

LOUISIANA BIDDING DOCUMENT NO. 1001

TO: Jefferson Parish
Attn: Purchasing Department
200 Derbigny Street, Suite 4400
Gretna, Louisiana 70053

BID FOR: Emergency Generator – East Bank Wastewater
Treatment Plant, Jefferson Parish, Louisiana.
CDBG Proj. No. 26PARA2303, SCIP Proj. No.
D5116, Bid Proposal No. 50-00112685

The undersigned bidder hereby declares and represents that she/he; a) has carefully examined and understands the Bidding Documents, b) has not received, relied on, or based his bid on any verbal instructions contrary to the Bidding Documents or any addenda, c) has personally inspected and is familiar with the project site, and hereby proposes to provide all labor, materials, tools, appliances and facilities as required to perform, in a workmanlike manner, all work and services for the construction and completion of the referenced project, all in strict accordance with the Bidding Documents prepared by:

URS CORPORATION and dated: DECEMBER 12, 2014

Bidders must acknowledge all addenda. The Bidder acknowledges receipt of the following **ADDENDA**: (Enter the number the Designer has assigned to each of the addenda that the Bidder is acknowledging) #1, #2, #3

TOTAL BASE BID: For all work required by the Bidding Documents (including any and all unit prices designated "Base Bid" * but not alternates) the sum of:

TWO MILLION FOUR HUNDRED EIGHTY ONE THOUSAND & 1/100 Dollars (\$ 2,481,000⁰⁰)

ALTERNATES: For any and all work required by the Bidding Documents for Alternates including any and all unit prices designated as alternates in the unit price description.

Alternate No. 1 – Metal Shed over Transfer Switch, Ref. No. 0015, to be ADDED for the lump sum of:

THIRTY FIVE THOUSAND & 00/100 Dollars (\$ 35,000⁰⁰)

Alternate No. 2 (Not Applicable) for the lump sum of: Dollars (\$ _____)

Alternate No. 3 (Not Applicable) for the lump sum of: Dollars (\$ _____)

NAME OF BIDDER: Frischhertz Electric Co., Inc.

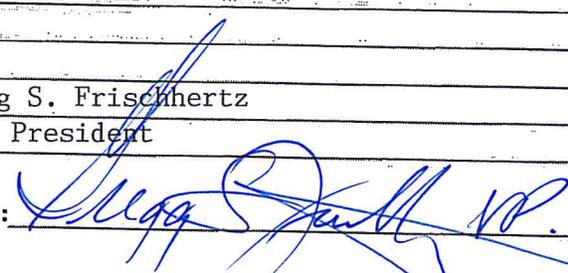
ADDRESS OF BIDDER: 4240 Toulouse St.

New Orleans, La. 70119

LOUISIANA CONTRACTOR'S LICENSE NUMBER: 1185

NAME OF AUTHORIZED SIGNATORY OF BIDDER: Gregg S. Frischhertz

TITLE OF AUTHORIZED SIGNATORY OF BIDDER: Vice President

SIGNATURE OF AUTHORIZED SIGNATORY OF BIDDER **: 

DATE: _____

* The Unit Price Form shall be used if the contract includes unit prices. Otherwise it is not required and need not be included with the form. The number of unit prices that may be included is not limited and additional sheets may be included if needed.

** If someone other than a corporate officer signs for the Bidder/Contractor, a copy of a corporate resolution or other signature authorization shall be required for submission of bid. Failure to include a copy of the appropriate signature authorization, if required, may result in the rejection of the bid unless bidder has complied with La. R.S. 38:2212(B)5.

BID SECURITY in the form of a bid bond, certified check or cashier's check as prescribed by LA RS 38:2218.A is attached to and made a part of this bid.

This Bid Form has been revised per Addendum No. 3.

LOUISIANA UNIFORM PUBLIC WORK BID FORM
UNIT PRICE FORM

TO: Jefferson Parish
Attn: Purchasing Department
200 Derbigny Street, Suite 4400
Gretna, Louisiana 70053

**BID FOR: Emergency Generator – East Bank Wastewater
Treatment Plant, Jefferson Parish, Louisiana.**
CDBG Proj. No. 26PARA2303, SCIP Proj. No.
D5116, Bid Proposal No. 50-00112685

UNIT PRICES: This form shall be used for any and all work required by the Bidding Documents and described as unit prices. Amounts shall be stated in figures and only in figures.

DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Mobilization and Demobilization				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0001	1	Lump Sum	\$155,260.00	\$155,260.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Clearing and Grubbing				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0002	1	Lump Sum	\$28,000.00	\$28,000.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Timber Piles				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0003	2,520	Linear Feet	\$10.80	\$27,216.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Reinforced Concrete				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0004	215	Cubic Yards	\$485.00	\$104,275.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Diesel Generator w/ Enclosure, Radiator, and 4-Day Fuel Tank				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0005	1	Each	\$1,629,300.00	\$1,629,300.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Piping				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0006	1	Lump Sum	\$89,360.00	\$89,360.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Transfer Switch w/ Enclosure				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0007	1	Each	\$150,000.00	\$150,000.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Soft Starter				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0008	1	Each	\$88,000.00	\$88,000.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Ductbank				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0009	1	Lump Sum	\$33,000.00	\$33,000.00
DESCRIPTION: <input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. # Conduit and Wiring				
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION (Quantity times Unit Price)
0010	1	Lump Sum	\$144,000.00	\$144,000.00

Notes:

All quantities are estimated. The contractor will be paid upon actual quantities as verified by Owner.

This Bid Form has been revised per Addendum No. 3.

DESCRIPTION:	<input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. #	Painting		
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION <i>(Quantity times Unit Price)</i>
0011	1	Lump Sum	\$1,200.00	\$1,200.00

DESCRIPTION:	<input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. #	Gravel and Grading		
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION <i>(Quantity times Unit Price)</i>
0012	75	Cubic Yards	\$115.00	\$8,625.00

DESCRIPTION:	<input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. #	Seeding and Sodding		
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION <i>(Quantity times Unit Price)</i>
0013	1	Lump Sum	\$764.00	764.00

DESCRIPTION:	<input checked="" type="checkbox"/> Base Bid or <input type="checkbox"/> Alt. #	Contractor's Engineering		
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION <i>(Quantity times Unit Price)</i>
0014	1	Lump Sum	\$22,000.00	\$22,000.00

DESCRIPTION:	<input type="checkbox"/> Base Bid or <input checked="" type="checkbox"/> Alt. #	1 Metal Shed for Transfer Switch		
REF. NO.	QUANTITY:	UNIT OF MEASURE	UNIT PRICE:	UNIT PRICE EXTENSION <i>(Quantity times Unit Price)</i>
0015	1	Lump Sum	35,000.00	35,000.00

Notes:

All quantities are estimated. The contractor will be paid upon actual quantities as verified by Owner.

This Bid Form has been revised per Addendum No. 3.



CORPORATE RESOLUTION OF
FRISCHHERTZ ELECTRIC CO., INC.

RESOLVED, that Gregg Frischhertz, Vice President of **Frischhertz Electric Co., Inc.** ("the Corporation"), pursuant to resolution adopted at the Board of Directors' meeting on January 6, 2011 be and is hereby authorized, empowered and directed for a period of six (6) months from the date of this Resolution to act for and on behalf of the Corporation in signing and executing any and all Quotations, Estimates, Bids and Agreements related thereto which he/she in his/her sole discretion deems to be necessary and proper in the conducting of the business of the Corporation.

CERTIFICATE

I, the undersigned Secretary of Frischhertz Electric Co., Inc. do certify that the above and foregoing resolution was unanimously adopted at a meeting of the Board of Directors of said Corporation held on January 6, 2011, and that the same is in full force and effect.



Amanda F. Romig, Secretary



Date

BID BOND

KNOW ALL MEN BY THESE PRESENTS that we, the undersigned,

Frischhertz Electric Co., Inc. as PRINCIPAL and

Hartford Fire Insurance Company

as SURETY, are held and firmly bound unto the Parish of Jefferson, hereinafter called the "OWNER", in the penal sum of:

Five Percent (5%) of the Amount Bid

DOLLARS (\$ 5%) lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the Principal has submitted the accompanying Bid dated April 30, 2015, for

EMERGENCY GENERATOR
EAST BANK WASTE WATER TREATMENT PLANT
CDBG PROJECT NO. 26PARA2303
SCIP PROJECT NO. D5116

NOW, THEREFORE, if the Principal shall not withdraw said Bid within the period specified therein after the opening of the same or, if no period be specified, within forty-five (45) days after the said opening, and shall within the period specified therefor or, if no period be specified, within twelve (12) days after the prescribed forms are presented to him for signature, enter into a written Contract with the Parish in accordance with the Bid as accepted, and give bond with good and sufficient surety or sureties, as may be required, for the faithful performance and proper fulfillment of such Contract; or in the event of the withdrawal of said Bid within the period specified, or the failure to enter into such Contract and give such bond within the time specified, if the Principal shall pay the Parish the difference between the amount specified in said Bid and the amount for which the Parish may procure the required work or supplies, or both, if the latter be in excess of the former, then the above obligation shall be void and of no effect, otherwise, to remain in full force and virtue.

IN WITNESS WHEREOF, the above bounded parties have executed this instrument under their several seals this 30th day of April, 2015, the name and corporate seal of each corporate party being hereto affixed and these presents signed by its undersigned representative, pursuant to authority of its governing body.

BID BOND (CONTINUED)

In presence of:

(Individual Principal)

(Business Address, including Zip Code)

(Partnership)

(SEAL)

(Business Address, including Zip Code)

ATTEST:


BY: 

Frischhertz Electric Co., Inc.
(Corporate Principal)

4240 Toulouse St., New Orleans, LA 70119
(Business Address, including Zip Code)

ATTEST:

(See Power of Attorney) _____

BY: 

AFFIX CORPORATE SEAL

One Hartford Plaza, Hartford, CT 06155-0001
(Business Address, including Zip Code)

BY: 

AFFIX CORPORATE SEAL
Pamela K. Tucker, Attorney-in-Fact

Countersigned:
BY: 

Pamela K. Tucker, Attorney-in-Fact*

State of Louisiana _____



- Hartford Fire Insurance Company
- Hartford Casualty Insurance Company
- Hartford Accident and Indemnity Company
- Hartford Underwriters Insurance Company

- Twin City Fire Insurance Company
- Hartford Insurance Company of Illinois
- Hartford Insurance Company of the Midwest
- Hartford Insurance Company of the Southeast

KNOW ALL PERSONS BY THESE PRESENTS THAT the *Hartford Fire Insurance Company, Hartford Accident and Indemnity Company and Hartford Underwriters Insurance Company*, corporations duly organized under the laws of the State of Connecticut; *Hartford Insurance Company of Illinois*, a corporation duly organized under the laws of the State of Illinois; *Hartford Casualty Insurance Company, Twin City Fire Insurance Company and Hartford Insurance Company of the Midwest*, corporations duly organized under the laws of the State of Indiana; and *Hartford Insurance Company of the Southeast*, a corporation duly organized under the laws of the State of Florida; having their home office in Hartford, Connecticut, (hereinafter collectively referred to as the "Companies") do hereby make, constitute and appoint, **up to the amount of unlimited:**

Stephen L. Cory, Pamela K. Tucker, Melanie Stern of Metairie, LA;

Robert L. Larowe, Bert Guiberteau, Jr. of Baton Rouge, LA

their true and lawful Attorney(s)-in-Fact, each in their separate capacity if more than one is named above, to sign its name as surety(ies) only as delineated above by , and to execute, seal and acknowledge any and all bonds, undertakings, contracts and other written instruments in the nature thereof, on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

In Witness Whereof, and as authorized by a Resolution of the Board of Directors of the Companies on September 12th, 2000, the Companies have caused these presents to be signed by its Assistant Vice President and its corporate seals to be hereto affixed, duly attested by its Assistant Secretary. Further, pursuant to Resolution of the Board of Directors of the Companies, the Companies hereby unambiguously affirm that they are and will be bound by any mechanically applied signatures applied to this Power of Attorney.



Paul A. Bergenholtz

Paul A. Bergenholtz, Assistant Secretary

John P. Hyland

John P. Hyland, Assistant Vice President

STATE OF CONNECTICUT }
COUNTY OF HARTFORD } ss. Hartford

On this 19th day of September, 2000, before me personally came John P. Hyland, to me known, who being by me duly sworn, did depose and say: that he resides in the County of Hartford, State of Connecticut; that he is the Assistant Vice President of the Companies, the corporations described in and which executed the above instrument; that he knows the seals of the said corporations; that the seals affixed to the said instrument are such corporate seals; that they were so affixed by authority of the Boards of Directors of said corporations and that he signed his name thereto by like authority.



Jean H. Wozniak

Jean H. Wozniak
Notary Public
My Commission Expires June 30, 2004

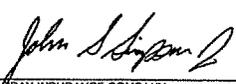
I, the undersigned, Assistant Vice President of the Companies, DO HEREBY CERTIFY that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is still in full force effective as of April 30, 2015

Signed and sealed at the City of Hartford.



Colleen Mastroianni

Colleen Mastroianni, Assistant Vice President

CERTIFICATE OF LIABILITY INSURANCE		DATE (MM/DD/YY) 1/29/2015				
PRODUCER Cory, Tucker & Larowe, Inc. P.O. Box 6646 Metairie, LA 70009-6646		THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.				
		COMPANIES AFFORDING COVERAGE				
		COMPANY A THE GRAY INSURANCE COMPANY				
		COMPANY B				
		COMPANY C				
		COMPANY D				
COVERAGES						
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.						
CO LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS	
A	<input checked="" type="checkbox"/> GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> OWNER'S & CONTRACTOR'S PROT	XSSL-074049	2/1/2013	2/1/2016	GENERAL AGGREGATE	Unlimited
					PRODUCTS - COMP/OP AGG	\$3,000,000.00
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS	XSAL-075045	2/1/2013	2/1/2016	PERSONAL & ADV INJURY	\$1,000,000.00
					EACH OCCURRENCE	\$1,000,000.00
					FIRE DAMAGE (Any one fire)	\$50,000.00
					MED EXP (Any one person)	\$5,000.00
					COMBINED SINGLE LIMIT	\$1,000,000.00
					BODILY INJURY (Per person)	
					BODILY INJURY (Per accident)	
					PROPERTY DAMAGE	
	<input type="checkbox"/> GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT	
					OTHER THAN AUTO ONLY	
					EACH ACCIDENT	
					AGGREGATE	
A	<input type="checkbox"/> EXCESS LIABILITY <input checked="" type="checkbox"/> UMBRELLA FORM <input type="checkbox"/> OTHER THAN UMBRELLA FORM	GXS-043013	2/1/2015	2/1/2016	EACH OCCURRENCE	\$4,000,000.00
					AGGREGATE	\$4,000,000.00
A	WORKER'S COMPENSATION AND EMPLOYERS' LIABILITY THE PROPRIETOR/ PARTNERS/EXECUTIVE OFFICERS ARE: <input checked="" type="checkbox"/> INCL <input type="checkbox"/> EXCL	XSWC-070766	2/1/2013	2/1/2016	<input checked="" type="checkbox"/> WC STATUTORY LIMITS <input type="checkbox"/> OTHER	
					EL EACH ACCIDENT	\$1,000,000.00
					EL DISEASE - POLICY LIMIT	\$1,000,000.00
					EL DISEASE - EA EMPLOYEE	\$1,000,000.00
	OTHER					
DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/SPECIAL ITEMS The certificate holder is an additional insured on all policies except Workers' Compensation and is provided a Waiver of Subrogation, all if required by written contract. The above insurance policies shall be primary and noncontributory to any other insurance policies maintained by the certificate holder, if required by written contract.						
CERTIFICATE HOLDER				CANCELLATION		
Jefferson Parish Public Works Gretna Courthouse Annex Building, 5th Floor Gretna, LA 70054				In the event of cancellation by The Gray Insurance Company and if required by written contract, 30 days written notice will be given to the Certificate Holder.		
				AUTHORIZED REPRESENTATIVE 		
GCF 00 50 01 01 12				THE GRAY INSURANCE COMPANY		



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Related Links:

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Licensing Board's Online Database

Search Results - Contractor Detail

Business Name: FRISCHHERTZ ELECTRIC CO., INC. ✓
Mailing Address: Attn: Jennifer Bouchon
 4240 Toulouse St.
 New Orleans, LA 70119
Phone Number: (504) 482-1146
Fax Number: (-)
Email Address: jbouchon@frischhertz.com
Website:

Active Licenses

<u>Lic#</u>	<u>Type</u>	<u>Status</u>	<u>Effective</u>	<u>Expiration</u>	<u>First Issued</u>
✓ 1185	Commercial License Certificate	LICENSED	02/06/2015	02/05/2018	02/05/1957
80844	Residential License Certificate	LICENSED	05/18/2015	05/17/2018	05/17/1996

Classifications:

<u>Class</u>	<u>Qual Party</u>	<u>Valid Parishes</u>
RESIDENTIAL BUILDING CONTRACTOR	Stephen T. Frischhertz	ALL
BUILDING CONSTRUCTION	Gregg S. Frischhertz	ALL
BUILDING CONSTRUCTION	James J. Frischhertz	ALL
BUILDING CONSTRUCTION	Kevin J. Frischhertz	ALL
BUILDING CONSTRUCTION	Stephen T. Frischhertz	ALL
SPECIALTY: SOLAR ENERGY EQUIPMENT	Jonathan Stephen Frischhertz	ALL
✓ ELECTRICAL WORK (STATEWIDE)	Gregg S. Frischhertz	ALL
ELECTRICAL WORK (STATEWIDE)	James J. Frischhertz	ALL
ELECTRICAL WORK (STATEWIDE)	Kevin J. Frischhertz	ALL
ELECTRICAL WORK (STATEWIDE)	Stephen T. Frischhertz	ALL
ELECTRICAL WORK (STATEWIDE)	Jonathan Stephen Frischhertz	ALL
ELECTRICAL WORK (STATEWIDE)	Ryan Stephen Frischhertz	ALL

[Start New Contractor Search](#)

Louisiana State Licensing Board For Contractors
 2525 Quail Drive ~ Baton Rouge, LA 70808
 Phone: (225) 765-2301 ~ Fax: (225) 765-2431
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Site design & maintenance by Keith A Horton, LLC

26632

Form **W-9**
(Rev. January 2003)
Department of the Treasury
Internal Revenue Service

Request for Taxpayer Identification Number and Certification

Give form to the requester. Do not send to the IRS.

Print or type on page 2.
See Specific Instructions on page 2.

Name _____

Business name, if different from above
Frischhertz Electric Co., Inc.

Check appropriate box: Individual/Sole proprietor Corporation Partnership Other ▶ _____ Exempt from backup withholding

Address (number, street, and apt. or suite no.)
4240 Toulouse Street

City, state, and ZIP code
New Orleans, LA 70119

List account number(s) here (optional) _____

Requester's name and address (optional) _____

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 3.

Note: If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number
| | | | | | | | | |

or

Employer identification number
7 2 0 4 3 9 1 0 0

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 4)

Sign Here Signature of U.S. person ▶ *[Signature]* Date ▶ **8-4-10**

Purpose of Form

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

U.S. person. Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee.

Note: If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Foreign person. If you are a foreign person, use the appropriate Form W-8 (see Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the recipient has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement that specifies the following five items:

- The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
- The treaty article addressing the income.
- The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
- The type and amount of income that qualifies for the exemption from tax.
- Sufficient facts to justify the exemption from tax under the terms of the treaty article.