



**PROPOSAL**  
**In Response To RFQ 2021.1**  
**Hurricane Ida Disaster Recover Damage**  
**Assessment and A/E Services**

# St. John Parish Sheriff's Office

MICHAEL TREGRE – SHERIFF



## ST JOHN THE BAPTIST PARISH SHERIFF'S OFFICE

### EMERGENCY REQUEST FOR QUALIFICATIONS

**Hurricane Ida Disaster Recovery Damage Assessment and A/E Services**  
**RFQ 2021.1**

**September 27, 2021**



**HAMMERMAN & GAINER, LLC**

2400 Veterans Memorial Blvd., Suite 510  
Kenner, LA 70062

Telephone: 504.930.4857  
Facsimile: 504.930.4857

September 27, 2021

Deputy Sheriff Jeffrey Clement  
Chief Civil Deputy  
1801 W. Airline Hwy.  
LaPlace LA 70068

RE: Emergency RFQ - Hurricane Ida Disaster Recovery Assessment and A/E Services

Dear Deputy Clement:

Hammerman & Gainer, LLC (HGI) is a Minority-Owned, Disadvantaged Business Enterprise headquartered in Louisiana. We are pleased to submit the attached proposal of qualifications in response to the Parish of St. John the Baptist Sheriff's Emergency RFQ for Hurricane Ida Disaster Recovery Assessment and A/E Services. As the prime contractor, HGI submits this proposal on behalf of itself and CMTS, LLC (CMTS). For purposes of this proposal, HGI and the aforementioned companies are referred to collectively as Team HGI.

Team HGI has been involved in flood relief and other disaster recovery efforts throughout the country with specific focus in California, Colorado, Louisiana, New York, Texas, and US Virgin Islands. HGI has provided turn-key housing recovery programs in a variety of roles including serving as the prime contractor on the Road Home Program and most recently as a Program Manager for the Restore Louisiana Program. Additionally, our teaming partner AECOM managed the recent Shelter At Home Program.

As a minority firm, we have relationships in place with Louisiana-based organizations that will support community employment objectives and are committed to providing additional economic opportunities for low income, Section 3 businesses and residents as well as disadvantaged businesses such as DBE, MBE, WBE, Hudson Initiative, and 8(a) firms.

Our goal is to deliver an efficient and effective recovery for St. John the Baptist's citizens impacted by Hurricane Ida that will assist the Sheriff in returning all services to the Parish. Our team's experience offers the parish the proven understanding and the ability to successfully and immediately serve the disaster victims across the State.

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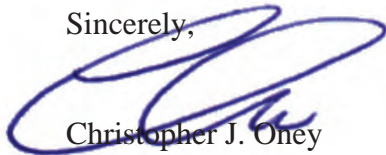
**Corporate Headquarters**  
**2400 Veterans Memorial Blvd., Suite 510**  
**Kenner, LA 70062**  
HGI Locations  
Louisiana – Alexandria, New Orleans, Shreveport

In addition to the information contained within our proposal:

- Hammerman & Gainer, LLC submits that this proposal is valid for a period of at least 180 days from the date of submission
- Hammerman & Gainer, LLC submits that it neither provides services or pays commissions to any employee or elected official of St. John the Baptist Parish.
- Team HGI has meets all minimum personnel requirements of this RFP.
- Team HGI has not had a record of substandard work wiithin the past five (5) years
- Team HGI has not engaged in any unethical practices within the past five (5) years
- Team HGI has, in force, insurance coverage that meets the requirements of the RFQ. If needed we have the ability and make the commitment to obtain additional required insurance coverages.
- Team HGI acknowledges the receipt of all addenda of the RFQ
- Team HGI fully understands the Scope of Services as outlined in the RFQ
- Team HGI has the financial capacity to execute all requirements of the Scope of Work

Our enclosed response details Team HGI's qualifications germane to the Scope of Work for this RFP. We thank you for your gracious consideration of our credentials. As President and CEO, I have signature authority to bind our team to this contract, as proven by the attached Board Resolution. Please contact me at 504.982.5030 or christophero@hgi-global.com should you have any questions regarding this response.

Sincerely,

A handwritten signature in blue ink, appearing to read 'C. Oney', is written over the printed name.

Christopher J. Oney  
President/CEO  
Company Manager



# Meet the Chairman

## Larry D. Oney

Mr. Larry D. Oney is a proud son of South Louisiana, who has over 40 years of professional experience. In 1999, Mr. Oney became the majority owner, President, and CEO of Hammerman & Gainer International, Inc. (H&G); he now serves as the owner and Chairman of the firm. Hammerman & Gainer, LLC is a wholly owned subsidiary of H&G. Mr. Oney, an ordained Catholic Deacon for the Archdiocese of New Orleans, is a member of Legatus, an international organization of Catholic business leaders and their spouses, and is on the Board of directors of Renewal Ministries, an international ministry that operates in 26 countries. Mr. Oney is founder and President of Hope and Purpose Ministries, a ministry involved in urban evangelization in New Orleans and other cities throughout the US and parts of Africa.

For more than 90 years H&G has been an established industry leader. Today, H&G is known as the largest minority owned Third Party Administrator (TPA) in the United States and a leader in the industry, serving a broad array of public and private sector clients including state and local government. We continue to put our clients' needs first and treat every client with the same level

of superior service. H&G's continued success is the direct result of matching our resources with our clients' needs to mitigate their exposure to risk and preserve their assets.

We are at the forefront of the long-term recovery process in Louisiana and have gained valuable experience in post catastrophe work response, relief and reconstruction. During the past 16+ years, we have served a diverse population and have a proven track record of delivering results in an ever-changing environment. Our success in managing a myriad of sub-contractors, effectively and efficiently marshaling resources and then appropriately triaging each crisis, makes H&G the expert when catastrophes occur.

*"We are committed to helping our community fully recover from disasters and crises in all ways. Disasters and crises impact the people in our community through our homes, businesses, religious centers, schools, and cultural centers. We understand the importance of recovery. We do not just want to get back to the way we were; rather we look to build stronger and healthier communities for the future."*

— Larry D. Oney,  
Owner & Chairman





## EXECUTIVE SUMMARY

Hammerman & Gainer, LLC has assembled a strong team (Team HGI) with extensive experience managing large scale HUD and FEMA disaster recovery programs, including turnkey CDBG Housing Programs and Disaster Recovery Damages Assessment and A/E Services. HGI is a Louisiana based minority owned disadvantaged business. Team HGI is qualified and experienced with the capability to deliver the Hurricane Ida Disaster Recovery Damage Assessment and A/E Services to the home parish of our President and Company Manager.

Team HGI is *the* qualified contractor ready to immediately execute the Hurricane Ida Disaster Recovery Damage Assessment and A/E Services requirements. Our approach unites best practices for efficient and

equitable services. With a Louisiana based management staff team, HGI will deploy the program rapidly and will deliver results.

Team HGI understands that a program of this magnitude has tremendous implications on the future of the parish. It is through the work that we have done for similar programs in response to major disasters that we know how to manage operations of the size and scale effectively and efficiently, resulting in maximized gains to the parish.

In all we have served more than 500,000 homeowners and business owners in Louisiana alone. Our team is comprised of Louisiana based staff from the Prime Contractor to the engineers, architects and inspectors. We understand that funding to the parish is critical and therefore are we committed to investing funds back into our community through the employment of local subcontractors, small businesses, minority firms, and Section 3 firms whenever possible. Our Team's presence in Louisiana extends far beyond this hurricane event. We have been doing business in Louisiana for more than 60 years and we believe that a successful program should be managed by committed staff at all levels of the program team.



# HAMMERMAN & GAINER

## A Commitment to Community Service

Hammerman & Gainer, LLC (HGI Global) is the nation's premier provider of integrated third-party administration, claims management, disaster recovery and program management services to both the public (governmental) and private (corporate) sectors.

We have been business for 93 years, and we are recognized as the oldest and largest minority-owned firm of our kind in the United States.

While proud of our long history, we nevertheless are always looking ahead, with our sights set squarely on the future. And while we are focused on fiscal responsibility, we are even more so driven by our commitment to personal customer care, and to hands-on community service.

### Nearly A Century of Service:

Reflecting our servant leadership model, HGI strives daily to find ways to assist our fellow citizens.

Our primary goal is to enhance the quality of life for everyone we are privileged to serve, regardless of race, creed or ethnicity, and to do so in every community in which we conduct business.

Having been entrusted over the years to equitably steward billions of dollars in corporate capital and public funds, HGI Global treats every applicant as if they were family.

Firmly believing that every claimant is worthy of dignity and respect, HGI Global strives to show empathy and compassion in the most trying of times – particularly after emergencies, natural disasters, and periods of economic hardship.

### We Get Things Done:

At HGI Global, we identify problems, develop a plan, and provide solutions.

We are committed to providing business outsourcing services to both corporate customers and public sector clients, the latter of which have included federal, state, county and local agencies. We are in the business of creating, planning, staffing, building-out, implementing, auditing and reporting back on custom-designed workflow projects such as the following:

- **Contact Tracing** – Following the Covid-19 pandemic, state agencies relied on the expertise of HGI to implement statewide contact tracing procedures to better manage this public health crisis.
- **Unemployment Claims** – The Covid related spike in job losses prompted state agencies to reach out to HGI to assist in processing, investigating, confirming and adjudicating a backlog of more than 15,250 insurance claims, the most dramatic surge in unemployment surge in recent history.
- **Unemployment Appeals** – Reflecting its performance on unemployment claims, HGI was once again tapped for the appeals process, and immediately went about recruiting and seating nearly 50 administrative law judges and had them hearing cases and issuing settlements within a week.
- **BP Oil Spill** – Following the Deepwater Horizon disaster, the largest environmental event in U.S. history, HGI was selected by Presidential appointee Kenneth Feiberg to help manage the more than one million separate claims filed by individuals and businesses all along the Gulf Coast.
- **Texas Recovery** – For over 20 years, HGI has helped the Texas Property & Casualty Insurance Guaranty Association (TPCIGA) to provide timely and equitable claims processing services to policyholders of insurance companies which have become insolvent and gone into receivership.
- **Nationwide Benefits** – For more five years, HGI provided a staff of 50 professionals to manage trust and claims services for the Pension Benefits Guaranty Corporation (PBGIC) in Atlanta, GA, which protects the retirement benefits of more than 34 million workers and retirees nationwide.
- **Mediation Services** – HGI has provided law administrative and mediation services to various clients. We have coordinated the mediation of over 20,000 cases involving property damages and personal injury on behalf of insurance companies and guaranty associations, including AIG Insurance.
- **Municipalities / Local Authorities / School Boards** – HGI serves as a trustee and administrator for multiple public entities, including municipal governments, regional transportation and water authorities, and local and county (parish) school systems all across the Gulf South region.
- **Road Home** – Following Hurricanes Katrina and Rita, the worst back-to-back natural disasters in history, the State of Louisiana turned to HGI for stewardship of its largest housing recovery effort, through which more than \$9 billion in federal funds was allocated to those citizens most adversely impacted by the storms, with not a single dollar accidentally misappropriated nor unaccounted for.
- **Restore Louisiana** – Subsequently, after the worst flooding in more than a century, the State once again reached out to HGI to provide assistance to thousands of homeowners and businessowners across the state; efficiently processing all requests; systematically qualifying all applicants; equitably disbursing all grant funds; and proficiently completing final status verifications of all files.



**Larry D. Oney**  
*Chairman of the Board*

**Christopher J. Oney**  
*President & CEO*

**Eric M. Wingerter**  
*Chief Financial Officer*

**Scott J. Spivey**  
*Chief Legal Officer*

**Candy LeBlanc**  
*Chief Administrative Officer*

**Wayne J. Encalade, Jr.**  
*Chief Strategy Officer*

**Louis J. Resweber**  
*Chief Marketing Officer*

**Vanessa R. James**  
*Sr Vice President - Claims*

**Max L. Shaneyfelt**  
*Program Manager*

**Michael Gaskins**  
*VP - Development & Programs*

**Mark D. Suchocki**  
*VP - Midwest Division*

**Marcia J. Encalade**  
*Mgr - Human Resources*

**Tracie Huguet**  
*Corporate Accounting*

**Mary Jean Jones**  
*Project Mgr - Unemployment*

**Alicia Berry**  
*Project Mgr - Contact Tracing*

**Royce S. Callaway**  
*Program Manager*

**Joseph D. Lewis**  
*Workforce Dvlpmnt & Outreach*

**Kelisha E. Garrett**  
*Sr Advisor Business Dvlpmnt*

**Anita M. Tillman**  
*Marketing & Communications*

**Warren Williams, Jr.**  
*Business Development*

[www.hgi-global.com](http://www.hgi-global.com)

A Legacy of Performance



**Hammerman & Gainer, LLC (HGI Global)** is the nation's premier provider of integrated third-party administration, claims management, disaster recovery and program management services to both the public and private sectors.

#### Public Sector

- Federal
- State
- County
- Municipal

#### Private Sector

- Insurance
- Health Care
- Construction
- Corporate - Other



### Third Party Administration / Claims Management

#### Claims Management

Automobile  
General Liability  
Workers Compensation

#### Third Party Administration

Investigations  
Claims Administration  
Litigation Management  
Settlement Resolutions  
Third Party Subrogation  
Worksite Safety Inspections  
Waste & Abuse Identification  
Loss Control Analysis and Mitigation  
Fraud Identification and Investigation

#### Cost Containment Services

Early Intervention  
Utilization Review  
Vocational Rehabilitation  
Return-to-Work Programs  
Bill Review / Re-Pricing Services

#### Other Solutions / Special Projects

Risk Management  
Business Outsourcing  
Ad Valorem Tax Appeal Claims  
Self-Insured Claims Management  
Call Centers - Inbound & Outbound  
Staff Augmentation - Onsite & Virtual  
Governmental & Corporate Consulting  
Diversity, Equity & Inclusion (DEI) Initiatives  
Class Action & Multi-State Settlement Administration

#### Property Claims

Fire, Flood, Wind  
Snow / Winter Storms  
Hurricanes, Earthquakes, Mudslides  
Commercial / Lessors, Lessees Claims  
Residential / Homeowners, Mobile Home Claims

#### Workforce Development

Job Readiness  
Talent Pipelining  
Career Placement  
Occupational Training  
Employer Engagement  
Life Skills Development  
Self-Sufficiency Resourcing  
Workforce Recruitment Initiatives  
Challenged Community Outreach  
Program Creation and Management  
Policy and Procedures Development  
Women / Minority-Owned Certifications  
Diversity and Hardship Case Management  
Regulatory Compliance, Metrics Monitoring  
Budget and Schedule Development / Reporting

#### Managed Care / Insurance Services

Medical Analysis / Audit  
Pharmacy Benefit Management (PBM)  
Medical & Nursing Case Management  
Cost Management / Strategic Consulting  
Tele-Medicine / Telephonic Nurse Triage

### Disaster Recovery / Program Management

#### Program Management

Case Advisory  
Document Administration  
Customer Service Staffing  
Project Management Office (PMO)  
Workers Compensation / Disability Claims  
Applicant Relations and Community Outreach  
Duplication of Benefits / Determination & Mitigation

#### Unemployment Insurance Claims

Inputting, Processing, Investigations  
Claims Confirmation, Adjusting & Adjudication

#### Construction Management / Contracting

Cost Management  
Scheduling Oversight  
Construction Bid Evaluation  
Earned Value Management  
Change Order Determination  
Critical Path Method (CPM) Scheduling  
Performance & Productivity Assessments  
Constructibility Reviews / Contract Risk Analysis

#### Disaster Response & Recovery

Inspections  
Case Management  
Damage Assessments  
Abstracting & Recordings  
Easements, Titles, Closings  
Housing Assistance Centers  
Disaster Housing Operations  
Application Intake & Processing  
Accounting and Internal Controls  
Audit Support and Documentation  
Construction Management Services  
Fiduciary / Disbursement of Funds  
Anti-Fraud, Waste & Abuse (AFWA)  
Adjusting, Adjudication and Appeals  
Eligibility Reviews & Determinations  
Environmental Damage Assessment  
Quality Assurance and Quality Control  
Public Relations, Community Outreach  
Fiduciary Duties / Disbursement of Funds  
Real Estate Appraisals & Property Valuations  
Plan Implementation, Management, Closeout  
Regulatory Compliance, Monitoring, Reporting  
Community Development Block Grants (CDBG)  
Housing & Urban Development (HUD) Compliance  
Federal Funding Procurement and Grant Management



**HGI Global**  
**Quick Reference Chart**  
**20 Examples of Past & Present Projects**

#	Category	Program	Funding Source / Client	Services Provided	Scope of Work	Project	Contract Term	Start Date	End Date	Staffing	Total Funding
1	Nationwide Retirement Benefits	PBGC	Pension Benefits Guaranty Corporation	Trust and claims services for PBGC, which represents more than 34 million workers and retirees nationwide.	Administered pension funds to over 72,000 plan participants previously insured by 46 separate companies.	HGI responded to individuals all across the nation from PBGC's headquarters in Atlanta, GA.	5 years	2009	2014	46	--
2	Insurance Industry Recovery	TPCIGA	Texas Property & Casualty Insurance Guaranty Association	Claims processing for policy holders of property and casualty insurance firms which are insolvent (in receivership).	TPCIGA was established in 1971 to help protect all property and casualty claimants in Texas.	HGI continues to provide timely and equitable claims processing services to claimants all across the state of Texas.	23 + yrs	1998	present <i>(ongoing)</i>	5	\$150 million
3	Unemployment Benefits - Adjudication	Unemployment <i>(LWC)</i>	LWC -- Louisiana Workforce Commission	Processing, investigating, confirming and adjudicating unemployment claims.	LWC sought out assistance with a backlog of more than 15,250 unemployment claims.	HGI was selected to assist the LWC following the Covid-19 / Coronavirus crisis,	1 + yrs	2020	present <i>(ongoing)</i>	Blocks of 25 to 50 <i>(with capacity to ramp up to 150)</i>	--
4	Unemployment Benefits - Appeals	Unemployment <i>(LWC)</i>	LWC -- Louisiana Workforce Commission	Provided administrative law judges (licensed attorneys) to handle backlog of appeals	Based on its success in managing claims adjudication, the LWC once again turned to HGI to spearhead its appeals process.	HGI was selected to assist in managing the largest surge in unemployment claims in history.	new	2021	present <i>(ongoing)</i>	45	--
5	Public Health Emergency	Covid-19 <i>(LDH)</i>	LDH -- Louisiana Department of Health	Develop and implement Covid-19 contact tracing processes and procedures.	LDH provided contact tracing services for tens of thousands of citizens across the State of Louisiana.	LDH relied on the experience and professionalism of HGI to assist in its efforts to track and manage this unprecedented public health crisis.	1 + yrs	2020	2021	224	--



6	Natural Disaster Recovery	Road Home <i>(LDA / OCD / DRU)</i>	DOA -- LA Dept of Admin OCD -- Offc of Cmnty Dvlpmnt DRU -- Disaster Recovery Unit	Start Up -- Community Development Block Grant (CDBG) program to ensure distribution of funds to applicants in need.	Completed disbursements to more than 117,000 individual qualifying applicants for a total of nearly \$4 billion. Performed post disbursement compliance reviews on over 115,000 applicants. Conducted more than 30,000 seperate field reviews of applicants to confirm program compliance. Performed over 62,000 seperate title searches / abstracts / title clearances. HGI worked as both a prime contractor as well as a sub-contractor to ICF International. The funding source was the U.S. Department of Housing & Urban Development (HUD).	Following Hurricanes Katrina and Rita -- <b>the two worst natural disasters in U.S. history</b> up to that time -- the State of Louisiana turned to HGI to take responsibility for its housing recovery efforts, which ultimately distributed more than \$9.2 billion to 130,500 homeowners. HGI implemented a program to ensure the efficacious distribution of funds, while also providing direct employment to hundreds of citizens impacted by the storms. Reflecting its conscientious stewardship of this program, a post program audit which confirmed that <b>not a single dollar</b> was indavertently misappropriated or unaccounted for	3 years	2006	2009	300 +	\$9 billion
7	Natural Disaster Recovery	Homeowners Assistance Program <i>(HAP)</i>	DOA -- LA Dept of Admin OCD -- Offc of Cmnty Dvlpmnt DRU -- Disaster Recovery Unit	Transition -- CDBG grants -- plus additional responsibilities -- including compliance inspections.			3 years	2009	2012	184	\$2 billion
8	Natural Disaster Recovery	HAP + Hazard Mitigation Grant Program <i>(HGMP)</i>	FEMA -- Federal Emergency Management Agency OCD -- Offc of Cmnty Dvlpmnt	Ongoing -- HGI assumed additional HGMP responsibilities, plus grant recovery, compliance and monitoring.			3 years	2012	2015	30	\$2 billion
9	Natural Disaster Recovery	Rental Assistance Assistance Call Center & Staff Augmentation	DOA -- LA Dept of Admin OCD -- Offc of Cmnty Dvlpmnt DRU -- Disaster Recovery Unit	Supplemental -- HGI also built and staffed a call center, provided staff augmentation, and implemented a small rental program for the State.			3 years	2012	2015	25	\$1 billion
10	Natural Disaster Recovery	Homeowners Assistance Program <i>(HAP)</i>	DOA -- LA Dept of Admin OCD -- Offc of Cmnty Dvlpmnt DRU -- Disaster Recovery Unit	Closeout -- Also added compliance, work-completed inspections, un-met needs and construction management.			3 years	2015	2018	50	--

11	Environmental Disaster Recovery	BP Oil Spill	CCCF -- Gulf Coast Claims Facility	Handled loss and damage claims from both individuals and business owners.	GCCF handled over 1 million claims from individuals and businesses all across the Gulf Coast region.	HGI chosen by Presidential Appointee Kenneth Feinberg after the largest environmental disaster in U.S. history	2 years	2010	2012	150	\$40 billion
12	Natural Disaster Recovery	Restore Louisiana (LDA - OCD)	DOA -- LA Dept of Admin OCD -- Offc of Cmnty Dvlpmnt DRU -- Disaster Recovery Unit	Construction management and construction inspections	Restore Louisiana has helped more than 17,000 applicants who are eligible for federal assistance following the 2016 floods.	HGI was chosen to assist after the floods of 2016 -- the worst flooding in more than a century.	3 + yrs	2019	present (ongoing)	15	\$1.6 billion
13	Natural Disaster Recovery	Hurricanes Katrina, Rita Wilma	AIG Insurance State Farm Insurance Citizens Insurance of LA Texas Wind Pool (TWIA)	Claims adjuster services, property damage inspections.	HGI had more than 100 claims adjusters working across a three-state region (Louisiana, Mississippi and Texas).		2 years	2005	2007	100	\$500 million
14	Natural Disaster Recovery	ReNew New Jersey (NJ DCA)	New Jersey Dept of Cmnty Affairs	\$1 billion Rehabilitation, Reconstruction, Elevation & Mitigation (RREM) fund was established to assist nearly 7,300 homeowners after Superstorm Sandy.	Processed 10,000 applications; handled 25,000 calls; opened 9 housing centers (facility, equipment, hiring / training staff, conducting outreach campaigns)	Funds from the Community Development Block Grant (CDBG) Disaster Recovery programs of the U.S. Department of Housing and Urban Development (HUD).	2 years	2013	2014	1,100	\$2.7 billion
15	Property Owner Tax Appeals	Program Development & Management	City of New Orleans, LA	Identify, recruit and place subject matter experts (SME's) in real estate along with qualified hearing officers.	For more than a decade, HGI has been engaged to handle Property Tax Appeals for the City of New Orleans.	HGI has been entrusted manage this process for the largest municipal government in the State of Louisiana.	11 years	2010	present (ongoing)	25 / year	--

16	Property Damage & Personal Injury	Mediation & Legal Services Admin.	AIG Insurance & other Insurance Underwriters Guaranty Associations	&  Mediation coordination and legal administrative services	HGI has coordinated mediation on more than 20,000 property damage and personal injury claims.	HGI has represented a variety of insurance companies and guaranty associations -- including AIG Insurance.	2 years	2006	2008	25	\$50 million
17	Community Development	Program Development & Management	NORA -- New Orleans Redevelopment Authority	Appraisal services	HGI manages a team of qualified appraisers for NORA's economic revitalization program.	HGI staffers determine fair market values for properties in underprivileged and underinvested areas of New Orleans.	--	--	--	--	--
18	Health Care Administration	Program Development & Management	Coventry Health Care	Identification verification	HGI designed, implemented and managed a complete identification verification program for all public school employees for Orleans Parish (county), LA.		--	--	--	60	\$25 million
19	Auto Liability General Liability Workers Comp	Third Party Administration	Regional Authorities & School Boards	Trustee, Claims Management and other Third Party Administrative Services	HGI represents public entities such as the Regional Transit Authority ("RTA"), the Orleans Parish School Board ("OPSB") and the Sewerage & Water Board ("S&WB").	HGI has been performing these services for more than 90 years.	16years	2005	present (ongoing)	--	--
20	Auto Liability General Liability Workers Comp	Third Party Administration	Municipalities & other Local Authorities (such as School Boards)	Trustee, Claims Management and other Third Party Administrative Services	HGI represents the Cities of New Orleans, Shreveport, Ruston, Natchitoches & Opelousas, LA (among others)	HGI has been performing these services for more than 90 years.	--	--	--	--	--



**Max Shaneyfelt**

(225) 907-8058  
mshaneyfelt@hgi-global.com

**EDUCATION:**  
BS - Accounting

Louisiana State University 1992

**CERTIFICATIONS:**

- Certified Public Accountant, CPA
- Certified Mgmt. Accountant, CMA
- Project Mgmt. Professional, PMP

## Max L Shaneyfelt

Program Manager



Max Shaneyfelt has over 30 years of experience, 13 of those in CDBG-DR, running large scale projects for the federal government, state government, and Fortune 100 clients. Mr. Shaneyfelt also has extensive experience in program management, accounting & finance, service delivery, process improvement, business transformation, mergers & acquisitions, and technology consulting.

Employer	Role
HGI Global	Program Manager Restore LA
HGI Global	Director of Disaster Recovery
HGI Global	Program Manager - Homeowner Assistance Program & HMGP
IEM	Director of Software Development
ICF	VP & President - Project Management Office of Road Home Program

### EXPERIENCE:

#### **State of Louisiana, Restore Louisiana Program, Baton Rouge, LA, Program Manager Building Construction Management**

Responsible for the day-to-day operations of the program's building construction management and construction inspections, oversight of the contract and served as the primary contact for the State of Louisiana. Work closely with Program's Case Management contractor to provide seamless execution of the Program. During his tenure HGI managed over 250 construction projects, and conducted over 4,800 progress inspections for both homeowner managed and program managed projects. (11/2019 - Present)

#### **State of Louisiana, Homeowner Assistance Program, Baton Rouge, LA, Program Manager**

Responsible for the day-to-day operations of the program, oversight of the contract and served as the primary contact for the State of Louisiana. The Program successfully funded over 45,000 applicants for approximately \$1.05 billion, while achieving an error rate of less than 1% for all files submitted for funding. During his tenure HGI processed over 115,000 applicants for program compliance, conducted over 32,000 field reviews, processed 300,000+ documents, and handled 620,000+ calls in call center. (03/2009 - 03/2018)

#### **State of New Jersey, Superstorm Sandy Housing Incentive Program (SSHIP), Newark, NJ, Transition Program Manager**

Assisted client in streamlining operations and staffing levels to better align to changes in operational paradigm shift. Led efforts to transition program operations over to New Jersey staff and unwind contractor and subcontractor staffing. Completed transition in less than 30 days (10/2013 - 01/2014)

#### **IEM, Baton Rouge, LA, Director of Software Development**

Oversaw 5 divisions with 50+ technical staff providing software development, independent validation, simulations, and decision support services to Federal and State agencies. Clients included State of Louisiana, State of New Jersey, FEMA, CSEP, United States Army, and Department of Defense. (08/2007 - 09/2008)

#### **State of Louisiana, Louisiana Road Home Program/Homeowner Assistance Program, Baton Rouge, LA, Vice President & Director of the Program Management Office**

Managed PMO staff including Process Improvement Consultants, Microsoft SharePoint Architects, Business Analysts, Project Managers, Quality Assurance (QA) Analysts, and QA Auditors. He directly managed Pre-Closing and Appeals with a staff of approximately 135. Additionally, he provided program governance, QA/QC, process design and improvement, metrics design and delivery, and project management standardization. (12/2006 - 07/2007)





**Candy LeBlanc**

candyv@hgi-global.com

#### **CERTIFICATIONS:**

Bureau of Security and  
Investigative Services Private  
Investigator License California

#### **CIVIC PROFILE:**

- Hope & Purpose Ministries  
Board Member

## **Candy LeBlanc**

*Chief Administrative Officer  
Corporate Office Director  
Corporate Secretary*



#### **SUMMARY:**

LeBlanc has served as HGI Global's Corporate Secretary and all of its subsidiaries since 1999. She is also Chief Administrative Officer. She has been instrumental in the day-to-day operations and administrative functions of the company throughout her career.

Employer	Role
HGI Global Hammerman & Gainer, LLC	Corporate Office Director
HGI Global Hammerman & Gainer, LLC	Corporate Secretary
HGI Global Hammerman & Gainer, LLC	Administrative Assistant
Tri Parish Office Supply	Administration
A3M Vacuum Services	Administration
Kaiser Aluminum	Administration

#### **EXPERIENCE:**

##### **HGI Global - Hammerman & Gainer, LLC**

*Chief Administrative Officer*

Responsible for administrative matters of the company, including office management, contract and licensure management, vendor management, and document management. Currently serves as Corporate Secretary and a Member of the Company's Board

##### **Duties and Responsibilities:**

- Office management encompassing daily operations and facility management of leased or owned facilities.
- Administration of annual renewal process for organizational General Liability Insurance, Worker's Compensation Insurance, and Directors & Officers Insurance and administrative matters related to these policies.
- Contract management compliance related to billing, collections and key contract performance requirements.
- Management of corporate licensure compliance including MBE/DBE certifications and occupational licenses for all jurisdictions under which the company operates, including all annual filings required to maintain compliance.
- Serve as Custodian for organizational document retention, to ensure compliance with retention policies and to ensure proper response to any public records requests.
- Serve as Corporate Secretary as directed by Chairman, President and CEO or CLO, including maintaining electronic signature and coordination of any wet signature of documents.
- Vendor registrations management including aolol licensing and certifications for all HGI companies.
- Execute treasury management activities as directed by Chairman
- Administration of third-party vendor procurement and contract management.
- Management of company vehicles, including maintenance and insurance.

##### **HGI Global - Hammerman & Gainer, LLC**

*Catastrophe Team Member*

- Deployed as a Catastrophe Team member to provide disaster recovery services after Hurricanes Katrina and Rita in 2005 - the two worst natural disasters in U.S. history until that time.
- Served as an essential participant in nearly every major endeavor undertaken by HGI Global over the past two decades.

##### **HGI Global - Hammerman & Gainer, LLC**

*Receptionist, Accounts Payable and Receivable, Billing and Collections and Human Resources*

- Promoted to Administrative Assistant, Executive Assistant



**Vanessa James**

vanessaj@hgi-global.com

#### **EDUCATION:**

Bachelor of Science, Business Administration and Management  
University of New Orleans

#### **LICENSES:**

- Comprehensive Claims Adjuster including Workers' Compensation, Automobile / General Liability, Property & Casualty Producer, Life Producer (336425)
- LASIE Certified Workers' Compensation Professional-CWCP.
- LA Department of Insurance as an approved Provider for Continuing Education (CE) instructional courses (license renewals) for Adjusters and Producers

## **Vanessa R. James**

*Senior Vice President of Claims*



#### **SUMMARY:**

James has over 33 years of broad and comprehensive Third-Party Administration experience. She is an accomplished Property & Casualty Risk Management professional with extensive background in managing projects and overall claims administration. Throughout her career, she expanded her knowledge which focused on providing sustainable customer service solutions and cost savings in an ever-changing environment using company driven innovations powered by diversity and a consistent record in investing in people, designing systems and developing processes that deliver specific, measurable and reliable outcomes.

Employer	Role
HGI Global Hammerman & Gainer, LLC	<i>Sr. Vice President of Claims</i>
Creative Risk Control.	<i>Manager Trainee</i>
Louisiana Restaurant Association	<i>Account Executive</i>
F.A. Richard & Associates	<i>Claims Adjuster</i>

#### **EXPERIENCE:**

##### **Hammerman & Gainer, LLC**

James is responsible for management of all daily operations, strategic planning and directing the claims administration process, client risk management, business process outsourcing and overall program management services.

##### **Creative Risk Controls**

*Manager Trainee*

##### **Louisiana Restaurant Association**

*Account Executive*

##### **F.A. Richard & Associates**

*Claims Adjuster*

##### **Crawford & Company**

James received her initial claims handling experience with this national Third Party Administration firm.

#### **SKILLS:**

Complex Claims and Litigation Management, Loss Control & Safety Management, Independent File Review and Claim Audits, Best Practices Claims Models, Client Services Training Manuals, Return to Work Programs, Cost Savings Solutions, Structured Settlements and Medicare Set Asides. Claims experience includes workers' compensation, auto/general liability including commercial, products liability, longshore & harbor, maritime and medical malpractice claims.

#### **CIVIC PROFILE:**

Board of Director (Treasurer) for the New Orleans Regional Black Chamber of Commerce (2018-2021)

Board of Director (Secretary) for the McDonnell Square Condominium Association (2020-2021)

Past Board of Director for the NAAIA - National African American Insurance Association (2003-2005)



**Lou Resweber**

(225) 413-6392  
lour@hgi-global.com

**EDUCATION:**  
University of Louisiana  
Lafayette, LA

## Louis J. Resweber

Chief Marketing Officer



### SUMMARY:

Resweber has served as an officer, board member and executive consultant for a dozen publicly-traded (NYSE, NASDAQ and OTC listed) companies, along with a handful of privately-held businesses. He specializes in business development, administration, sales and marketing management, recruitment and training, communications, advertising, community affairs, and constituent / media relations. He has also gained hands-on experience in capital planning, finance, budgeting, cost analysis and containment, capital markets, corporate turnarounds and reorganizations, mergers and acquisitions, M&A targeting and analysis, due diligence, transaction structure and negotiations, regulatory compliance, property management, and human resources.

Employer	Role
HGI Global Hammerman & Gainer, LLC	Chief Marketing Officer
Gulf West Security Network, Inc.	Chairman, President & Chief Executive Officer
ESP Resources, Inc.	President & Chief Operating Officer
Pelican Security Network, Inc.	Chairman, President & Chief Executive Officer
Pelican Funding Corporation	Founder, Chairman & Chief Executive Officer
Westmark Group Holdings, Inc.	Chairman of the Board, President & CEO
Network Long Distance, Inc.	President & Chief Operating Officer

### EXPERIENCE:

#### Gulf West Security Network, Inc. (formerly NuLife Sciences, Inc.)

Chairman, President & Chief Executive Officer (2018 - Present)

Stock Symbol: **GWSN**  
Newport Beach, CA / Lafayette, LA

A California-based medical technology firm restructure for entry into security services sector.

- Turnaround - recruited by Board of NuLife Sciences to initiate a complete re-structuring of this company.
- Leadership - implemented an entirely new business development plan for this former med tech operation.
- Reorganization - successfully transitioned GWSN from shell status to a fully-compliant operating company.
- SEC - took all steps required to achieve regulatory conformity with U.S. Securities & Exchange Commission.
- PCAOB - completed a full forensic audit to meet all Public Company Accounting Oversight Board standards.
- FINRA - worked with the Financial Industry Regulatory Authority to gain listing on OTC Venture Stock Market.
- OTC - secured approval for legal name change (NuLife to Gulf West) and stock symbol change (NULF to GWSN).
- Audit - meticulously investigated all claims, systematically confirmed all legacy vendor and creditor obligations.
- Licensing - completed all licensing requirements to re-position GWSN as an participant in electronic security.
- M&A - negotiated and secured six letters of intent for acquisitions of growing, 25-plus-year-old providers.

#### ESP Resources, Inc.

President & Chief Operating Officer (2015 - 2018)

Stock Symbol: **ESPI**  
Houston, TX

An oil and gas operator and formulator of value-added specialty chemicals.

- Turnaround - recruited by founder of ESPI to change the culture of company, spearhead training, marketing.
- Agent of Change - reorganized all cost analysis, logistics efficiencies, distribution processes, market expansion.
- Strategic Planning - initiated turn-around through advanced business planning, trend analysis, sales tracking.
- Communications - personally visited all branches, initiated company-wide staff meetings / conference calls.
- Re-Branding - re-designed logo, website; did all copy writing; re-articulated image through industry journals.
- Re-Positioning - reorganized system wide sales response process, implemented new client service initiatives.
- Sales Analysis / Reporting - worked with sales team to fully quantify all sales data, new business projections.
- Sales / Marketing Management - assumed responsibility for marketing production and sales accountability.
- Business Development - opened branch locations in under-served markets, closed non-performing offices.

**Pelican Security Network, Inc.***Chairman, President & Chief Executive Officer (2006 - 2015)*Privately Held  
Baton Rouge, LA

The nation's 36th largest provider of life safety and electronic security services.

- Turnaround - entrusted by investors to re-build "original Pelican" after devastation of Hurricanes Katrina, Rita.
- Results - successfully rejuvenated business, boosted gross revenues from \$500,000 to \$9.5 million per annum.
- Management - responsible for all training, education, finance, inventory, central office, branch operations.
- Merges & Acquisitions - researched, solicited, negotiated, conducted due diligence, closed, integrated 21 M&A's.
- Sales & Marketing - assumed all responsibility, accountability for sales management, marketing, advertising.
- Branding - created, designed, performed all copy writing for six target different websites in six separate markets.
- Customer Service - completed system-wide re-organization of entire branch / central office customer response.
- Management - built, managed call center responsible for 24 / 7 / 365 emergency response and crisis dispatch.
- Profitability - achieved five consecutive periods of year-over-year double-digit revenue and profitability growth.
- Return on Investment - starting with a \$3.5 million re-cap, ultimately sold to Vector Security for \$21.7 million.
- Results - in 9 years after the storms, revived and expanded "original Pelican" (below) from near-bankruptcy to ultimately become the nation's 36th largest security provider (in an industry of over 14,000 licensed participants).

**Pelican Funding Corp.***Founder, Chairman & Chief Executive Officer (1998 - 2006)*Privately Held  
Baton Rouge, LA

A provider of B2B specialty financial services to the electronic security industry.

- Entrepreneurship - starting from scratch, built original company from \$0.00 to over \$3.5 million in annual sales.
- Sales - doubled sales in each of Pelican's first 7 years, opened branches, extended market reach across 5 states.
- Growth - researched and extended market across Louisiana (Baton Rouge, Lafayette, New Orleans, Shreveport).
- Expansion - broadened market footprint into Texas (Dallas, Houston, El Paso); Arkansas (Little Rock, Fayetteville); Mississippi (Gulfport, Biloxi); Alabama (Mobile, Dothan); Florida (Gainesville, Ft. Myers, Naples); and Idaho (Boise).
- Leadership - was honored to be elected by my industry peers to represent their interests on the Boards of Directors of both the Louisiana Life Safety & Security Assn (LLSSA) and Texas Security Alarm Dealers Assn (TSADA).
- Crisis Management - lost 80% of our business when Louisiana and Mississippi were devastated by Hurricanes Katrina & Rita (two worst natural disasters in U.S. history at that time); yet were successful in holding our Team together, continuing to operate our business, and ultimately selling our Pelican platform to private investors (above).

**Westmark Group Holdings, Inc.***Chairman of the Board & Chief Executive Officer (1997 - 2001)*Stock Symbol: **WGHI**  
Boca Raton, FL

A coast-to-coast provider of commercial and residential mortgage lending and financial services.

- Reorganization - redirected WGHI to focus on those business sectors with most favorable market valuation.
- Expansion - grew this previously small local lender into a nationwide provider of diversified financial services.

**Network Acquisition Corp. / Network Long Distance, Inc.***President & Chief Executive Officer (1995 - 1997)*Stock Symbol: **NTWK**  
Baton Rouge, LA

- Re-Branding - re-organized, re-positioned this company as an emerging leader in the telecom sector.
- Sales - successfully grew this previously stodgy local carrier from \$4 million to \$122 million in annual sales.
- M&A - complemented organic growth by negotiating and closing 17 accretive mergers and acquisitions.

**United Companies Financial Corp.***Senior Vice President, Capital Markets (1992 - 1995)*NYSE: **UC**  
Baton Rouge, LA

- Turnaround - took responsibility for 50-year-old OTC stock that previously never eclipsed \$16 / share.
- Reorganization - restructured company into two distinct business units, based on market valuations.
- Results - created cap markets plan to push stock from \$16 to \$132 per share (a 725% rise in 2 years).

**Hill & Knowlton, Inc.***Vice President, Business Development (1991 - 1992)*NYSE: **HK**  
Houston, TX

- Sales - responsible for all client activities for a wide ranging portfolio that included Exxon and Cabot Oil.

**Arkla Exploration Co.***Vice President, Capital Markets (1989 - 1991)*NYSE: **ARK**  
Houston, TX

- IPO - spearheaded spin-off from NAE; and the initial public offering of ARK on New York Stock Exchange.



**Arkla / NorAm Energ Corp.** (now: **NRG / Reliant Energy**)  
Vice President, Investor Relations (1989 - 1991)

NYSE: **NAE**  
Houston, TX / Shreveport, LA

- Regulatory - responsible for all compliance, disclosure, reporting, SEC filings, 10K's, 10Q's prospectuses.

**Entex Gas, Inc.** (now: **Centerpoint Energy**)  
Vice President, Public Affairs (1986 - 1988)

NYSE: **ETX**  
Houston, TX

- Management - engaged in all aspects of operations compliance for this multi-state public service entity.

**Celeron Oil & Gas Corp.** (now: **Goodyear Energy / Plains - All American Pipeline**)  
Director of Compliance / Communications (1983 - 1986)

NYSE: **CEL**  
Austin, TX / Santa Barbara, CA

- Public Affairs - environmental impact study for nation's longest oil line (2 x as long as Alaskan pipeline)



**Scott Spivey**

(225) xxx-xxxx  
sspivey@hgi-global.com

#### EDUCATION:

BS Management - United States Coast Guard Academy - New London, CT - 1990

JD - Loyola University New Orleans College of Law - New Orleans, LA - 1997

#### PROFESSIONAL ADMISSIONS/ CERTIFICATIONS/MEMBERSHIP:

- Louisiana State Bar
- Bar Member - US District Court EDLA/MDLA/WDLA
- Bar Member - US Court of Appeal Fifth Circuit
- Bar Member - United States Supreme Court
- Louisiana State Bar Association
- o Prof Cmte
- o Diversity Cmte
- Louisiana Insurance Producer
- Louisiana Residential Contractor Qualifying Party

## Scott J. Spivey, Esq.

Chief Legal Officer/General Counsel



#### SUMMARY:

Scott Spivey has thirty-two years of experience, twenty-five as a licensed attorney, managing legal matters for individuals and businesses. Scott Spivey has worked with Hammerman & Gainer since 2006 and initially served as its General Counsel in 2009.

Employer	Role
Hammerman & Gainer International, Inc.	General Counsel/CLO
Scott J. Spivey, Esq. a Professional Law Corp.	Principal
Johnson Johnson Barrios & Yacobian	Associate
Louisiana Office of Workers Compensation Administration	Attorney II/Mediator

#### RELEVANT PROJECT EXPERIENCE:

##### Hammerman & Gainer International, Inc.

Serve as General Counsel to the Board of Directors of the Holding Company and several subsidiaries. Provide legal counsel to the C-Suite for the different "operating units", which includes and has included the Restore Louisiana Program, Florida Citizens Independent Ad-juster Administration, the Opioid Litigation Settlement Program, the Orleans Parish Property Tax Appeal Hearing Management Program, the Road Home Program (Louisiana Homeowners Assistance Program), the BP Oil Spill \$20 Billion Claims Trust Fund Administration (Gulf Coast Claims Facilities), Texas Property and Casualty Insurance Guaranty Administration, the Federal Pension Benefits Guaranty Corporation Administration, workers' compensation, automobile self insurance, and property and casualty claims administration for several entities, including the Cities of Shreveport, Alexandria, Opelousas, and New Orleans, health insurance claims administration for several nationally recognized health insurance companies, computer processing and maintenance for federally secured processing centers, and an environmental engineering consulting company that inspected and manufactured and designed processing plants, produced the necessary reports, and dealt directly with the Louisiana Department of Environmental Quality for inspections, audits, hearings and complaints. (11/2009 - Present)

##### Scott J. Spivey, Esq. a Professional Law Corporation

General civil practice with practice concentrations in business, commercial and real property transactions and litigation, including contract preparation, business formation and dissolu-tions; federal and state workers' compensation; property tax and business personal property tax appeals and sales; family business planning and litigation, wills and successions; immigra-tion and customs enforcement defense; and traffic and criminal defense. Homeless Veterans Opioid Litigation including the Purdue Bankruptcy Claim program for over 300 veterans who were injured by opioid prescriptions. (03/2013 - Present)

##### Johnson, Johnson, Barrios & Yacobian

Defense of claims in the areas of workers' compensation, toxic tort (including toxic mold, asbestosis, and Mesothelioma claims), medical malpractice, road hazard, and automobile casualty - garage policy claims. Worked directly with clients to intake assignments, prepare initial evaluations, opposing counsel contact, preparation of pleadings, recommending reserves, conducted discovery, prepared periodic reports to clients, argued motions, served as lead counsel for trials on the pleadings, and prepared and argued appeals. Advised clients counsel on coverage issues and served as coverage counsel. Dealt with business formation and business entity formality issues, including annual meetings, necessary minute entries, and licensing. (05/2003 - 10/2009)

##### State of Louisiana - Workers' Compensation Administration - Disputed Claims

Served as the Court Mediator for District 02 in Alexandria, Louisiana and throughout Louisi-ana on an as-needed basis. Served as supervisor for two employees. Reported directly to the Workers' Compensation Judge and in due course to the Chief Judge. Mediated approximate-ly 1,500 cases a year, including complex full-day mediations, usually in the situation of long term life plans and catastrophic injuries, such as loss of multiple limbs. Received advanced training in mediation and dispute resolution. (11/2000 - 04/2003)

### **United States Coast Guard - Junior Officer - Eighth District Operations Law Enforcement**

Served in Operations Law Enforcement as a deployable law enforcement officer for law enforcement detachments on US Navy vessels. Initially served as an operations coordinator and law enforcement duty officer for the Command Center, which was responsible for all operations in the Gulf of Mexico and on the Mississippi River up to the Ohio area. Served as the Mexican Navy Liaison Officer and a member of a Federal Environmental Task Force with several federal agencies, including NOAA, ATF, and the FBI with the primary focus on oil spills throughout the Eighth District Area of Operations. Last assignment was as supervisor of the Violations Processing Center that I launched for the Eighth District in which we would receive all violation citations in the Eighth District Area of operations, process them for data usage and reporting, contact those cited for resolution of violation without prosecution and preparation of file materials for the Administrative Law Judge when prosecution was recommended.

### **United States Coast Guard - Junior Officer - USCGC BEAR (WMEC-901)**

Supervisor of the Communications Center, including TOP SECRET//SI// Clearance, supervising a team of six, including one chief and five petty officers, known as the SAR (Search and Rescue) Dogs. Primary duties included serving as Deck Officer, Conning Officer, Ship's Navigator and Law Enforcement Duty Officer for which I conducted hundreds of boardings at sea on all sized vessels, enforcing US laws in safety, fisheries, customs, immigration, and counter-narcotics/drug enforcement. After a year, I was reassigned to the position of First Lieutenant at which time I was responsible for all topside equipment and operations, serving as supervisor for a team of thirty men, including one chief, four petty officers, and the newly enlisted Seamen. While on board, accomplishments included the largest cocaine seizure and arrest off the Coast of Haiti, the rescue and repatriation of hundreds of Haitian nationals fleeing to the US on unsafe watercraft, and the rescue of a US Navy hydrofoil that lost operational capacity and was floating into Cuban waters.

### **ADJUNCT PROFESSOR -**

Central Texas University; Upper Iowa University - Fort Polk, LA - 2000-2003  
Business Law, Public Administration, Public Budgeting  
Upper Iowa University - Jackson Barracks, LA- FALL 2012  
Critical Thinking

2016 Loyola University New Orleans College of Law - Gillis Long Public Service Award

# Emergency Management & Disaster Response Service



Integrity | Accountability | Professionalism

## Corporate Office

Dallas, TX

8500 N. Stemmons Frwy.

Suite 6040

Dallas, TX 75247

**Year Established:** 1984

State of Louisiana

License since 2014

Primary Contact:

Preston Cook

Emergency Management Director

Email: [pcook@cmtslc.com](mailto:pcook@cmtslc.com)

Cell: (407) 616-2274

Website: [www.cmtslc.com](http://www.cmtslc.com)

CMTS provides emergency management and disaster response services, including FEMA-compliant monitoring of major disaster debris removal operations, to meet the needs of its clients for man-made and/or natural disasters.

The CMTS' team of specialists leverages years of experience gained from responding nationwide to disasters beginning with California's Northridge Earthquake in 1994. CMTS has helped local, state, and federal governments, residents, and businesses recover from tropical storm/hurricanes, such as Charlie, Frances, Jeanne, Katrina, Isaac, Sandy, Matthew, Irma, as well as tornados, floods, and winter storm events.



CMTS acts as an advisor on FEMA Eligibility rules, FEMA reimbursement policies, community recovery, and governmental policy regulations. Specific tasks with which the firm can assist include, but are not limited to project worksheet generation and review, Right-of-Entry (ROE) administration and database management, ROW and private property vegetative/C & D hazard removal monitoring, and ROW and private

property demolition coordination and monitoring. CMTS also provides project and construction management, project controls, commissioning, staff augmentation, and inspection.

**CMTS, LLC** has provided professional Project Management, Engineering, QA Inspection and Project control services for four School Districts, twenty-nine Universities, nine international airports, over 50 city and county municipalities and seven state departments of transportation. Our projects comprise more than \$30 billion of construction value in the 35 years that CMTS has been in business. Our professional staff comprise of Program Managers, Construction Managers, Civil, MEP and Building Inspectors, Scheduling, Estimators, Project Controls, Safety Managers, and Project Accountants.

Our Emergency Management Construction Management expertise includes public works capital improvement projects (including site assessments, estimating, debris planning, inspection, and monitoring), design/bid/build, CM&Risk, design/build contracting methodologies. We have been successful in matching the right individuals with the correct skill sets and project experience to meet our client needs. Listed below is a summary of our specific project services. Our greatest asset is, and always has been, our people.

## SITE ASSESSMENT AND ESTIMATING SERVICES

CMTS has provided FEMA Public Assistance Grant Advising and provided damage assessment and grant preparation on behalf of local governments following presidentially declared disasters. Additional work has included providing estimating services for over 200 damaged facilities due to Hurricane Irma that effected a couple School Districts in the State of Florida and Airport properties in the United States Virgin Islands (USVI). This scope has included conceptual estimates, actual estimates and coordination with various contractors and vendors. CMTS was responsible for coordinating with local subcontractors to ensure the bids that were submitted and followed FEMA guidelines for reimbursements. The CMTS estimators are proficient in ProCore, and RSMeans.

## EMERGENCY SERVICES

We have been involved in restoration efforts across the United States, including disaster relief efforts for the California Northridge Earthquake in 1994 and the recovery from post-Thanksgiving snowstorm in Denver, Colorado. CMTS has also worked with various consultants providing debris monitoring and inspection services including tropical storms in **2004, 2006, 2008** Hurricane Matthew in **2016**, Hurricane Irma **2017** and **Hurricanes Laura and Delta 2020**. CMTS has a long record of responding to the unforeseen challenges that natural disasters have on municipalities. Time and time again, CMTS, without hesitation, has demonstrated the capacity to quickly respond to emergency situations including deploying 50 staff within 24 hours in recent disasters.





## ANDREW NEITA

### *Project Manager*

#### GENERAL

Mr. Andrew Neita is a team management professional with over twenty-five years of experience in construction project management achieved supervising multifaceted multi-million-dollar commercial and residential projects. Budget conscious, effective and efficient leader with a proven track record of exceeding expectations accomplished through the ability to successfully communicate and engage with architects, contractors, clients, and team members and the ability to adapt and adopt new technology, industry trends and best practices combined with a strong commitment to quality, integrity, and client satisfaction.

#### RELEVANT EXPERIENCE

##### **Project Manager**

*CMTS, LLC (2019 - present)*

##### **USVI**

Responsible for managing the estimating team with preparing construction cost estimates to determine the cost to replace several buildings that were damaged during the 2017 hurricane season and are owned by the USVI Port Authority. CMTS is a subconsultant to Lemartec and Mr. Neita works with the project team with coordination of the (ITB) Invitation to Bids, bid walks and final bid analysis for various assets that were damaged due to hurricanes Irma and Maria. Mr. Neita is the primary project manager for the overall CMTS estimating team and ensures that the scope of work is completed.

#### EDUCATION

Florida International University,  
Miami, FL

Master of Science, Construction  
Management

University of the District of  
Columbia, Washington, DC

Bachelor of Science; Construction  
Engineering

#### CERTIFICATIONS

**Certified General Contractor - FL**

OSHA Certified

American Red Cross – CPR & First Aid

##### **Project Manager**

*(3-2018 to 2-2019)*

*Customs Builders – USVI | 9.3 Million*

Responsible for overseeing the day to day operations of the re-construction of thirteen hurricane damaged condominium buildings on the island of St Thomas.

- Develop scope and schedule for assigned projects and manage all subcontractors/ superintendents and the procurement of construction material.
- Identify and address areas of concern regarding potential risk surrounding project, project logistical issues, budget, and scope.
- Actively track each aspect of project performance against schedules and critical path.
- Prepare/update project status reports, process invoices update tracking reports, and maintain files for due diligence and financials.
- Demonstrate a proactive focus on meeting client and project requirements, in a timely and cost-effective manner, while ensure quality standards are met.
- Maintain daily liaison with the Condo association president and individual condo homeowners to ensure their expectations are managed.



**ANDREW NEITA**  
*Project Manager*

**Project Manager/Superintendent**

*(8-2014 to 1-2018)*

*Sagoma Construction International, Ltd. – Bahamas/ 21 Million*

Responsible for the supervision, quality control, material procurement, cost management and daily supervision of construction activities from inception to completion on several luxury homes in Harbour Island, Bahamas.

- Executed large, complex, multi-phase, project work under accelerated construction schedules and achieved target quality and client satisfaction through strategic use of time and resources.
- Developed, established and achieved project specific and client driven goals, schedules, budgets and detailed assumptions.
- Guided the client and team through the design implementation process including conceptual, schematic, design development and construction documentation and signoff.
- Built rapport with client, architect and designer to ensure scope of project and outside business forces affecting the project were fully understood and that specific deliverables were fully understood with performance standards and other relevant criteria.
- Actively tracked each aspect of project performance against schedules and critical path.

<https://www.luxuryretreats.com/vacation-rentals/caribbean/bahamas-eleuthera-and-harbour-island/harbour-island/rosalita-bahamas-119431>

**General Manager, Infrastructure / Civil Works**

*(1-2013 to 8-2014)*

*Jamaica Social Investment fund (JSIF) – Jamaica*

- Responsible for monitoring and reviewing activities of the Technical Services Department ensuring that designs and processes informing designs met agreed standards and were compliant.
- Monitored the implementation of work projects ensuring completion as per contract.
- Provided specific and targeted reporting on all infrastructure/civil works activities.
- Maintained positive company public image through continued excellence and community service initiatives.

**Project Manager**

*(2011 to 1-2013)*

*Sagoma Construction International, Ltd. – South Florida/Bahamas/ 30 Million*

- Responsible for company's initiation activities and obtaining minority certifications with government agencies throughout South Florida.
- Assisted principals with business development and project execution.
- Responsible for all bidding, qualifying, negotiating and awarding of all related vendor proposals.
- Managed all construction related work on projects ensuring on time, on budget and quality control from inception to completion.
- Assisted V.P. with the management of \$7.5 million luxury home in Staniel Cay Bahamas.
- Managed daily construction activities on \$15 million luxury home in Lyford Cay Bahamas.
- Coordinated, directed and supervised all personnel, subcontractors, and vendors engaged in the projects.



**ANDREW NEITA**  
*Project Manager*

**Co-Founder /Vice President of Operations**

*(2011 to 1-2013)*

*Jhamtec Construction, Inc. – South Florida| 5 Million*

- Responsible for providing turnkey general construction services for both residential and commercial clients in the South Florida market.
- Worked directly with architects, clients and subcontractors in delivering a quality end product.
- Met time and budget constraints and ensured safe jobsite environment and compliance with city codes.
- Collaboratively undertook small to moderate size commercial projects; provided total construction management: design, estimating and value engineering to project completion, closeout and reports.

**Project Manger**

*(2006 to 1-2009)*

*Construction Processes Inc./KMC Corp. – South Florida| 4 Million*

- Responsible for managing the construction of two large multi-story, storage facilities in South Florida.
- Adhered to policies and procedures; coordinated subcontractors, workers and resources to accomplish project's purpose through executed contracts, expenditure monitoring, quality control, and project tracking.
- Ensured a safe work environment and maintained strong architect and owner relations.
- Worked closely with the engineer in providing solutions to field constraints.

**Other Professional Experience**

*(2003 to 2004)*

*Palm Beach int. Airport, FL (Centex Const./CM Florida | 24 Million*

Project involved the expansion of the airport security checkpoints at gates A, B, & C. Participated in the management of the project and provided reports and budget updates to upper management.

*(1998 to 2004)*

*Centex Const./CM Florida | 24 Million*

Completed diverse projects of varied degrees of difficulty and complexity, while meeting the client's timelines, maintaining high quality control and meeting budget constraints; including 3 main projects:

**Boca Raton High School**

**2002 – 2003**

**Assistant Project Manager**

**\$43 Million**

**Project** Managed the construction of the new high school consisting of 16 acre full-site development including: site utilities, earthwork, landscaping and paving; approximately 300,000 sq. ft. of new building construction and renovation of existing science building (23,600 sq. ft.). The project involved replacement of the existing high school on an existing campus site, which remained operational during all phases of construction and renovation.

**Marriott Harbor Beach Resort**

**2000 – 2002**

**Lead Project Engineer**

**\$10.5 million**



**ANDREW NEITA**  
*Project Manager*

Assisted the Project Manager and Superintendent in the execution of this high-end finish hotel. This phased project involved the renovation of public space and construction of a new spa facility. The project was completed on schedule with little impact to the hotel's guests and staff.

**Miami-Dade Community College**

**1998 – 2000**

**Project Engineer**

**\$35 million**

Assisted the construction team with the shop drawings review, processed change orders, reviewed product data submittals, and closed project which was delivered through a GMP Job Order Contracting process. It involved the completion of over 100 sub-projects at six campuses.

***Department of Environmental Protection, Florida***

**1991 – 1998 Engineer III**

Reviewed construction design documents inspected facilities and reviewed permit applications for solid waste management facilities and air pollution sources in South Florida.



**EDUCATION**

- Masters - Civil Engineering  
University of Pittsburgh – 1986
- Bachelors – Civil Engineering  
University of Notre Dame – 1978

**YEARS OF EXPERIENCE**

- Total: 40

**LICENSES/CERTIFICATIONS**

- Professional Engineer  
Virginia  
Arizona  
Colorado  
Illinois  
Missouri  
Nevada  
Texas  
Utah  
Washington

**SPECIALIZED TRAINING**

- N/A

**PROFESSIONAL AFFILIATIONS**

- Life Member - Society of American  
Military Engineers
- Life Member - Reserve Officers  
Association
- Life Member - Army Engineer Association

**AWARDS**

- N/A

**AREAS OF EXPERTISE**

- Project Management
- Design Management
- Quality Management

**PROFILE**

Mr. Rigo has more than 40 years as a civil engineer providing senior project management and leadership for the successful execution of planning, design and construction of aviation and other transportation projects, ensuring they are completed on time, within budget, and exceed client expectations.

**EMPLOYMENT HISTORY****AECOM | Dallas, TX | August 2019 to February 2020**

**Senior Project Manager** | Responsibilities: Dallas Fort Worth International Airport Board - Project Manager for \$40 million rehabilitation of Taxiway Mike South. Duty assumed after start of construction and required rapid development of design solutions for multiple unforeseen conditions.

**AB Engineering | San Diego, CA | July 2015 – July 2019**

Responsibilities: *Various Airside Projects, San Diego International Airport, San Diego, CA.* As extension of the Airport's staff, served as Project Manager, Facilities Development Department for 7 projects from conceptual design through construction close out and valued at \$96 million. Completed projects include Rehabilitation of Runway 9-27 (Winner of Quality in Construction Award from National Asphalt Pavement Association), Rehabilitation of Cross Taxiways B1, B4-B7, C3, C4 and C6, Clear Object Free Area Taxiway B in preparation for future realignment of Taxiway B, Construction of Northside By-pass Taxiway "J", Rehabilitation of Pavements at TW B and Terminal 1 Apron, Rehabilitation of Cross Taxiways C1, C2, C5 and D and Reconstruction of the North Side Vehicle Service Road.

**Place | Spokane, WA | July 2013 - November 2014**

**Title** | Responsibilities: Phase 1 Taxiway Reconfiguration Project, Spokane International Airport, Spokane, WA. Construction Manager, provided construction oversight as Owner's Representative for the demolition of five existing taxiways and construction of five new taxiways with a construction value of \$12.5 Million.

**CMTS | Denver, CO | November 2012 - May 2013**

Responsibilities: Renovation of The Body Shop, Concourse C, Denver International Airport, Denver, CO. Construction Manager serving as Owner's Representative for interior demolition and renovation of 1,500 SF retail space located within the sterile area Denver International Airport.

**Hatch Mott MacDonald | Denver, CO | May 2007 - December 2011**

Responsibilities: *Sacramento International Airport Terminal Modernization Program, Sacramento, CA.* Project Manager for designs for new terminal bi-level road serving arrivals, departures and airport services, and Automated People Mover to carry passengers from new terminal to 23-gate concourse. *LAX Modernization and Expansion Project, Los Angeles, CA.* Deputy Project Manager for \$5 billion project including the design of the new Midfield Satellite Concourse and expansion of the Tom Bradley International Terminal. Provided management of design and construction support services for two 2,500-ft taxiways/lanes, utility rerouting, drainage improvements and 1 million-SF passenger terminal apron including aircraft layout of ramp services equipment and passenger loading bridges for nine aircraft gates to serve ADG II through VI aircraft. *Denver International Airport, On Call Environmental Design Services, Denver, CO.* Project Manager for \$495,000 On-Call Environmental Design Services contract.

**U.S. Army Reserves (On Active Duty) | January 2008 - January 2009**

Responsibilities: Gulf Region Division, U.S. Army Corps of Engineers, International Zone, Iraq. Served as Commander of Detachment comprised of 72 senior ranking Army Reserve soldiers through mobilization/demobilization for service with Corps of Engineers at Iraq in support of Operation Iraqi Freedom for 12 months. While in Iraq, served in principal duty as Assistant Chief of Staff, Security, Plans and Operations with oversight for Provost Martial, G-2 Intelligence, G-3 Operations and G-5 Plans Staff sections for Gulf Region Division (GRD). For four months, concurrently served as Director of Reconstruction, programs with responsibility for over \$12 billion in infrastructure projects to restore and improve quality of life for the Iraqi people. Projects included roads, bridges, justice facilities, health clinics, hospitals including Basra Children's Hospital, electric power generation plants and transmission lines, water purification plants and pipeline distribution systems, irrigation systems, oil transmission systems and port facilities, schools and military basing and training facilities for the Iraqi Army.

**Hanson Professional Services | Springfield, IL | May 2006 - April 2007**

Responsibilities: Engineering Manager Airport Services, Denver, CO - Supported Washington Group International to close out and transfer projects from their Aviation Services Group following decision to discontinue aviation services.

**Washington Group International | Denver, CO | April 2004 - May 2006**

**Interim Manager of Denver Airports Group** | Responsibilities: Engineering Manager Airport Services - Provided senior management of 50-person engineer services group for provision of PM, design and CM services for airports located throughout the Rocky Mountain region. Engineer of record for runway/taxiway reconstruction and rehabilitation at Great Falls, MT.

**Carter & Burgess | Encino, CA | January 2002 - April 2004**

**Civil Engineer** | Responsibilities: *Construction Claims Review and Resolution Services, Pasadena Blue Line Authority, LA to Pasadena Metro Gold Line.* Developed strategies and provided advice to Owner for resolution of claims. Claims totaling \$7.5 million were equitably resolved at \$3.6 million. *Civil Engineer, Oakland International Airport, CA.* Performed pavement grading and drainage designs. Developed construction phasing alternatives for rehabilitation of apron pavements to maximize construction efficiency while maintaining airport operations. *Chief Engineer, St. George Replacement Airport, UT.* Performed planning and cost estimates to develop control budget for a new replacement airport (\$100 million +). The new greenfield airport will include an 8,000-foot runway with associated taxiways, aprons, navigational aids and lighting; a 35,000 square foot passenger terminal; access roads; parking lots; utilities; and support buildings.

**CH2M Hill | Tampa, FL | January 2000 - November 2001**

Responsibilities: *Assistant Project Manager, International Airport Astana, Republic of Kazakhstan.* Directed preparation of International Design and Tender Documents for rehabilitation and modernization of International Airport Astana to ICAO standards. *Civil Engineer and Construction Manager, Reconstruction of Taxiway "W" and Taxilane "A", Tampa International Airport, FL.* Project included realignment and reconstruction of 54,000 square yards of airport pavements, drainage modifications and provision of runway guard lights. (\$7.6 million).

**TAMS Consultants, Inc. | City, State | October 1988 - November 1999**

**Chief Design Engineer** | Responsibilities:

*American Airlines Terminal, JFK International Airport, NY.* Directed design of 3.9 million square feet apron pavements (\$54.3 million) for a new passenger terminal.





*Assistant Program Manager and Resident Engineer, Mid-America Airport, Scott Joint Use Project, St. Clair County, IL.* Responsible for design and construction of Mid America Airport (\$130 million) including a 10,000-ft runway with associated taxiways, aprons, navigational aids and lighting; a 7,000-ft taxiway with two 270-ft aircraft bridges to connect civil and military runways; a 195-ft control tower; a 52,000 square ft. passenger terminal; ARFF facility; vehicle tunnels; access roads; parking lots; a 280-acre wetlands mitigation program; utilities; and support buildings. Airfield pavements are designed to carry military refueling tanker aircraft. *Civil Engineer, Site Selection Study, Illinois/Indiana Regional Airport, Chicago, IL.* Developed plans and cost estimates for all infrastructure features associated with five sites being considered for development of a new major Chicago metropolitan regional airport. *Assistant Project Manager, Penn Station Improvements, NY.* Responsible for planning, design, and contract specifications for the Long Island Rail Road's \$85 million rehabilitation of the station. *Team Leader, Washington Dulles International Airport Environmental Audit, VA.* Directed survey of storage facilities, plant conditions, work procedures, tank farm and fuel dispensing procedures, and drainage systems to advise Owner on status of compliance with applicable regulations. *Civil Engineer, Love Canal, Black and Bergholtz Creeks Remediation, Niagara Falls, NY.* Participated on hazardous materials sampling team for site investigation of dioxin-contaminated materials. Investigative data was used to design a site remediation project. Designed double containment pipeline for the conveyance of hazardous material as part of the remediation project. Provided construction supervision of removal of hazardous material and reconstruction to grade of the channel bottoms of both creeks as part of a Superfund cleanup.

**Remington & Vernick Engineers | Toms River, NJ | January 1987 - September 1988**

**Senior Civil Engineer** | Responsibilities: Provided broad range of municipal engineering and planning services for municipalities of Ocean County and Southern Ocean County, New Jersey, including: planning and zoning board engineering; municipal master planning; contract specifications, and grant applications for road projects; storm drainage systems; landfill closure plan; dredging of lagoons; park developments and coordination with various regulatory agencies.

**U.S. Army Reserves (On Active Duty) - U.S. Army Corps of Engineers | Pittsburgh, PA | September 1978 - September 1986**

**Civil Engineer/Project Manager** | Responsibilities: Directed a staff of 35 engineers and technicians on projects totaling \$50 million including: *Montgomery Locks and Dam, Upper Ohio River, PA.* Prepared designs, plans and specifications and provided construction oversight for \$28 million rehabilitation of locks and dam originally constructed in 1936. *Dashields Locks and Dam, Upper Ohio River, PA.* Conducted feasibility studies for rehabilitation of locks and dam originally constructed in 1929. Provided construction and contract management for foundation exploration drilling of dam apron to collect data for design.

*Monongahela Lock and Dam No. 8, PA.* Prepared CPM and construction schedule estimate to complete proposed rehabilitation of lock chamber at Monongahela Lock and Dam No. 8. This information was presented to the Board of Rivers and Harbors as justification to construct second lock chamber for facility now known as Point Marion Lock and Dam and construction of new Grays Landing Lock and Dam to replace Monongahela Lock and Dam No.7. Led Collateral Board of Investigation to determine facts and report on circumstances of barge sinking in Monongahela No. 8 lock chamber Engineer Platoon Leader/Staff Officer. 307th Engineer Battalion, 82nd Airborne Division, Fort Bragg, NC. Commanded 40 personnel and 100 units of construction equipment. Planned, designed, and managed military construction projects and provided support to airborne operations.

**Environment Consultants, Inc. | Dallas, TX | June 1978 - September 1978**

**Civil Engineer** | Responsibilities: Conducted Environmental Impact Statement, Southern Tier Expressway, Interstate Route 17 for New York Department of Transportation to include field reconnaissance of alternative corridors; bridge inspections; river bottom mappings; soils and water sampling and analysis; drafting; aerial plots and map interpretation; library research and technical writing.

**Military**

**Colonel (retired)** | Responsibilities: USAR, Corps of Engineers. Over a career of 31 years in the U.S. Army Reserve, including nine years on active duty, served in command and staff assignments from platoon to army command levels culminating as Assistant Chief of Staff, Security, Plans and Operations for the Gulf Region Division, U.S. Army Corps of Engineers. Concurrently served as Director of Reconstruction, Programs with responsibility for over \$12 billion in infrastructure projects to improve quality of life for the Iraqi people. Served as Project Manager for the \$28 million rehabilitation of Montgomery Locks and Dam on the Ohio River, Monaca, PA. Major awards include the Legion of Merit, the Bronze Star, two Meritorious Service Medals and four Army Commendation Medals.



**FRED HAGERTY, P.E.**  
*Civil/Structural PM/Insp*

## EDUCATION

- M.S., Structural Engineering, California State Polytechnic
- B.S., Civil Engineering, California State Polytechnic University

## CERTIFICATIONS

- ODOT General Construction Inspection
- ODOT Bridge Construction Inspection
- Registered Professional Engineer, LA Cert #36981
- CMTS Firm License #EF0005190
- Registered Professional Engineer, OR Cert #18759PE
- Registered Professional Engineer, WA Cert #31635
- Registered Professional Engineer, CA Cert #34747
- Confined Space Entry
- OSHA 10-Hr Safety Compliance
- Fall Protection
- Excavation/Trench Safety
- Erosion Control
- Adult CPR/Std 1<sup>st</sup> Aid
- Work Zone Traffic Control/Flagger

## EMPLOYMENT HISTORY

- CMTS– Construction Inspector (2004 – Present)
- Norwest Engineering – Structural Design Engineer (2000-2001)
- Boeing Portland – Facilities Specialist (1991-1999)
- General Dynamics Corporation, Air Defense Systems Div. – Sr. Construction Engineer (1984-1991)
- Kaiser Steel Corporation – Sr. Civil/Structural Design Engineer (1978-1983)

## GENERAL

Fred offers over 30 years of combined experience in inspection, construction management, and project design. He has been responsible for the administration and oversight of construction projects ranging from simple tenant improvements in the \$5000-\$25,000 range to “green field” construction of large office and facility structures (\$20 million - \$40 million). He offers expertise in general site construction, all major building systems, and maintenance requirements including the building envelope, HVAC, fire systems, electrical distribution, lighting, etc., and their applicable code requirements (ACI, UBC, UMC, NFPA, NEC, SMACNA, etc.).

## PROFESSIONAL EXPERIENCE

### Construction Inspector

*CMTS (2004-present)*

*Portland, OR*

Mr. Hagerty joined CMTS in January 2004.

Recent projects to which Mr. Hagerty has been assigned include:

- Portland Water Bureau: As a Project Inspector, Mr. Hagerty monitors and documents construction contractors’ work products and systems, work progress and overall project quality including daily reports and documenting all activities.
- Clean Water Services: As a Subconsultant to Carollo Engineers, Mr. Hagerty provided site inspection services for structural and mechanical construction at the agency’s Rock Creek Advanced Wastewater Treatment Facility near Hillsboro. Inspection included assuring reinforced concrete structural work was done according to specifications for forming, proper placement of reinforcing steel, and quality control during and following placement.
- Mr. Hagerty provided preconstruction surveys of private properties along the Portland-Milwaukie Light Rail route for TriMet. Mr. Hagerty inspected specific properties along the right-of-way, documented their condition, noting their overall condition and specifically identifying conditions and issues that could be affected by nearby light rail construction. His survey results were documented with a written report and photographic record of each property.

Other projects on which Mr. Hagerty has worked include:

- Provided inspection services at the Portland Parks & Recreation Peninsula Park Window Replacement Project.
- Property Condition Assessments of commercial properties.
- Inspection of the construction of three 100-foot-deep Access Shaft buildouts for the City of Portland, Bureau of Environmental Services ESCSO Big Pipe Project.
- Assisted the Planning Department and Public Works Department at the city of Lincoln City, OR with construction project plan review.



Performed construction inspection and equipment commissioning preparation for the City of Salem Public Works Department's Willow Lake Treatment Plant Renovation Project.

Inspection duties included preparation of daily progress reports, photo log maintenance, preparation of as-built drawings, attendance at weekly construction meetings, ensuring compliance of workmanship and materials with City specifications and plans, ensured traffic safety control plan in place and adhered to (when applicable), and ensured contractor compliance with site specific safety plan.

### **Structural Design Engineer**

*Norwest Engineering (2000-2001)*

*Portland, OR*

Fred provided structural engineering design support to clients as required for numerous projects. Major projects included:

- Development of complete engineering analysis and details for the modification of a reinforced concrete manufacturing floor originally designed for a paper making machine to be refit as a warehousing area for *Fort James Corporation's* Wauna, Oregon facility.

### **Facilities Specialist – Boeing Portland (1991-1999)**

Fred provided construction management, structural design, project engineering and project management support to miscellaneous large-scale facility maintenance and expansion projects. His work included contract document preparation, development of scopes of work, budgetary estimates, project work schedules and on-site coordination of construction, maintenance, and service contractors. Major projects included:

- Completion of a 150,000 sq. ft. expansion of an existing manufacturing building including site excavation and preparation, concrete and paving flatwork and drainage.
- Initiation of roof replacement on a 30-year old, 450,000 sq. ft building.
- Construction of 7,500 sq. ft. hazardous materials storage building (H3 occupancy).

### **Sr. Construction Engineer - General Dynamics Corporation - Air Defense Systems Division (1984-1991)**

Fred provided construction management and project administration of all major facilities maintenance and expansion projects. His duties included on-site coordination of contractors, monitoring of project work schedules and budgets, subcontractor interface, A&E design interpretation, contract change negotiations, site supervision and final acceptance of work. Major projects included:

- Removal and replacement of 750,000 sq. ft. roof on Navy-owned and occupied building.
- Construction and occupation of two 3-story, 250,000 sq. ft. office building and one single-story, 450,000 sq. ft manufacturing building with all associated grading, foundation construction, and concrete and asphalt flat work.
- Construction of 35,000 sq. ft. 'Class 1,000' clean-room facility in Ankara, Turkey.

### **Senior Civil/Structural Design Engineer - Kaiser Steel Corporation (1978-1983)**

Fred provided structural element design support for the maintenance of heavy industrial mill operations, including buildings, roadways, cranes, and roofing systems. Significant projects include:

- Plant-wide inspections and fatigue analyses of long-span crane runways.
- Plant-wide roofing survey (5 million sq. ft.) including repair specifications.
- Damage assessments and repair design for a 250-ton capacity (100 ft span) crane girder set.





## CERTIFICATE OF AUTHORITY

**State of Louisiana**

**Parish of Jefferson**


BE IT KNOWN that on this 9<sup>th</sup> day of September 2021, before me, the undersigned Notary Public, personally came and appeared: Christopher J. Oney, a resident of the full age majority of the above named State and St. John the Baptist Parish who deposed and stated as follows:

1. I am the Manager of Hammerman & Gainer, LLC.
2. In accordance with my authority and responsibilities as the Sole Manager of Hammerman & Gainer, LLC, Cristina Baralt is hereby authorized as Designated Signature Authority for the limited liability company, authorized to sign any and all qualifications, proposals, and agreements related to the Emergency Request for Qualifications for Hurricane Ida Disaster Recovery Damage Assessment and A/E Services for St. John the Baptist Parish as set forth in RFQ 2021.21.
3. This authority is to be given the interpretation necessary to confirm obligations for the limited liability company for this and related programs resulting from Hurricane Ida.
4. Further Affiant Sayeth Not.

THUS READ RENDERED AND SIGNED in Metairie, Jefferson Parish, Louisiana on the 9<sup>th</sup> day of September 2021.

  
**CHRISTOPHER J. ONEY**  
Manager, Hammerman & Gainer, LLC

Sworn to and subscribed before me, Scott J. Spivey, Notary Public in and for Jefferson Parish, Louisiana on this 9<sup>th</sup> day of September 2021.

  
SCOTT J. Spivey  
LSB 25257  
NOTARY PUBLIC



PAST CRIMINAL CONVICTIONS OF BIDDERS ATTESTATION (LA. R.S. 38:2227)

STATE OF LOUISIANA

PARISH OF

Jefferson

BEFORE ME, the undersigned Notary Public PERSONALLY CAME AND APPEARED,

I, Cristina Banalt, (Appeared) the owner/authorized representative of  
Hammerman & Gainer LLC  
Submitter/ Individual / Legal Entity Name

Appeared, as a Bidder on the herein named Project, does hereby attest that:

A. No sole proprietor or individual partner, incorporator, director, manager, officer, organizer, or member who has a minimum of a ten percent (10%) ownership in the bidding entity named herein, including any silent or dormant owner or manager, has been convicted of, or has entered a plea of guilty or nolo contendere to, any of the following state crimes or equivalent federal crimes:

- (a) Public bribery (R.S. 14:118)
- (b) Corrupt influencing (R.S. 14:120)

- (c) Extortion (R.S. 14:66)
- (d) Money laundering (R.S. 14:230)

B. For five years prior to the project bid date, no sole proprietor or individual partner, incorporator, director, manager, officer, organizer, or member who has a minimum of a ten percent (10%) ownership in the bidding entity named herein, including any silent or dormant owner or manager, has been convicted of, or has entered a plea of guilty or nolo contendere to any of the following state crimes or equivalent federal crimes, during the solicitation or execution of a contract or bid awarded pursuant to the provisions of Chapter 10 of Title 38 of the Louisiana Revised Statutes:

- (a) Theft (R.S. 14:67)
- (b) Identity Theft (R.S. 14:67.16)
- (c) Theft of business record (R.S. 14:67.20)
- (d) False accounting (R.S. 14:70)
- (e) Submitter's misapplication of payments (R.S. 14:202)

- (f) Bank fraud (R.S. 14:71.1)
- (g) Forgery (R.S. 14:72)
- (h) Issuing worthless checks (R.S. 14:71)
- (i) Malfeasance in office (R.S. 14:134)

Hammerman & Gainer, LLC  
Name of Bidder

Cristina Banalt  
Signature of Authorized Signatory of Bidder

Hurricane Ida Disaster Recovery  
Project Name/Number ASSESSMENT - 12 A/E  
DR - 12 A/E Service RFA 2021.21

Designated Signatory Authority  
Title of Authorized Signatory

SUBSCRIBED AND SWORN BEFORE ME ON THIS 9<sup>th</sup> DAY OF September, 2021.

[Signature]  
Notary Signature

Printed Notary Name:

Scott J. Spivey

Notary/Bar Roll Number:

LSB # 25257

My Commission is For/Expires:

for life



**ST. JOHN THE BAPTIST PARISH  
NON-SOLICITATION AND UNEMPLOYMENT AFFIDAVIT**

(Pursuant to La. R.S. 38:2224 and La. R.S. 23:1726(B))

STATE OF

Jefferson Louisiana

PARISH/COUNTY OF

Jefferson

Before me, the undersigned authority, came and appeared,

I, Cristina Baralt, the owner/authorized representative of

Hammerhead & Gainer LLC

Company/Individual/Legal Entity Name

who, being first duly sworn, deposed and state that I personally and as an authorized representative of the above identified legal person executes this continuing affidavit stating that neither the above named Submitter nor a person acting on its behalf, either directly or indirectly, employed, paid, nor promised any gift, consideration or commission to any person or legal entity to procure or assist in procuring this public contract, other than persons regularly employed by Submitter whose services were in the regular course of their duties for Submitter in connection with the construction, alteration or demolition of a public building or project.

The above named Submitter, if awarded, continually affirms that no part of the contract price received by Submitter was paid or will be paid to any person, corporation, firm, association, or other organization for soliciting the contract, other than the payment of their normal compensation to persons regularly employed by the affiant whose services were in the regular course of their duties for Submitter.

The above named Submitter hereby attests and certifies that it does not have any unpaid assessment or penalty levied against it regarding unemployment compensation and currently does and will continue to properly classify each employee.

<u>Cristina Baralt</u> Signature of Authorized Signatory	SUBSCRIBED AND SWORN BEFORE ME ON THIS <u>9<sup>th</sup></u> DAY OF <u>September</u> 20 <u>20</u>
<u>Cristina Baralt</u> Printed Name of Signatory	<u>[Signature]</u> Notary Signature
<u>Designated Signature Authority</u> Title of Authorized Signatory	Printed Notary Name: <u>Scott J. Spivey</u>
<u>Hurricane HA Disaster Recovery Assessment</u> <u>and A/E Services RFA 2021.21</u> Project Name/Number	Notary/Bar Roll Number: <u>LSB # 2525 #</u>
	My Commission is for/expires on: <u>for life</u>

Submitter verifies that Submitter will collect an affidavit in this form from any approved sub-contractor and forward a copy to: Saint John the Baptist Parish, 1811 West Airline Hwy, LaPlace, Louisiana 70068, no later than five business days after contracting with its sub-contractor; however, in no instance shall the affidavit be received after commencement of work by the sub-contractor.





**Certification Regarding  
Debarment, Suspension, and Other Responsibility Matters  
Primary Covered Transactions**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 13 CFR Part 145. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211). Copies of the regulations are available from local offices of the U. S. Small Business Administration.

- (1) The prospective primary participant certifies to the best of its knowledge and belief that it and its principals:
- (a) Are not presently debarred, suspended, proposed for disbarment, declared ineligible, or Voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) Terminated for cause of default.
- (2) Where the Prospective primary participant is unable to certify to any of the statements in this Certification, such prospective primary participant shall attach an explanation to this submittal

Business Name: -

Hammerhead & Griner, LLC

Date

9/9/2021

By

Cristina Baralt Designated Signature  
Name and Title of Authorized Representative

Cristina Baralt

Signature of Authorized Representative



## INSTRUCTIONS FOR CERTIFICATION

1. By signing and submitting this submittal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the Prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this submittal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "submittal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this submittal is submitted for assistance in obtaining a copy of those regulations (13 CFR Part 145).
6. The prospective primary participant agrees by submitting this submittal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this submittal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-- Lower Tier Covered Transaction, provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the ineligibility of its principals. Each participant may, but is not required to check the Non-Procurement List
9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings
10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.



E-VERIFY AFFIDAVIT

STATE OF LOUISIANA

PARISH OF Jefferson

BEFORE ME, the undersigned Notary Public PERSONALLY CAME AND APPEARED,

I, Cristina Bonatti, the owner/authorized representative of

Hammerhead & Griner LLC

Company/Individual/Legal Entity  
Name

who hereby personally and as the authorized representative of the above identified legal person executes this affidavit, as the undersigned Company verification of its current and future compliance with L.S.A. R.S. 38:2212.10, stating affirmatively that it and each individual, firm or corporation associated with it and engaged in the physical performance of services in the State of Louisiana, under a contract with St. John the Baptist Parish has registered with, is participating in, and shall continue to participate in a federal work authorization program designated as such under the Illegal Immigration Reform and Immigrant Responsibility Act of 1996, as amended, which is operated by the United States Department of Homeland Security, known as the "E-Verify" program. The Company hereby verifies the legal status of all existing and new employees in the State of Louisiana by attesting herein that each is a citizen of the United States or legal aliens as defined by now effective immigration laws of the United States of America.

Company shall not assign this Contract or any monies due or to become due hereunder, or subcontract any part of the Work without the prior written consent of St. John the Baptist Parish.

Company verifies that the Company will collect an affidavit in this form from any approved subcontractor and forward a copy to: St. John the Baptist Parish, 1801 West Airline Hwy, LaPlace, Louisiana 70068, no later than five business days of contracting with its subcontractor; however, in no instance shall the affidavit be received after commencement of work by the subcontractor.

Cristina Bonatti  
Signature of Authorized Signatory

7/23/2021  
Date E-Verify ID Assigned

Cristina Bonatti  
Printed Name of Signatory

1590937  
E-Verify ID

Designated Signatory Althorin  
Title of Authorized Signatory

SUBSCRIBED AND SWORN BEFORE ME ON THIS THE DAY OF Sept, 2021

[Signature]  
Notary Signature

Printed Notary Name: Scott J. Spivey

Notary/Bar Roll Number: LSB # 25257

My Commission is For/Expires: for life