Marshfield, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	SG
2/15/2011	3,950,000	City of Bath, Maine 2011 General Obligation Bonds	C	MEM
2/15/2011	3,485,000	Village of Port Chester, New York (Westchester County) Public Improvement Refunding Serial Bonds, Series 2011	C	MEM
2/15/2011	1,145,000	Belchertown Water District, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
2/14/2011	9,930,000	Lower Pottsgrove Township Authority, Pennsylvania Guaranteed Sewer Revenue Notes, Series of 2011	C	SG
2/10/2011	10,000,000	Washington Elementary School District #6 (Maricopa County, Arizona) School Improvement Bonds Project of 2010, Series A (2011)	C	SG
2/10/2011	2,617,416	Village of Williamsville, New York (Erie County) Public Improvement Serial Bonds, 2011	C	MEM
2/10/2011	1,880,000	Township of South Hackensack, New Jersey General Improvement Bonds	C	SG

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
2/10/2011	1,240,000	City School District of the City of Lockport, New York (Niagara County) School District Serial Bonds	C	MEM
2/9/2011	16,000,000	Parishwide School District of the Parish of Iberia, Louisiana General Obligation School Bonds, Series 2011	C	SG
2/9/2011	13,000,000	Hicksville Water District, New York Water District (Serial) Bonds, 2011	C	SG
2/9/2011	1,000,000	Manorville Fire District in the Towns of Brookhaven and Riverhead, New York (Suffolk County) Fire District Serial Bonds - 2011	C	MEM
2/8/2011	9,650,000	Town of South Windsor, Connecticut General Obligation Bonds, Issue of 2011	C	SG
2/3/2011	11,025,000	Town of Brookline, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
2/3/2011	7,115,000	Village of Rockville Centre, New York (Nassau County) Public Improvement (Serial) Bonds, 2011	C	MEM
2/3/2011	3,349,000	Borough of Essex Fells, New Jersey \$1,633,000 General Improvement Bonds, Series 2011 and \$1,176,000 Water Utility Bonds, Series 2011	C	SG
2/3/2011	3,305,000	Village of Briarcliff Manor, New York (Westchester County) Public Improvement Refunding Serial Bonds	C	MEM
2/2/2011	775,000,000	New York City Transitional Finance Authority Future Tax Secured Bonds Fiscal 2011 Series D	N	SG
2/2/2011	15,704,000	Town of Sturbridge, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	MEM
2/1/2011	6,391,000	City of Bangor, Maine 2011 General Obligation Bonds	C	SG
1/26/2011	11,912,900	City of Glens Falls, New York (Warren County) Public Improvement (Serial) Bonds, 2011	C	MEM
1/24/2011	11,995,000	School District of New Berlin, Wisconsin Taxable General Obligation Refunding Bonds	C	SG
1/20/2011	450,000,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds, Fiscal 2011 Series EE	N	SG
1/20/2011	22,145,000	Board of Governors of the State of Florida, Florida State University Parking Facility Revenue Bonds, Series 2011A	C	MGR
1/20/2011	21,918,271	Town of Weston, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	SG
1/20/2011	9,664,124	Salmon River Central School District, New York (Franklin & St. Lawrence Counties) General Obligation	C	MEM
1/20/2011	9,435,000	Town of Norwood, Massachusetts General Obligation Refunding Bonds	C	SG

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
1/19/2011	90,375,000	State of Washington, Washington General Obligation Bonds, Series 2011T-2 (Taxable)	C	MEM
1/19/2011	2,940,000	City of Watervliet, New York (Albany County) Public Improvement Serial Bonds, 2011	C	MEM
1/13/2011	875,000,000	New York City Transitional Finance Authority Future Tax Secured Subordinate Bonds Fiscal 2011 Series C	N	SG
1/12/2011	296,315,000	City of Seattle, Washington Municipal Light and Power Improvement and Refunding Revenue Bonds, 2011A	C	MEM
1/12/2011	4,768,000	The Board of Education of the Borough of Tinton Falls, New Jersey School Bonds	C	SG
1/11/2011	4,040,000	Town of Topsfield, Massachusetts General Obligation Municipal Purpose Loan of 2011 Bonds	C	SG
1/10/2011	175,000,000	King County, Washington Sewer Revenue Bonds, 2011	C	MEM
1/6/2011	47,440,000	County of Middlesex, New Jersey General Obligation Redevelopment Bonds, Series 2011	C	SG
1/5/2011	14,500,000	Regional School District No. 18 of the State of Connecticut, Connecticut General Obligation Bonds, Issue of 2011	C	SG
1/5/2011	1,500,000	Town of South Berwick, Maine 2011 General Obligation Bonds	C	MEM
1/4/2011	1,600,000	Village of Marcellus, New York (Onondaga County) Public Improvement Serial Bonds, 2011 Series A	C	MEM
12/21/2010	750,000,000	Metropolitan Transportation Authority Transportation Revenue Bonds Series 2010E	N	SG
12/21/2010	13,395,000	Township of Vernon, New Jersey General Bonds of 2011	C	SG
12/16/2010	100,000,000	The City of New York General Obligation Bonds, Fiscal 2011 Series G & H	N	SG
12/15/2010	902,000,000	The City of New York General Obligation Bonds, Fiscal 2011 Series F Taxable Bonds, Subseries F-1 (Build America Bonds)	N	SG
12/15/2010	183,395,000	Commonwealth of Pennsylvania, Pennsylvania General Obligation Bonds: \$171,895,000 Third Series A of 2010 and \$11,500,000 Third Series C of 2010	C	MEM
12/15/2010	180,960,000	Public Utilities Commission of the City and County of San Francisco, California San Francisco Water Revenue Bonds, 2010 Series FG 2010 Sub-Series F (Tax-Exempt)	C	MEM
12/15/2010	16,000,000	Greece Central School District, New York School District (Serial) Bonds, 2010	C	SG
12/14/2010	148,000,000	City of New York, New York Taxable General Obligation Bonds, Fiscal 2011 Series F, Subseries F-2	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
12/14/2010	15,000,000	County of Ocean, New Jersey General Improvement Bonds (Ocean County College-Gateway Building Project), Series 2010	C	MEM
12/14/2010	3,685,000	City School District of The City of Batavia, New York (Genesee County) School District Serial Bonds, 2010	C	MEM
12/14/2010	2,800,000	Borough of North Arlington, New Jersey (Bergen County) Refunding Bonds	C	MEM
12/13/2010	102,810,000	Hennepin County, Minnesota General Obligation Senior Sales Tax Revenue Bonds, Series 2010E	C	MEM
12/13/2010	50,000,000	Milwaukee Metropolitan Sewerage District, Wisconsin General Obligation Sewerage System Bonds, Series 2010L (Taxable Build America Bonds-Direct Payment)	C	MEM
12/7/2010	7,855,000	City of Lowell, Massachusetts General Obligation State Qualified Recovery Zone Economic Development Bonds (Federally Taxable-Direct Payment)	C	MEM
12/2/2010	367,295,000	Empire State Development Corporation New York State Urban Development Corporation State Personal Income Tax Revenue Bonds (General Purpose) Series 2010A	N	SG
12/2/2010	71,745,000	Nassau County, New York General Improvement Bonds, 2010 Series F (Taxable Build America Bonds)	C	MEM
12/2/2010	53,255,000	Nassau County, New York General Improvement Bonds, 2010 Series E	C	MEM
12/2/2010	29,225,000	County of Monmouth, New Jersey Various General Obligation Bonds, Series 2010A (Tax-Exempt or Taxable Build America or a Combination of Both)	C	SG
12/2/2010	1,500,000	Copiague Union Free School District, New York (Suffolk County) School District Serial Bonds - 2010	C	MEM
12/2/2010	1,210,000	Town of Rockland, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	SG
12/1/2010	22,420,000	Township of Howell, New Jersey \$17,490,000 General Capital Improvement Bonds, Series 2010A and \$4,930,000 Sewer Utility Assessment Bonds, Series 2010B	C	SG
12/1/2010	9,645,000	Hauppauge Union Free School District, New York (Suffolk County) School District Serial Bonds-2010 (Second Issue)	C	MEM
12/1/2010	6,480,000	Town of Madison, Connecticut General Obligation Refunding Bonds, Issue of 2010, Series C	C	MEM
12/1/2010	2,390,000	Town of LeRay, New York (Jefferson County) Public Improvement Serial Bonds, 2010	C	MEM
12/1/2010	2,030,000	Town of Sudbury, Massachusetts General Obligation Community Preservation Bonds	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
11/30/2010	41,815,000	Albany County, New York General Obligation Serial Bonds-2010	C	SG
11/30/2010	21,600,000	City of Stamford, Connecticut Taxable General Obligation Bonds, Issue of 2010, Series A (Build America Bonds-Direct Payment)	C	SG
11/30/2010	17,990,000	County of Tompkins, New York Public Improvement (Serial) Bonds, 2010	C	MEM
11/30/2010	8,975,000	City of Stamford, Connecticut General Obligation Bonds, Issue of 2010, Series A	C	SG
11/30/2010	4,160,000	Lindenhurst Union Free School District, New York (Suffolk County) School District Refunding Serial Bonds-2010	C	MEM
11/30/2010	3,610,000	Town of Edgartown, Massachusetts General Obligation Refunding Bonds, Series B Unlimited Tax	C	SG
11/30/2010	3,135,000	Town of Irondequoit, New York (Monroe County) Public Improvement (Serial) Bonds, 2010	C	MEM
11/30/2010	1,945,000	Village of Castleton-on-Hudson, New York (Rensselaer County) Public Improvement (Serial) Bonds, 2010	C	MEM
11/30/2010	1,540,145	Carthage Central School District, New York (Jefferson & Lewis Counties) School District (Serial) Bonds, 2010	C	MEM
11/30/2010	510,000	Town of Edgartown, Massachusetts General Obligation Refunding Bonds, Series A Unlimited Tax	C	SG
11/24/2010	754,305,000	Metropolitan Transportation Authority Transportation Revenue Bonds Series 2010D	N	SG
11/24/2010	233,540,000	Metropolitan Transportation Authority Transportation Revenue Bonds Series 2005G (Remarketing)	N	SG
11/23/2010	1,250,000,000	State of California Various Purpose General Obligation Bonds	N	SG
11/23/2010	21,021,000	County of Dutchess, New York Public Improvement (Serial) Bonds, 2010	C	SG
11/23/2010	3,000,000	Village of Spring Valley, New York (Rockland County) Public Improvement (Serial) Bonds, 2010 Series A	C	MEM
11/23/2010	2,085,000	Village of Spring Valley, New York (Rockland County) Public Improvement Refunding (Serial) Bonds, 2010	C	MEM
11/19/2010	295,750,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2011 Subseries S-1B Taxable Bonds (Build America Bonds)	N	SG
11/19/2010	54,250,000	New York City Transitional Finance Authority Building Aid Revenue Bonds Fiscal 2011 Subseries S-1A Tax-Exempt Bonds	N	SG

Trade Date	Size	Issue	Neg/ Comp	Role
11/18/2010	6,800,311	Three Village Central School District of Brookhaven and Smithtown, New York (Suffolk County) School District Serial Bonds - 2010	C	MEM
11/17/2010	25,000,000	State of Vermont Citizens Bonds 2010 Series E	N	SG
11/17/2010	11,855,000	City of Torrington, Connecticut General Obligation Bonds, Issue of 2010, Series B (Tax-Exempt or Taxable Build America Bonds)	C	SG
11/17/2010	6,613,000	Town of Avon, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
11/17/2010	2,285,000	Village of Nyack, New York (Rockland County) Public Improvement Serial Bonds-2010	C	MEM
11/17/2010	2,150,000	City of Torrington, Connecticut General Obligation Bonds, Issue of 2010, Series A	C	SG
11/16/2010	206,020,000	New York Local Government Assistance Corp. (A Public Benefit Corp. of NYS), New York Series 2010B Refunding Bonds (Subordinate Lien)	C	MEM
11/16/2010	5,945,000	Village of Ossining, New York (Westchester County) Public Improvement (Serial) Bonds, 2010 Series A	C	MEM
11/16/2010	2,536,765	County of Chemung, New York Public Improvement (Serial) Bonds, 2010	C	MEM
11/16/2010	1,955,000	Township of Berlin, New Jersey (Camden County) General Obligation Refunding Bonds, Series 2010B	C	MEM
11/16/2010	1,500,000	South Fallsburg Fire District in the Town of Fallsburg, New York (Sullivan County) Fire District (Serial) Bonds, 2010	C	MEM
11/10/2010	19,915,000	Department of Transportation of Maryland, Maryland Project Certificates of Participation (Maryland Aviation Administration), Refunding Series 2010 (Qualified Airport Certificates)	C	MEM
11/10/2010	13,070,000	Department of Transportation of Maryland, Maryland Project Certificates of Participation (Maryland Transit Administration Project), Refunding Series 2010	C	MEM
11/9/2010	23,379,741	City School District of the City of Olean, New York (Cattaraugus County) General Obligation School District (Serial) Bonds, 2010	C	MEM
11/9/2010	8,000,000	Town of Dedham, Massachusetts General Obligation Energy Savings Bonds	C	SG
11/9/2010	1,800,000	Sudbury Water District, Massachusetts General Obligation Water Bonds	C	MEM
11/8/2010	30,000,000	State College Area School District, Pennsylvania General Obligation Bonds consisting of \$15,860,000	C	MEM
11/8/2010	10,055,000	Perkiomen Valley School District, Pennsylvania General Obligation Bonds, Series A of 2010	C	SG
11/4/2010	1,540,000	Crown Point Central School District, New York (Essex County) School District (Serial) Bonds, 2010	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
11/3/2010	3,637,000	Village of Depew, New York (Erie County) Public Improvement Serial Bonds, 2010	C	MEM
10/28/2010	32,654,500	City of Worcester, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds, Series A	C	MGR
10/28/2010	20,185,000	Oceanside Union Free School District, New York School District Refunding Serial Bonds - 2010	C	SG
10/28/2010	10,484,000	Township of Verona, New Jersey \$8,665,000 General Improvement Bonds and \$1,819,000 Water and Sewer Utility Bonds and \$1,819,000 Water and Sewer Utility Bonds	C	SG
10/28/2010	7,804,000	Borough of Roselle Park, New Jersey (Union County) \$7,607,000 General Improvement Bonds, Series 2010	C	MEM
10/28/2010	5,050,000	City of Worcester, Massachusetts Taxable DCU Special Improvement District Loan of 2010 Bonds, Series B	C	MEM
10/27/2010	700,000,000	New York City Transitional Finance Authority, Future Tax Secured Subordinate Bonds, Fiscal 2011 Series B Subseries B-1 Taxable Subordinate Bonds (Build America Bonds)	N	SG
10/27/2010	50,000,000	New York City Transitional Finance Authority, Future Tax Secured Subordinate Bonds, Fiscal 2011 Series B Subseries B-3, Tax-Exempt Subordinate Bonds	N	SG
10/26/2010	247,050,000	Baltimore County, Maryland Consolidated Public Improvement Bonds- 2010 Series A (Tax-Exempt or Taxable Build America Bonds or a Combination of Both)	C	MEM
10/26/2010	11,988,000	The Board of Education of the Township of Cranford, New Jersey (Union County) School Bonds, Series 2010	C	MEM
10/26/2010	6,687,000	Town of North Branford, Connecticut General Obligation Bonds, Issue of 2010, Series C	C	SG
10/21/2010	27,210,000	The Board of Education of the Township of Willingboro, New Jersey School Bonds	C	SG
10/21/2010	3,141,000	Board of Education of the Borough of Park Ridge, New Jersey (Bergen County) School Bonds, Series 2010	C	MEM
10/21/2010	2,815,000	Village of Dobbs Ferry, New York (Westchester County) Public Improvement Refunding Serial Bonds, Series 2010	C	MEM
10/20/2010	510,460,000	New York City Health and Hospitals Corporation Health System Bonds 2010 Series A	N	SG
10/20/2010	2,900,000	Board of Education of the Lawnside Borough School District, New Jersey School Bonds, Series 2010	C	SG
10/20/2010	2,771,000	Town of Weston, Massachusetts General Obligation Refunding Bonds	C	SG

Trade Date	Size	Issue	Neg/ Comp	Role
10/20/2010	1,330,000	Town of Pembroke, Massachusetts General Obligation Municipal Purpose Loan of 2008 Bonds	C	MEM
10/14/2010	9,295,000	City of Keene, New Hampshire General Obligation Bonds	C	SG
10/14/2010	3,540,000	Borough of Shillington, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
10/14/2010	3,330,000	Town of Hamburg, New York (Erie County) General Obligation Refunding Bonds - 2010	C	MEM
10/13/2010	171,270,000	Commonwealth of Virginia, Virginia General Obligation Bonds, Series 2010A (Tax-Exempt or Taxable Build America Bonds (Direct Payment) or a Combination of Both)	C	MEM
10/13/2010	9,850,000	County of Cumberland, Pennsylvania General Obligation Bonds, Series of 2010	C	MEM
10/13/2010	6,200,000	Shikellamy School District, Pennsylvania General Obligation Bonds, Series A of 2010	C	MEM
10/13/2010	3,665,000	Town of Haverstraw, New York (Rockland County) Public Improvement Refunding (Serial) Bonds, Series 2010A	C	MEM
10/13/2010	3,481,000	Town of Marshfield, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	SG
10/13/2010	1,560,000	Webster Central School District, New York (Monroe & Wayne Counties) School District (Serial) Bonds, 2010 Series A	C	MEM
10/12/2010	7,198,000	Pelham Union Free School District, New York (Westchester County) School District Serial Bonds-2010	C	MEM
10/12/2010	3,280,000	Otto-Eldred School District, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
10/12/2010	2,405,000	Borough of Millersville, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
10/8/2010	88,545,000	The City of New York General Obligation Bonds Fiscal 2002 Series A Subseries A-7 and Subseries A-8 (Tax-Exempt Reoffering)	N	SG
10/7/2010	775,000,000	The City of New York General Obligation Bonds Fiscal 2011 Series C Subseries C-1 Taxable Build America Bonds	N	SG
10/7/2010	150,000,000	The City of New York Taxable General Obligation Bonds, Fiscal 2011 Series C, Subseries C-2	C	MEM
10/7/2010	1,205,000	Ashville Fire District, New York (Chautauqua County) Fire District Serial Bonds, 2010	C	MEM
10/6/2010	618,000,000	Dormitory Authority of the State of New York State Personal Income Tax Revenue Bonds (General Purpose) Series 2010E (Tax-Exempt) Series 2010F (Tax-Exempt)	N	SG
10/6/2010	4,018,674	Bethlehem Central School District, New York (Albany County) General Obligations School District (Serial) Bonds, 2010	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
10/5/2010	318,470,000	State of Georgia, Georgia General Obligation Bonds 2010C-1 and 2010C-2 (Tax-Exempt or Taxable Build America Bonds - Direct Pay or Combination of Both)	C	MEM
10/5/2010	300,000,000	The City of New York General Obligation Bonds Fiscal 2011 Series D and E (Tax-Exempt Bonds)	N	SG
10/5/2010	170,165,000	State of Georgia, General Obligation Bonds 2010B	C	MEM
10/5/2010	136,535,000	State of Georgia, Georgia General Obligation Bonds, 2010C-3 (Federally Taxable Recovery Zone Economic Development Bonds-Direct Pay)	C	MEM
10/5/2010	16,500,000	Town of New Canaan, Connecticut General Obligation Bonds Issue of 2010, Series A	C	SG
10/5/2010	9,003,000	City of Pittsfield, Massachusetts General Obligation State Qualified Municipal Purpose Loan of 2010 Bonds	C	MEM
10/5/2010	3,320,000	Town of Mamaroneck, New York (Westchester County) Various Purposes (Serial) Bonds, 2010	C	MEM
10/5/2010	2,975,000	Village of Pleasantville, New York (Westchester County) Public Improvement (Serial) Bonds, 2010	C	MEM
10/5/2010	2,930,000	City School District of the City of Kingston, New York (Ulster County General Obligation School District (Serial) Bonds, 2010	C	MEM
10/5/2010	1,900,000	Town of Shawangunk, New York (Ulster County) Public Improvement (Serial) Bonds, 2010	C	MEM
10/1/2010	6,765,000	Valley Grove School District, Pennsylvania General Obligation Bonds, Refunding Series of 2010	C	MEM
9/30/2010	20,740,000	Township of Manchester, New Jersey \$15,555,000 Water Utility Bonds, Series 2010A and \$5,185,000 Sewer Utility Bonds, Series 2010B	C	SG
9/30/2010	6,080,000	Town of Greenburgh, New York (Westchester County) Public Improvement (Serial) Bonds, Series 2010 A	C	MEM
9/29/2010	6,174,682	Village of Haverstraw, New York (Rockland County) Various Purposes (Serial) Bonds, 2010	C	MEM
9/29/2010	1,850,000	East Rockaway Union Free School District, New York (Nassau County) School District Refunding Serial Bonds - 2010	C	MEM
9/28/2010	467,335,000	Empire State Development Corporation, New York New York State Urban Development Corporation Service Contract Revenue Refunding Bonds, Series 2010B	C	MEM
9/28/2010	302,585,000	State of North Carolina, North Carolina General Obligation Refunding Bonds, Series 2010C	C	MEM
9/28/2010	12,065,000	Town of North Hempstead, New York Refunding Serial Bonds-2010	C	SG
9/28/2010	6,063,000	Town of North Hempstead, New York Various Purpose Serial Bonds-2010 Series A	C	SG

Trade Date	Size	Issue	Neg/ Comp	Role
9/28/2010	2,625,000	Saucon Valley School District, Pennsylvania General Obligation Bonds, Series A of 2010	C	SG
9/23/2010	15,085,000	County of Camden, New Jersey General Obligation Refunding Bonds, Series 2010	C	SG
9/23/2010	12,575,000	County of Cayuga, New York Public Improvement (Serial) Bonds, 2010	C	SG
9/23/2010	3,456,000	Town of Smithtown, New York (Suffolk County) Public Improvement Serial Bonds-2010	C	MEM
9/23/2010	2,795,000	Village of Sands Point, New York (Nassau County) Refunding Serial Bonds-2010	C	MEM
9/22/2010	210,040,000	New York City Municipal Water Finance Authority Water and Sewer System Second General Resolution Revenue Bonds Fiscal 2011 Series BB	N	SG
9/22/2010	6,909,829	City School District of the City of Canandaigua, New York (Ontario County) School District (Serial) Bonds, 2010	C	MEM
9/21/2010	10,710,000	Bethpage Union Free School District, New York (Nassau County) School District Refunding Serial Bonds-2010	C	MEM
9/21/2010	4,735,000	North Chelmsford Water District, Massachusetts General Obligation Water Treatment Facility Bonds	C	MEM
9/21/2010	1,697,000	Borough of Emerson, New Jersey (Bergen County) General Obligation General Improvement Bonds, Series 2010	C	MEM
9/16/2010	12,685,000	Warren County School District, Pennsylvania General Obligation Bonds, Refunding Series of 2010	C	SG
9/16/2010	12,000,000	Town of Ramapo, New York (Rockland County) General Obligation Public Improvement (Serial) Bonds, 2010, Series A	C	MEM
9/16/2010	2,552,000	City of Johnstown, New York (Fulton County) Public Improvement Serial Bonds of 2010	C	MEM
9/15/2010	8,028,000	Borough of Wood-Ridge, New Jersey (Bergen County) General Improvement Bonds	C	MEM
9/15/2010	4,195,000	Edwards-Knox Central School District, New York (St. Lawrence County) School District (Serial) Bonds, 2010	C	MEM
9/14/2010	6,000,000	Stillwater Central School District, New York (Saratoga County) General Obligation School District (Serial) Bonds, 2010	C	MEM
9/10/2010	4,084,000	Town of North Reading, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
9/9/2010	5,000,000	South Huntington Union Free School District, New York (Suffolk County) School District Serial Bonds	C	MEM
9/9/2010	3,352,000	Town of Cortlandt, New York (Westchester County) Public Improvement (Serial) Bonds, 2010	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
9/8/2010	25,735,000	City of Lowell, Massachusetts General Obligation State Qualified Municipal Purpose Loan of 2010 Bond	C	SG
9/8/2010	9,136,761	Pine Bush Central School District, New York (Orange, Sullivan & Ulster Counties) School District Serial Bonds-2010	C	MEM
9/7/2010	1,200,000	Stillwater Fire District in the Town of Stillwater, New York (Saratoga County) Fire District (Serial) Bonds, 2010	C	MEM
9/1/2010	10,744,000	City of Lewiston, Maine General Obligation Public Improvement Bonds, Series A	C	SG
9/1/2010	4,625,000	South Fayette Township School District, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
9/1/2010	3,834,000	Town of East Longmeadow, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	SG
9/1/2010	3,125,000	City of Lewiston, Maine General Obligation Refunding Bonds, Series B	C	SG
9/1/2010	2,665,000	Ardsley Union Free School District, New York (Westchester County) School District Serial Bonds - 2010	C	MEM
9/1/2010	1,000,000	Village of Great Neck, New York (Nassau County) Public Improvement (Serial) Bonds, 2010	C	MEM
8/26/2010	22,000,000	Town of Trumbull, Connecticut General Obligation Bonds, Issue of 2010	C	MEM
8/26/2010	1,358,000	Tri-Valley Central School District at Grahamsville School District Serial Bonds, 2012	C	MEM
8/25/2010	90,000,000	State of New Hampshire General Obligation Capital Improvement Bonds	C	MEM
8/25/2010	48,765,000	Hennepin County, Minnesota General Obligation Bonds, Series 2010B	C	MEM
8/25/2010	22,930,000	County of Sussex, New Jersey \$17,277,000 G. O. Bds; \$2,000,000 G.O. Ref. Bds; \$1,300,000 Vocational School Bds; \$1,177,000 Co Coll Bds; & \$1,176,000 Co Coll Bds (Co Coll Bond Act, P.L. 1976, c.12)	C	SG
8/24/2010	51,515,000	Prince Georges County, Maryland Taxable General Obligation Consolidated Public Improvement Bonds, Series 2010B (Build America Bods-Direct Payment to Issuer)	C	MEM
8/24/2010	22,770,000	Town of Southampton, New York (Suffolk County) Public Improvement (Serial) Bonds, 2010	C	MEM
8/24/2010	4,138,000	Town of Randolph, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
8/24/2010	3,705,000	Township of Saddle Brook, New Jersey (Bergen County) General Obligation Bonds	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
8/24/2010	1,416,184	Holland Patent Central School District, New York (Oneida County) General Obligation School District	C	MEM
8/20/2010	20,580,000	West Shore School District, Pennsylvania \$5,330,000 General Obligation Bonds, Series A of 2010 and \$15,285,000 General Obligation Bonds, Series AA of 2010	C	SG
8/19/2010	33,791,000	County of Rockland, New York Various Purposes (Serial) Bonds-2010 Series B	C	MGR
8/19/2010	11,789,000	Township of Moorestown, New Jersey \$8,297,000 General Improvement Bonds and \$3,492,000 Water-Sewer Utility Bonds	C	SG
8/18/2010	358,000,000	The Commonwealth of Massachusetts General Obligation Bonds, Consolidated Loan of 2010, Series D (Taxable Build America Bonds-Direct Payment to Issuer)	C	MGR
8/18/2010	70,400,000	Metropolitan Nashville Airport Authority (Tennessee) Airport Improvement Revenue Bonds Refunding Series 2010B	N	SG
8/18/2010	25,125,000	Township of Harrison, New Jersey \$6,450,000 General Improvement Bonds; \$5,014,000 Sewer Utility Bonds and \$13,661,000 Open Space Bonds	C	SG
8/18/2010	16,170,000	Metropolitan Nashville Airport Authority (Tennessee) Airport Improvement Revenue Bonds Refunding Series 2010C (AMT)	N	SG
8/18/2010	9,983,719	City School District of the City of Middletown, New York (Orange County) School District (Serial) Bonds, 2010	C	MEM
8/18/2010	6,477,000	Board of Education of Cumberland Regional High School District, New Jersey School Bonds	C	SG
8/17/2010	12,049,784	Town of East Hampton, New York (Suffolk County) Various Purpose Serial Bonds-2010 Series B	C	MEM
8/17/2010	7,258,000	Town of Arlington, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	SG
8/17/2010	5,442,939	City School District of the City of Peekskill, New York (Westchester County) School District (Serial) Bonds, 2010	C	MEM
8/17/2010	3,654,000	Board of Education of the Township of Stafford, New Jersey (Ocean County) School Bonds	C	MEM
8/17/2010	2,353,000	County of Mercer, New Jersey County College Bonds, Series 2010	C	SG
8/12/2010	7,340,000	Montgomery Area School District, Pennsylvania General Obligation Bonds, Series A of 2010	C	MEM
8/12/2010	3,682,000	Board of Education of the Borough of Woodbine, New Jersey School Bonds	C	SG
8/11/2010	2,572,000	Borough of South Bound Brook, New Jersey General Improvement Bonds	C	SG

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
8/11/2010	1,999,000	The Board of Education of the Township of Shamong, New Jersey (Burlington County) School Bonds	C	MEM
8/11/2010	1,177,000	County of Passaic, New Jersey County College Bonds	C	MEM
8/11/2010	1,176,000	County of Passaic, New Jersey State Aid County College Bonds (County College Bond Act P.L. 1971 c. 12 as amended)	C	MEM
8/10/2010	10,085,000	Wilmington Area School District, Pennsylvania \$3,720,000 General Obligation Bonds, Series of 2010 and \$6,335,000 General Obligation Bonds, Series A of 2010	C	SG
8/10/2010	7,510,000	West Chester Area School District, Pennsylvania General Obligation Bonds, Series A of 2010	C	SG
8/10/2010	1,100,000	The Board of Education of the Township of Mansfield, New Jersey School Bonds	C	SG
8/5/2010	761,465,000	New York City Transitional Finance Authority Future Tax Secured Bonds Fiscal 2011 Series A Subseries A-1 Taxable Subordinate Bonds (Build America Bonds) Subseries A-2 Taxable Subordinate Bonds (Qualified School Construction Bonds)	N	SG
8/5/2010	138,535,000	New York City Transitional Finance Authority Future Tax Secured Bonds Fiscal 2011 Series A Subseries A-3 Tax Exempt Subordinate Bonds	N	SG
8/5/2010	3,298,000	Township of Weehawken, New Jersey (Hudson County) General Obligation Bonds, Series 2010A (Tax-Exempt)	C	MEM
8/4/2010	11,320,000	Town of Clarkstown, New York (Rockland County) Public Improvement Serial Bonds - 2010	C	MEM
8/4/2010	7,000,000	The Board of Education of The Township of Lumberton, New Jersey School Bonds	C	SG
8/4/2010	3,788,400	Holley Central School District, New York (Orleans & Monroe Counties) School District Serial Bonds, 2010	C	MEM
8/4/2010	3,455,000	City of Olean, New York (Cattaraugus County) Public Improvement Serial Bonds, 2010	C	MEM
8/3/2010	12,000,000	Town of Marblehead, Massachusetts Unlimited Tax General Obligation School Bonds	C	MEM
8/3/2010	11,800,000	Central Dauphin School District, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
8/3/2010	9,370,000	Mount Joy Township Authority, Pennsylvania Guaranteed Sewer Revenue Bonds-Series of 2010	C	SG
7/29/2010	962,535,000	The City of New York General Obligation Bonds, Fiscal 2011 Series A and B	N	SG
7/29/2010	118,215,000	State of Washington General Obligation Bonds, Series 2011T (Taxable)	C	MEM
7/28/2010	75,000,000	State of Maryland General Obligation Bonds, State and Local Facilities Loan of 2010, Second Series C (Taxable Build America Bonds)	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
7/28/2010	23,500,000	Carroll County, New Hampshire General Obligation Bonds	C	SG
7/27/2010	32,080,000	Arlington County, Virginia General Obligation Public Improvement Bonds, Series 2010A	C	MEM
7/27/2010	16,175,000	Jersey Shore Area School District, Pennsylvania \$8,260,000 General Obligation Bonds, Series AA of 2010	C	SG
7/27/2010	12,030,000	City of Shelton, Connecticut Lot B: General Obligation Bonds, Issue of 2010	C	SG
7/27/2010	8,515,000	City of Passaic, New Jersey (Passaic County) \$7,249,000 General Improvement Bonds, Series 2010A and \$1,266,000 Sewer Utility Bonds, Series 2010B	C	MEM
7/27/2010	1,401,732	Village of Brewster, New York (Putnam County) Public Improvement Serial Bonds for Sewer Projects-2010	C	MEM
7/22/2010	46,091,684	South Country Central School District at Brookhaven	C	SG
7/21/2010	400,000,000	Port Authority of New York and New Jersey Consolidated Bonds, One Hundred Sixty-Third Series	C	MEM
7/21/2010	19,870,000	Borough of Point Pleasant, New Jersey General Obligation Bonds, Series 2010: \$14,890,000 General Improvement Bonds and \$4,980,000 Water-Sewer Utility Bonds	C	SG
7/21/2010	15,000,000	Elizabethtown Area School District, Pennsylvania General Obligation Bonds, Series of 2010	C	MEM
7/21/2010	4,650,000	Hendrick Hudson Central School District, New York (Westchester County) School District Serial Bonds	C	MEM
7/21/2010	3,825,000	Town of East Haddam, Connecticut General Obligation Bonds, Issue of 2010	C	MEM
7/21/2010	2,459,850	Town of Stillwater, New York (Saratoga County) Public Improvement (Serial) Bonds, 2010	C	MEM
7/15/2010	17,350,000	Board of Education of the Borough of Leonia, New Jersey (Bergen County) School Bonds	C	MEM
7/15/2010	9,915,950	Friendship Central School District, New York (Allegany County) General Obligation School District Serial Bonds, 2010	C	MEM
7/15/2010	7,369,600	City School District of the City of Dunkirk, New York (Chautauqua County) School District Serial Bonds, 2010	C	MEM
7/15/2010	7,348,000	Township of Raritan, New Jersey General Improvement Bonds	C	SG
7/15/2010	3,865,000	Town of New Milford, Connecticut General Obligation Bonds, Issue of 2010	C	MEM
7/14/2010	8,624,500	Town of York, Maine 2010 General Obligation Bonds	C	MEM
7/14/2010	8,530,000	Borough of Dumont, New Jersey (Bergen County) General Obligation General Improvement Bonds, Series 2010A	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
7/13/2010	25,090,000	Marlboro Central School District, New York School District Serial Bonds-2010	C	MEM
7/13/2010	22,280,000	City and County of San Francisco Finance Corporation, California Lease Revenue Refunding Bonds, Series 2010-R1 (Emergency Communications System Refinancing)	C	SG
7/13/2010	8,800,000	Town of Burlington, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
7/13/2010	5,180,000	Indiana Area School District, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
7/13/2010	1,900,000	Town of Patterson, New York (Putnam County) Public Improvement (Serial) Bonds, 2010	C	MEM
7/8/2010	7,300,000	County of Warren, New Jersey \$3,650,000 County College Bonds, Series 2010A and \$3,650,000 County College Bonds, Series 2010B (County College Bonds Act P.L. 1971, c.12)	C	SG
7/8/2010	1,275,000	Borough of Old Tappan, New Jersey \$545,000 General Improvement Refunding Bonds and \$730,000 Sewer Assessment Refunding Bonds	C	SG
7/7/2010	84,715,000	County of Monroe, New York General Obligation Public Improvement Bonds, 2010	C	MEM
7/7/2010	4,778,784	Southwestern Central School District, New York (Chautauqua County) School District Serial Bonds, 2010	C	MEM
7/7/2010	2,675,000	Town of Easton, Connecticut General Obligation Bonds, Issue of 2010	C	MEM
6/30/2010	15,300,000	Town of Huntington, New York Public Improvement Serial Bonds-2010	C	SG
6/30/2010	4,510,000	Town of Cheektowaga, New York (Erie County) General Obligation Refunding Bonds-2010	C	MEM
6/30/2010	2,286,254	Alexandria Central School District, New York (Jefferson & St. Lawrence Counties) General Obligations School District (Serial) Bonds, 2010	C	MEM
6/29/2010	55,000,000	San Juan Unified School District, California General Obligation Bonds, Election of 2002, Series 2010	C	SG
6/29/2010	10,241,000	Town of Danvers, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
6/29/2010	1,834,302	Ripley Central School District, New York (Chautauqua County) School District Serial Bonds-2010	C	MEM
6/29/2010	1,770,000	Parishville-Hopkinton Central School District, New York (St. Lawrence County) School District (Serial) Bonds, 2010	C	MEM
6/29/2010	1,395,000	Fonda-Fultonville Central School District, New York (Montgomery County) General Obligation School District (Serial) Bonds, 2010	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
6/24/2010	5,257,117	Lindenhurst Union Free School District, New York (Suffolk County) School District Serial Bonds-2010	C	MEM
6/23/2010	6,073,250	Town of Pepperell, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
6/22/2010	12,585,000	Cazenovia Central School District, New York School District (Serial) Bonds, 2010	C	SG
6/22/2010	7,715,000	City of Taunton, Massachusetts General Obligation State Qualified Municipal Purpose Loan of 2010 Bonds	C	SG
6/17/2010	300,000,000	State of Illinois General Obligation Bonds, Taxable Build America Bonds, Series 2010-4	C	MEM
6/17/2010	82,060,000	Nassau County, New York General Improvement Bonds, Series 2010 B (Taxable Build America Bonds)	C	MEM
6/17/2010	7,665,000	City of Jamestown, New York (Chautauqua County) Public Improvement Serial Bonds, Series 2010A	C	MEM
6/17/2010	6,788,000	Board of Education of the Passaic Valley Regional High School District No. 1, New Jersey School Bonds	C	SG
6/17/2010	2,475,000	Town of Eastchester, New York (Westchester County) Refunding Serial Bonds-2010	C	MEM
6/16/2010	16,045,000	City of Long Beach, New York Public Improvement (Serial) Bonds, 2010	C	SG
6/15/2010	17,030,000	Pocantico Hills Central School District, New York (Westchester County) General Obligation School District (Serial) Bonds, 2010	C	MEM
6/15/2010	11,800,000	Board of Education of the Town of Hammonton, New Jersey School Bonds	C	SG
6/15/2010	11,650,000	Sayville Union Free School District, New York (Suffolk County) School District (Serial) Bonds, 2010	C	MEM
6/15/2010	8,173,000	City of Trenton, New Jersey \$6,923,000 School Bonds, Series A and \$1,250,000 School Bonds, Series B	C	SG
6/15/2010	2,353,000	County of Camden, New Jersey County College Bonds of 2010	C	SG
6/15/2010	2,065,000	City of Attleboro, Massachusetts General Obligation School Bonds	C	MEM
6/15/2010	1,350,000	Mount Pleasant Central School District, New York (Westchester County) General Obligation School District (Serial) Bonds, 2010	C	MEM
6/15/2010	1,247,383	Eastchester Union Free School District, New York (Westchester County) School District Serial Bonds-2010	C	MEM
6/14/2010	2,557,000	Town of Ayer, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
6/10/2010	151,450,000	The City of New York General Obligation Bonds, Fiscal 2010 Series H Tax-Exempt Bonds, Subseries H-2	N	SG
6/10/2010	7,600,000	Bedford Hills Fire District in the Town of Bedford, New York (Westchester County) Fire District Serial Bonds-2010	C	MEM
6/10/2010	4,287,079	Clifton-Fine Central School District, New York (St. Lawrence County) School District (Serial) Bonds, 2010	C	MEM
6/10/2010	2,085,034	Tupper Lake Central School District, New York (Franklin County) School District (Serial) Bonds, 2010	C	MEM
6/9/2010	9,390,000	Hunter-Tannersville Central School District, New York (Greene County) School District Serial Bonds, Series 2010A	C	MEM
6/8/2010	9,662,000	Township of Montclair, New Jersey (Essex County) School Bonds (School Bond Reserve Act, P.L. 1980, c. 72	C	MEM
6/8/2010	6,380,000	Heuvelton Central School District, New York (St. Lawrence County) General Obligation School District (Serial) Bonds, 2010	C	MEM
6/8/2010	3,014,816	Mayfield Central School District, New York (Fulton County) General Obligation School District (Serial) Bonds, 2010	C	MEM
6/8/2010	2,315,000	Chenango Valley Central School District, New York (Broome County) School District Serial Bonds - 2010	C	MEM
6/8/2010	1,936,000	Brockport Central School District, New York (Monroe County) General Obligation School District (Serial) Bonds, 2010	C	MEM
6/3/2010	53,453,000	County of Middlesex, New Jersey General Obligation Bonds, Series 2010A: \$41,000,000 General Improvement Bonds, \$6,100,000 County Voc-Tech School Bonds, \$4,000,000 County College Bonds and \$2,353,000 County College Bonds (County College Bond Act)	C	SG
6/3/2010	14,760,000	City of Manchester, New Hampshire General Obligation Public Improvement Bonds, Series 2010 A	C	MEM
6/3/2010	11,400,000	County of Rockland, New York General Obligation (Serial) Bonds-2010	C	SG
6/3/2010	7,405,000	City of Glen Cove, New York (Nassau County) Various Purposes Serial Bonds - 2010 Series A	C	MEM
6/3/2010	4,395,000	Monticello Central School District, New York (Sullivan County) School District (Serial) Bonds, 2010	C	MEM
6/3/2010	3,449,623	City School District of the City of Mechanicville, New York (Saratoga County) General Obligation School District (Serial) Bonds, 2010	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
6/3/2010	2,291,029	Richfield Springs Central School District, New York (Otsego County) General Obligation School District (Serial) Bonds, 2010	C	MEM
6/3/2010	2,225,000	City of Watertown, New York (Jefferson County) Public Improvement (Serial) Bonds, 2010	C	MEM
6/3/2010	1,500,000	Wynantskill Union Free School District, New York (Rensselaer County) School District (Serial) Bonds, 2010	C	MEM
6/2/2010	27,653,736	Southampton Union Free School District, New York School District Serial Bonds - 2010	C	SG
6/2/2010	12,801,000	Borough of Princeton, New Jersey (Mercer County) \$12,589,000 General Improvement Bonds and \$212,000 Sidewalk Assessment Bonds	C	MEM
6/2/2010	9,614,857	Palmyra-Macedon Central School District, New York (Ontario & Wayne Counties) School District (Serial) Bonds, 2010	C	MEM
6/2/2010	8,456,025	Cassadaga Valley Central School District, New York (Chautauqua County) General Obligation School District (Serial) Bonds, 2010	C	MEM
6/2/2010	2,200,000	Town of Stony Point, New York (Rockland County) Public Improvement (Serial) Bonds, Series 2010A	C	MEM
6/2/2010	1,005,000	Town of Stoneham, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
6/1/2010	5,625,000	Cleveland Hill Union Free School District, New York (Erie County) School District Serial Bonds, 2010	C	MEM
6/1/2010	4,625,000	Whitesville Central School District, New York (Allegany & Steuben Counties) School District (Serial) Bonds, 2010, Series A	C	MEM
6/1/2010	2,610,198	Solvay Union Free School District, New York (Onondaga County) School District (Serial) Bonds, 2010	C	MEM
6/1/2010	2,545,000	Whitesville Central School District, New York (Alegany & Steuben Counties) School District (Serial) Bonds, 2010, Series B	C	MEM
5/27/2010	2,020,000	Attica Central School District, New York (Wyoming County) School District (Serial) Bonds, Series 2010	C	MEM
5/27/2010	1,900,000	Village of Saltaire, New York (Suffolk County) Public Improvement Serial Bonds, Series 2010A	C	MEM
5/25/2010	29,240,000	School District of Upper Dublin, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
5/25/2010	21,806,000	City of New Brunswick, New Jersey \$13,981,000 General Improvement Bonds, Ser. 2010; \$3,271,000 Water Utility Bonds, Ser. 2010; & \$4,554,000 Sewer Utility Bonds, Ser. 2010	C	SG
5/25/2010	8,724,000	City of Utica, New York (Oneida County) Public Improvement (Serial) Bonds, Series 2010B	C	MEM

Trade Date	Size	Issue	Neg/ Comp	Role
5/25/2010	4,545,000	Westmoreland Central School District, New York (Oneida County) General Obligation School District (Serial) Bonds, 2010	C	MEM
5/25/2010	3,827,000	Cato-Meridian Central School District, New York (Cayuga County) General Obligation School District (Serial) Bonds, 2010	C	MEM
5/25/2010	1,730,000	City of Utica, New York (Oneida County) Taxable Pension Obligation (Serial) Bonds, Series 2010A	C	MEM
5/25/2010	1,305,000	Village of Upper Nyack, New York (Rockland County) Public Works Building Serial Bonds-2010	C	MEM
5/20/2010	380,250,000	New York City Transitional Finance Authority Future Tax Secured Bonds Fiscal 2010 Tax-Exempt Subordinate Bonds Series H, Series I-2	N	SG
5/20/2010	250,050,000	New York Local Government Assistance Corp (A Public Benefit Corporation of the State of New York) Series 2010A Refunding Bonds (Subordinate Lien)	C	MEM
5/20/2010	2,960,000	North Colonie Central School District, New York (Albany County) School District Refunding (Serial) Bonds-2010	C	MEM
5/20/2010	2,514,000	Borough of Pennington, New Jersey (Mercer County) \$1,148,000 General Improvement Bonds and \$1,366,000 Water/Sewer Utility Bonds	C	MEM
5/19/2010	592,100,000	New York City Transitional Finance Authority Future Tax Secured Bonds Fiscal 2010 Taxable Subordinate Bonds Subseries G-1 (Build America Bonds) Subseries G-3 (Qualified School Construction Bonds)	N	SG
5/19/2010	96,205,000	County of Suffolk, New York Public Improvement Serial Bonds - 2010 Series A	C	MEM
5/19/2010	7,524,000	Board of Education of the Borough of Park Ridge, New Jersey School Bonds, Series 2010	C	SG
5/18/2010	55,460,000	Virginia College Building Authority Educational Facilities Revenue Bonds, Series 2010A (21st Century College and Equipment Programs)	C	MEM
5/18/2010	1,876,000	Tuckahoe Union Free School District, New York (Westchester County) School District Serial Bonds - 2010	C	MEM
5/18/2010	1,389,000	Borough of Magnolia, New Jersey General Obligation Bonds, Series 2010	C	SG
5/14/2010	13,285,000	Township of Abington, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
5/14/2010	8,380,000	Eastern York School District, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
5/11/2010	110,000,000	State of Alabama General Obligation Capital Improvement Bonds	C	MEM
5/11/2010	80,000,000	Orange County Sanitation District, California Wastewater Revenue Obligations, Series 2010A (Federally Taxable Build America Bonds)	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
5/11/2010	9,044,000	Silver Lake Regional School District, Massachusetts General Obligation Bonds (Unlimited Tax)	C	SG
5/7/2010	8,070,000	Lower Moreland Township School District, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
5/6/2010	24,422,000	Township of Hopewell, New Jersey \$12,892,000 General Improvement Bonds; \$2,999,000 Open Space Bonds and \$8,531,000 Affordable Housing Bonds	C	MEM
5/5/2010	9,865,000	Borough of Middlesex, New Jersey General Improvement Bonds	C	SG
5/5/2010	9,195,000	City of Geneva, New York (Ontario County) Public Improvement (Serial) Bonds, 2010	C	MEM
5/5/2010	8,490,000	Township of Washington (Warren County), New Jersey General Bonds of 2010	C	MEM
5/5/2010	6,765,500	Village of Garden City, New York (Nassau County) Public Improvement Serial Bonds - 2010	C	MEM
5/4/2010	13,443,045	Schroon Lake Central School District, New York General Obligation School District (Serial) Bonds, 2010	C	SG
5/4/2010	8,310,000	Southern York County School District, Pennsylvania General Obligation Bonds, Series 2010	C	MEM
5/4/2010	5,620,000	Township of Middletown, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
5/4/2010	2,550,000	Southwestern Central School District, New York (Chautauqua County) School District Serial bonds, 2010A	C	MEM
5/4/2010	2,000,000	County of Chautauqua, New York Clean Renewable Energy Bonds, 2010 (Federally Taxable Series)	C	MEM
4/30/2010	383,420,000	County of Wake, North Carolina General Obligation Refunding Bonds, Series 2010C	C	MEM
4/29/2010	13,960,000	The Board of Education of the Township of East Brunswick, New Jersey School Bonds	C	SG
4/29/2010	3,736,000	Borough of Westwood, New Jersey (Bergen County) General Improvement Bonds, Series 2010	C	MEM
4/29/2010	2,605,000	City of Oneonta, New York (Ostsego County) Public Improvement (Serial) Bonds, 2010	C	MEM
4/27/2010	98,855,000	City of Fort Worth, Texas Water and Sewer System Revenue Refunding Bonds, Series 2010	C	MEM
4/27/2010	37,250,000	Pleasantville Union Free School District, New York School District (Serial) Bonds, 2010	C	SG
4/22/2010	10,780,000	Elmira Heights Central School District, New York (Chemung County) General Obligation School District	C	MEM
4/22/2010	1,437,000	Central Berkshire Regional School District, Massachusetts General Obligation State Qualified School Bonds	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
4/22/2010	1,268,768	Village of Angelica, New York (Allegany County) Public Improvement (Serial) Bonds, 2010 Series A	C	MEM
4/21/2010	6,500,000	Town of Killingly, Connecticut General Obligation Bonds, Issue of 2010	C	MEM
4/20/2010	54,575,000	County of Johnston, North Carolina General Obligation Refunding Bonds, Series 2010A	C	MEM
4/20/2010	53,810,000	New York City Educational Construction Fund Revenue Bonds, 2010 Series A (Federally Taxable - Build America Bonds)	C	MEM
4/20/2010	2,500,000	City of Elmira, New York (Chemung County) Public Improvement (Serial) Bonds, 2010	C	MEM
4/20/2010	2,039,906	Tully Central School District, New York (Onondaga & Cortland Counties) General Obligation School District (Serial) Bonds, 2010	C	MEM
4/19/2010	6,730,000	Township of Warwick, Pennsylvania General Obligation Bonds, Series of 2010	C	MEM
4/14/2010	6,360,000	Town of Easton, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
4/13/2010	79,365,000	Frederick County, Maryland General Obligation Refunding Bonds of 2010, Series C	C	MEM
4/13/2010	3,334,000	The Board of Education of the Township of Berlin, New Jersey School Bonds	C	SG
4/13/2010	1,980,000	The Board of Education of the Township of Berlin, New Jersey Refunding School Bonds	C	SG
4/8/2010	15,150,000	State Public School Building Authority, Pennsylvania College Revenue Bonds (Harrisburg Area Community College Project) Series of 2010	C	SG
4/8/2010	9,040,000	Mahopac Central School District, New York (Putnam County) School District Refunding Serial Bonds, Series 2010A	C	MEM
4/8/2010	6,151,500	City School District of the City of Little Falls, New York (Herkimer County) School District (Serial) Bonds, 2010	C	MEM
4/6/2010	11,925,000	City of Bethlehem, Pennsylvania General Obligation Bonds, Series of 2010	C	MEM
4/6/2010	7,050,000	Fayetteville-Manlius Central School District, New York (Onodaga & Madison Counties) General Obligation School District (Serial) Bonds, 2010	C	MEM
4/6/2010	4,348,000	Borough of Swedesboro, New Jersey General Obligation Bonds Consisting of: \$2,109,000 General Improvement and \$2,239,000 Water and Sewer Utility Bonds	C	SG
4/6/2010	3,470,000	Town of Amsterdam, New York (Montgomery County) General Obligation Public Improvement (Serial) Bonds, 2010	C	MEM
4/1/2010	2,950,000	Monticello Joint Fire District in the Town of Thompson, New York (Sullivan County) Fire District (Serial) Bonds, 2010	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
4/1/2010	2,035,000	Cotuit Fire District, Massachusetts General Obligation Bonds	C	MEM
4/1/2010	1,785,000	Village of Great Neck Plaza, New York (Nassau County) Refunding Serial Bonds - 2010	C	MEM
3/31/2010	487,700,000	State of North Carolina General Obligation Public Improvement Bonds, Series 2010A	C	MEM
3/31/2010	116,840,000	Port Authority of New York and New Jersey Consolidated Bonds, One Hundred Sixty-Second Series	C	MEM
3/31/2010	47,165,843	Maine-Endwell Central School District, New York School District (Serial) Bonds, 2010	C	MEM
3/31/2010	6,125,000	City of White Plains, New York (Westchester County) Public Improvement (Refunding) Serial Bonds, 2010	C	MEM
3/30/2010	23,135,000	Schuylerville Central School District, New York (Saratoga County) General Obligation School District	C	MEM
3/30/2010	15,280,000	City of Biddeford, Maine General Obligation Bonds	C	SG
3/30/2010	14,500,000	Eldred Central School District, New York General Obligation School District (Serial) Bonds, 2010	C	SG
3/30/2010	1,631,000	Harpursville Central School District, New York (Broome & Chenango Counties) General Obligation School District Serial Bonds, Series 2010	C	MEM
3/30/2010	1,195,000	Village of Clayton, New York (Jefferson County) Public Improvement Serial Bonds, 2010	C	MEM
3/24/2010	22,746,000	County of Passaic, New Jersey Various General Obligation Bonds, Series 2010 \$19,671,000 General Improvement Bonds, \$945,000 Co.Voc. Sch. Bds and \$2,130,000 Co. College Bonds	C	SG
3/24/2010	2,755,000	Town of Bedford, Massachusetts General Obligation Refunding Bonds	C	MEM
3/23/2010	5,900,000	Village of Southampton, New York (Suffolk County) Firehouse Serial Bonds-2010	C	MEM
3/23/2010	5,353,140	East Rochester Union Free School District, New York (Monroe County) School District (Serial) Bonds, 2010	C	MEM
3/23/2010	3,815,000	Village of Mount Kisco, New York (Westchester County) Refunding Serial Bonds - 2010	C	MEM
3/23/2010	3,756,000	Village of Port Chester, New York (Westchester County) Public Improvement Serial Bonds, Series 2010A	C	MEM
3/23/2010	2,875,000	Town of Ipswich, Massachusetts General Obligation Municipal Purpose Loan of 2010 Bonds	C	MEM
3/18/2010	3,181,830	Kendall Central School District, New York (Orleans County) School District (Serial) Bonds, 2010	C	MEM
3/17/2010	143,525,000	State of Wisconsin General Obligation Bonds of 2010, Series A	C	MEM

PARISH OF JEFFERSON, LOUISIANA

EXHIBIT A

Trade Date	Size	Issue	Neg/ Comp	Role
3/17/2010	7,865,000	Township of Manchester, Pennsylvania General Obligation Bonds, Series of 2010	C	SG
3/17/2010	3,123,000	Village of East Aurora, New York (Erie County) Public Improvement Serial Bonds, 2010 Series B	C	MEM
3/17/2010	2,400,000	Board of Education of the Township of Brick, New Jersey School Bonds, Series 2010	C	SG
3/17/2010	2,052,252	Wheelerville Union Free School District, New York (Fulton County) General Obligation School District (Serial) Bonds, 2010	C	MEM
3/16/2010	8,395,000	Pennridge School District, Pennsylvania General Obligation Bonds, Series of 2010	C	MEM
3/16/2010	7,510,598	Hoosick Falls Central School District, New York (Rensselaer & Washington Counties) School District (Serial) Bonds, 2010	C	MEM
3/11/2010	3,753,508	Dryden Central School District, NY (Tompkins County) GO School District Serial Bonds, 2010	C	MEM
3/10/2010	56,750,000	The Board of Education of the County of Putnam, West Virginia Public School Bonds, Series 2010	C	SG
3/10/2010	5,523,611	York Central School District, NY (Livingston County) GO School District Serial Bonds, 2010	C	MEM
3/10/2010	1,300,000	Town of North Dansville, New York (Livingston County) General Obligation Public Improvement (Serial)	C	MEM
311,415,627,191				